

Decision Procedures in Verification

Decision Procedures (1)

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Until now:

First-Order Logic

Syntax, semantics

Algorithmic Problems; Decidability, Undecidability

Methods for checking satisfiability: resolution

Herbrand Interpretations

Assume Ω contains at least one constant symbol.

A **Herbrand interpretation** (over Σ) is a Σ -algebra \mathcal{A} such that:

- $U_{\mathcal{A}} = T_{\Sigma}$ (= the set of ground terms over Σ)
- $f_{\mathcal{A}} : (s_1, \dots, s_n) \mapsto f(s_1, \dots, s_n), f/n \in \Omega$

A Herbrand interpretation I is called a **Herbrand model** of F if $I \models F$.

Theorem 2.13

Let N be a set of Σ -clauses.

$$\begin{aligned} N \text{ satisfiable} &\Leftrightarrow N \text{ has a Herbrand model (over } \Sigma) \\ &\Leftrightarrow G_{\Sigma}(N) \text{ has a Herbrand model (over } \Sigma) \end{aligned}$$

where $G_{\Sigma}(N) = \{C\sigma \text{ ground clause} \mid C \in N, \sigma : X \rightarrow T_{\Sigma}\}$ is the set of **ground instances** of N .

The Bernays-Schönfinkel Class

$\Sigma = (\Omega, \Pi)$, Ω is a finite set of constants

The Bernays-Schönfinkel class consists only of sentences of the form

$$\exists x_1 \dots \exists x_n \forall y_1 \dots \forall y_m F(x_1, \dots, x_n, y_1, \dots, y_m)$$

Idea: CNF translation:

$$\begin{aligned} & \exists \bar{x}_1 \forall \bar{y}_1 F_1 \wedge \dots \wedge \exists \bar{x}_n \forall \bar{y}_n F_n \\ & \Rightarrow_P \exists \bar{x}_1 \dots \exists \bar{x}_n \forall \bar{y}_1 \dots \forall \bar{y}_n F(\bar{x}_1, \dots, \bar{x}_n, \bar{y}_1, \dots, \bar{y}_n) \\ & \Rightarrow_S \forall \bar{y}_1 \dots \forall \bar{y}_m F(\bar{c}_1, \dots, \bar{c}_n, \bar{y}_1, \dots, \bar{y}_n) \\ & \Rightarrow_K \forall \bar{y}_1 \dots \forall \bar{y}_m \bigwedge \bigvee L_i((\bar{c}_1, \dots, \bar{c}_n, \bar{y}_1, \dots, \bar{y}_n)) \end{aligned}$$

$\bar{c}_1, \dots, \bar{c}_n$ are tuples of Skolem constants

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$$\begin{aligned} & \exists \bar{x}_1 \forall \bar{y}_1 F_1 \wedge \dots \exists \bar{x}_n \forall \bar{y}_n F_n \\ & \Rightarrow_K^* \forall \bar{y}_1 \dots \forall \bar{y}_m \bigwedge \bigvee L_i((\bar{c}_1, \dots, \bar{c}_n, \bar{y}_1, \dots, \bar{y}_n)) \end{aligned}$$

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The Herbrand Universe is finite \mapsto decidability

Tractable fragments of FOL

In the exercise we saw that satisfiability of any finite set of ground Horn clauses can be checked in PTIME (linear time)

Variable-free Horn clauses

Data structures

Atoms $P_1, \dots, P_n \mapsto \{1, \dots, n\}$

neg-occ-list(A): list of all clauses in which A occurs negatively

pos-occ-list(A): list of all clauses in which A occurs positively

Clause:	P_1	P_2	\dots	P_n	counter
	neg	neg		pos	\uparrow
		\uparrow			number of literals

first-active-literal: first literal not marked as deleted.

atom status:	pos	(deduced as positive unit clause)
	neg	(deduced as negative unit clause)
	nounit	(otherwise)

Variable-free Horn clauses

Input: Set N of Horn formulae

Step 1. Collect unit clauses; check if complementary pairs exist

forall $C \in N$ **do**

if is-unit(C) **then begin**

const. time

$L := \text{first-active-literal}(C)$

const. time

if state(atom(L)) = nunit **then** state(atom(L)) = sign(L) const. time

push(atom(L), stack)

else if state(atom(L)) \neq sign(L) **then return false**

2. Process the unit clauses in the stack

end

Tractable fragments of FOL

We showed that satisfiability of any finite set of ground Horn clauses can be checked in PTIME (linear time)

- Similar fragment of the Bernays-Schönfinkel class?

Motivation: Deductive Databases

Deductive database

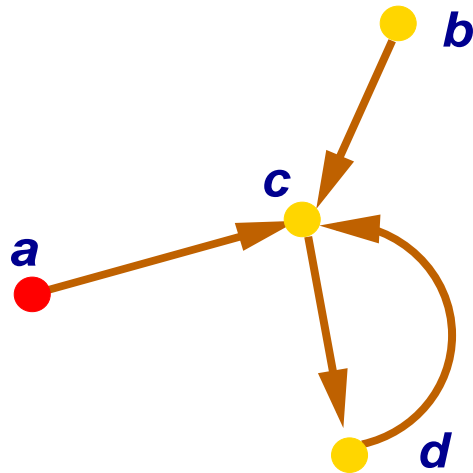
Inference rules:
Facts:
Query:

Motivation: Deductive Databases

Deductive database

Example: reachability in graphs

Inference rules:	$\frac{S(x)}{R(x)} \quad \frac{R(x) \quad E(x, y)}{R(y)}$
Facts:	$S(a), E(a, c), E(c, d), E(d, c), E(b, c)$
Query:	$R(d)$



$S(a), E(a, c), E(c, d), E(d, c), E(b, c)$

Note: S, E stored relations (Extensional DB)

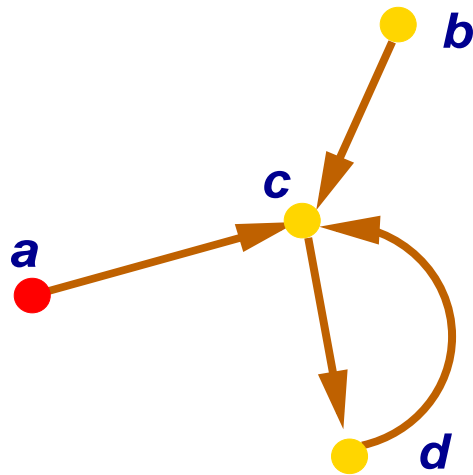
R defined relation (Intensional DB)

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$S(a), E(a, c), E(a, d), E(c, d), E(b, c),$
 $R(a)$

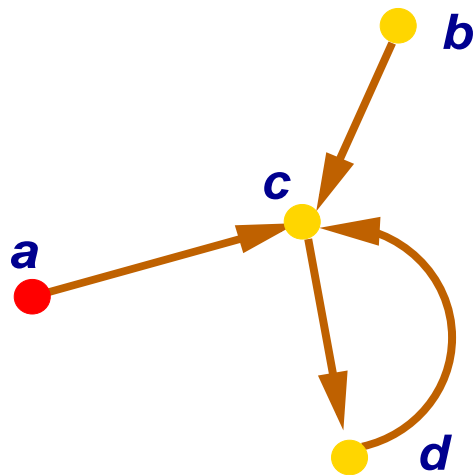
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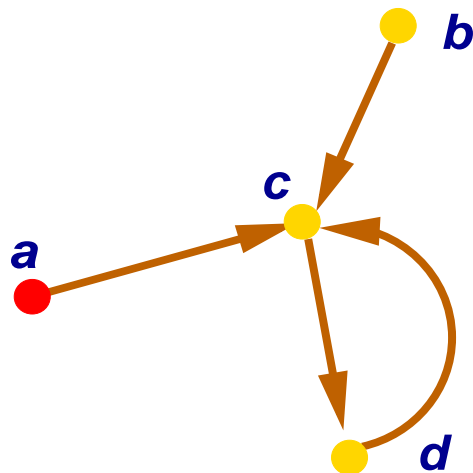
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$S(a), E(a, c), E(a, d), E(c, d), E(b, c),$
 $R(a), R(c), R(d)$

Note: S, E stored relations (Extensional DB)

R defined relation (Intensional DB)

Motivation: Deductive Databases

Deductive database \mapsto **Datalog** (Horn clauses, no function symbols)

Inference rules:	$\underbrace{S(x) \rightarrow R(x) \quad R(x) \wedge E(x, y) \rightarrow R(y)}_{\text{set } \mathcal{K} \text{ of Horn clauses}}$
Facts:	$\underbrace{S(a), E(a, c), E(c, d), E(d, c), E(b, c)}_{\text{set } \mathcal{F} \text{ of ground atoms}}$
Query:	$\underbrace{R(d)}_{\text{ground atom } G}$

$$\mathcal{F} \models_{\mathcal{K}} G \quad \text{iff} \quad \mathcal{K} \cup \mathcal{F} \models G \quad \text{iff} \quad \mathcal{K} \cup \mathcal{F} \cup \neg G \models \perp$$

Note: S, E stored relations (Extensional DB)

R defined relation (Intensional DB)

Motivation: Deductive Databases

Deductive database \mapsto **Datalog** (Horn clauses, no function symbols)

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Query:	$\underbrace{R(d)}_{\text{ground atom } G}$

$$\begin{array}{c}
 \begin{array}{c} S(a) \quad S(x) \rightarrow R(x) \\ \hline R(a) \end{array} \quad \begin{array}{c} E(a, c) \quad R(x) \wedge E(x, y) \rightarrow R(y) \\ \hline R(c) \end{array} \quad \begin{array}{c} E(c, d) \quad R(x) \wedge E(x, y) \rightarrow R(y) \\ \hline R(d) \end{array}
 \end{array}$$

Ex:

Ground entailment for function-free Horn clauses

Assumption:

The signature does not contain function symbols of arity ≥ 1 .

Given:

- Set H of (function-free) Horn clauses
- Ground Horn clause $G = \bigwedge A_i \rightarrow A$.

The following are equivalent:

- (1) $H \models \bigwedge A_i \rightarrow A$
- (2) $H \wedge \bigwedge A_i \models A$
- (3) $H \wedge \bigwedge A_i \wedge \neg A \models \perp$

Decidable in PTIME in the size of G for a fixed H .

Generalization: Superficial Horn clauses

Assumption:

The signature may contain function symbols of arity ≥ 1 .

Definition: A Horn clause is called **superficial** if it is of the form

$$A_1 \wedge A_2 \cdots \wedge A_n \rightarrow A$$

and every term which occurs in the atom A occurs also in one of the atoms A_1, A_2, \dots, A_n .

Generalization: Superficial Horn clauses

Theorem. Let H be a set of superficial Horn clauses and let C be a ground Horn clause. Then the following are equivalent:

(1) $H \models C$

(2) $H[C] \models C$

where $H[C]$ is the family of all instances of H in which all terms are ground terms occurring in C or in H .

For every ground clause C , $H \models C$ can be checked in PTIME
(if we assume H is fixed)

Proof: Use ordered resolution with selection.

Generalization: Local theories

[McAllester, Givan'92], [Basin, Ganzinger'96,01], [Ganzinger'01]

Assumption: the signature is allowed to contain function symbols

Definition. H set of Horn clauses is called **local** iff for every ground clause C the following are equivalent:

(1) $H \models C$

(2) $H[C] \models C$,

where $H[C]$ is the family of all instances of H in which the variables are replaced by ground subterms occurring in H or C .

Theorem. For a fixed local theory H , testing ground entailment w.r.t. H is in PTIME.

Will be discussed in more detail later

Applications

Use ordered resolution with selection to give a decision procedure for the Ackermann class.

The Ackermann class

$\Sigma = (\Omega, \Pi)$, Ω is a finite set of constants

The Ackermann class consists of all sentences of the form

$$\exists x_1 \dots \exists x_n \forall x \exists y_1 \dots \exists y_m F(x_1, \dots, x_n, y_1, \dots, y_m)$$

Idea: CNF translation:

$$\begin{aligned} & \exists x_1 \dots \exists x_n \forall x \exists y_1 \dots \exists y_m F(x_1, \dots, x_n, x, y_1, \dots, y_m) \\ & \Rightarrow_S \forall x F(\bar{c}_1, \dots, \bar{c}_n, x, f_1(x), \dots, f_m(x)) \\ & \Rightarrow_K \forall x \bigwedge \bigvee L_i(c_1, \dots, c_n, x, f_1(x), \dots, f_m(x)) \end{aligned}$$

c_1, \dots, c_n are Skolem constants

f_1, \dots, f_m are unary Skolem functions

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Idea: CNF translation:

$$\begin{aligned} &\exists x_1 \dots \exists x_n \forall x \exists y_1 \dots \exists y_m F(x_1, \dots, x_n, x, y_1, \dots, y_m) \\ &\Rightarrow^* \forall x \bigwedge \bigvee L_i(c_1, \dots, c_n, x, f_1(x), \dots, f_m(x)) \end{aligned}$$

The clauses are in the following classes:

$G = G(c_1, \dots, c_n)$ ground clauses without function symbols

$V = V(x, c_1, \dots, c_n)$ clauses with one variable and without function symbols

$G_f = G(c_1, \dots, c_n, f_1, \dots, f_n)$ ground clauses with function symbols

$V_f = V(x, c_1, \dots, c_n, f_1(x), \dots, f_n(x))$ clauses with a variable & function symbols

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Term ordering

$f(t) \succ t$; terms containing function symbols larger than those who do not.

$B \succ A$ iff exists argument u of B such that every argument t of A : $u \succ t$

Ordered resolution: $G \cup V \cup G_f \cup V_f$ is closed under ordered resolution.

$G, G \mapsto G$; $G, V \mapsto G$; $G, G_f \mapsto \text{nothing}$; $G, V_f \mapsto \text{nothing}$

$V, V \mapsto V \cup G$; $V, G_f \mapsto G \cup G_f$; $V, V_f \mapsto G \cup V \cup G_f \cup V_f$

$G_f, G_f \mapsto G_f$; $G_f, V_f \mapsto G_f \cup G$; $V_f, V_f \mapsto G \cup V \cup V_f \cup G_f$

Observation 1: $G \cup V \cup G_f \cup V_f$ finite set of clauses (up to renaming of variables).

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$V = V(x, c_1, \dots, c_n)$ clauses with one variable and without function symbols

$G_f = G(c_1, \dots, c_n, f_i)$ ground clauses with function symbols

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$V, V \mapsto V \cup G$; $V, G_f \mapsto G \cup G_f$; $V, V_f \mapsto G \cup V \cup G_f \cup V_f$

$G_f, G_f \mapsto G_f$; $G_f, V_f \mapsto G_f \cup G$; $V_f, V_f \mapsto G \cup V \cup V_f \cup G_f$

Observation 2: No clauses with nested function symbols can be generated.

3.2 Deduction problems

Satisfiability w.r.t. a theory

Satisfiability w.r.t. a theory

Example

Let $\Sigma = (\{e/0, */2, i/1\}, \emptyset)$

Let \mathcal{F} consist of all (universally quantified) group axioms:

$$\forall x, y, z \quad x * (y * z) \approx (x * y) * z$$

$$\forall x \quad x * i(x) \approx e \quad \wedge \quad i(x) * x \approx e$$

$$\forall x \quad x * e \approx x \quad \wedge \quad e * x \approx x$$

Question: Is $\forall x, y (x * y = y * x)$ entailed by \mathcal{F} ?

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$$\forall x \quad x * e \approx x \quad \wedge \quad e * x \approx x$$

Question: Is $\forall x, y (x * y = y * x)$ entailed by \mathcal{F} ?

Alternative question:

Is $\forall x, y (x * y = y * x)$ true in the class of all groups?

Logical theories

Syntactic view

first-order theory: given by a set \mathcal{F} of (closed) first-order Σ -formulae.

the **models** of \mathcal{F} : $\text{Mod}(\mathcal{F}) = \{\mathcal{A} \in \Sigma\text{-alg} \mid \mathcal{A} \models G, \text{ for all } G \text{ in } \mathcal{F}\}$

Semantic view

given a class \mathcal{M} of Σ -algebras

the **first-order theory** of \mathcal{M} : $\text{Th}(\mathcal{M}) = \{G \in F_{\Sigma}(X) \text{ closed} \mid \mathcal{M} \models G\}$

Decidable theories

Let $\Sigma = (\Omega, \Pi)$ be a signature.

\mathcal{M} : class of Σ -algebras. $\mathcal{T} = \text{Th}(\mathcal{M})$ is decidable
iff

there is an algorithm which, for every closed first-order formula ϕ , can decide (after a finite number of steps) whether ϕ is in \mathcal{T} or not.

\mathcal{F} : class of (closed) first-order formulae.

The theory $\mathcal{T} = \text{Th}(\text{Mod}(\mathcal{F}))$ is decidable
iff

there is an algorithm which, for every closed first-order formula ϕ , can decide (in finite time) whether $\mathcal{F} \models \phi$ or not.

Examples

Undecidable theories

- $\text{Th}(\langle \mathbb{Z}, \{0, 1, +, *\}, \{\leq\} \rangle)$
- $\text{Th}(\Sigma\text{-alg})$

Peano arithmetic

Peano axioms:	$\forall x \neg(x + 1 \approx 0)$	(zero)
	$\forall x \forall y (x + 1 \approx y + 1 \rightarrow x \approx y)$	(successor)
	$F[0] \wedge (\forall x (F[x] \rightarrow F[x + 1])) \rightarrow \forall x F[x]$	(induction)
	$\forall x (x + 0 \approx x)$	(plus zero)
	$\forall x, y (x + (y + 1) \approx (x + y) + 1)$	(plus successor)
	$\forall x, y (x * 0 \approx 0)$	(times 0)
	$\forall x, y (x * (y + 1) \approx x * y + x)$	(times successor)

$3 * y + 5 > 2 * y$ expressed as $\exists z (z \neq 0 \wedge 3 * y + 5 \approx 2 * y + z)$

Intended interpretation: $(\mathbb{N}, \{0, 1, +, *\}, \{\approx, \leq\})$

(does not capture true arithmetic by Goedel's incompleteness theorem)

Examples

In order to obtain decidability results:

- Restrict the signature
- Enrich axioms
- Look at certain fragments

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Decidable theories

- Presburger arithmetic decidable in 3EXPTIME [Presburger'29]
Signature: $(\{0, 1, +\}, \{\approx, \leq\})$ (no $*$)
Axioms $\{ \text{(zero)}, \text{(successor)}, \text{(induction)}, \text{(plus zero)}, \text{(plus successor)} \}$
- $\text{Th}(\mathbb{Z}_+)$ $\mathbb{Z}_+ = (\mathbb{Z}, 0, s, +, \leq)$ the standard interpretation of integers.

Examples

In order to obtain decidability results:

- Restrict the signature
- Enrich axioms
- Look at certain fragments

Decidable theories

- The theory of real numbers (with addition and multiplication) is decidable in 2EXPTIME [Tarski'30]

Examples

In order to obtain decidability results:

- Restrict the signature
- Enrich axioms
- Look at certain fragments

Problems

\mathcal{T} : first-order theory in signature Σ ; \mathcal{L} class of (closed) Σ -formulae

Given ϕ in \mathcal{L} , is it the case that $\mathcal{T} \models \phi$?

Common restrictions on \mathcal{L}

	Pred = \emptyset	$\{\phi \in \mathcal{L} \mid \mathcal{T} \models \phi\}$
$\mathcal{L} = \{\forall x A(x) \mid A \text{ atomic}\}$	word problem	
$\mathcal{L} = \{\forall x (A_1 \wedge \dots \wedge A_n \rightarrow B) \mid A_i, B \text{ atomic}\}$	uniform word problem	$\text{Th}_{\forall \text{Horn}}$
$\mathcal{L} = \{\forall x C(x) \mid C(x) \text{ clause}\}$	clausal validity problem	$\text{Th}_{\forall, \text{cl}}$
$\mathcal{L} = \{\forall x \phi(x) \mid \phi(x) \text{ unquantified}\}$	universal validity problem	Th_{\forall}
$\mathcal{L} = \{\exists x A_1 \wedge \dots \wedge A_n \mid A_i \text{ atomic}\}$	unification problem	Th_{\exists}
$\mathcal{L} = \{\forall x \exists x A_1 \wedge \dots \wedge A_n \mid A_i \text{ atomic}\}$	unification with constants	$\text{Th}_{\forall \exists}$

\mathcal{T} -validity vs. \mathcal{T} -satisfiability

\mathcal{T} -validity: Let \mathcal{T} be a first-order theory in signature Σ
Let \mathcal{L} be a class of (closed) Σ -formulae
Given ϕ in \mathcal{L} , is it the case that $\mathcal{T} \models \phi$?

Remark: $\mathcal{T} \models \phi$ iff $\mathcal{T} \cup \neg\phi$ unsatisfiable

Every \mathcal{T} -validity problem has a dual \mathcal{T} -satisfiability problem:

\mathcal{T} -satisfiability: Let \mathcal{T} be a first-order theory in signature Σ
Let \mathcal{L} be a class of (closed) Σ -formulae
 $\neg\mathcal{L} = \{\neg\phi \mid \phi \in \mathcal{L}\}$
Given ψ in $\neg\mathcal{L}$, is it the case that $\mathcal{T} \cup \psi$ is satisfiable?

\mathcal{T} -validity vs. \mathcal{T} -satisfiability

Common restrictions on \mathcal{L} / $\neg\mathcal{L}$

\mathcal{L}	$\neg\mathcal{L}$
$\{\forall x A(x) \mid A \text{ atomic}\}$	$\{\exists x \neg A(x) \mid A \text{ atomic}\}$
$\{\forall x (A_1 \wedge \dots \wedge A_n \rightarrow B) \mid A_i, B \text{ atomic}\}$	$\{\exists x (A_1 \wedge \dots \wedge A_n \wedge \neg B) \mid A_i, B \text{ atomic}\}$
$\{\forall x \bigvee L_i \mid L_i \text{ literals}\}$	$\{\exists x \bigwedge L'_i \mid L'_i \text{ literals}\}$
$\{\forall x \phi(x) \mid \phi(x) \text{ unquantified}\}$	$\{\exists x \phi'(x) \mid \phi'(x) \text{ unquantified}\}$

validity problem for universal formulae

ground satisfiability problem

\mathcal{T} -validity vs. \mathcal{T} -satisfiability

Common restrictions on \mathcal{L} / $\neg\mathcal{L}$

\mathcal{L}	$\neg\mathcal{L}$
$\{\forall x A(x) \mid A \text{ atomic}\}$	$\{\exists x \neg A(x) \mid A \text{ atomic}\}$
$\{\forall x (A_1 \wedge \dots \wedge A_n \rightarrow B) \mid A_i, B \text{ atomic}\}$	$\{\exists x (A_1 \wedge \dots \wedge A_n \wedge \neg B) \mid A_i, B \text{ atomic}\}$
$\{\forall x \bigvee L_i \mid L_i \text{ literals}\}$	$\{\exists x \bigwedge L'_i \mid L'_i \text{ literals}\}$
$\{\forall x \phi(x) \mid \phi(x) \text{ unquantified}\}$	$\{\exists x \phi'(x) \mid \phi'(x) \text{ unquantified}\}$

validity problem for universal formulae

ground satisfiability problem

In what follows we will focus on the problem of checking the satisfiability of conjunctions of ground literals

\mathcal{T} -validity vs. \mathcal{T} -satisfiability

$\mathcal{T} \models \forall x A(x)$	iff	$\mathcal{T} \cup \exists x \neg A(x)$ unsatisfiable
$\mathcal{T} \models \forall x (A_1 \wedge \dots \wedge A_n \rightarrow B)$	iff	$\mathcal{T} \cup \exists x (A_1 \wedge \dots \wedge A_n \wedge \neg B)$ unsatisfiable
$\mathcal{T} \models \forall x (\bigvee_{i=1}^n A_i \vee \bigvee_{j=1}^m \neg B_j)$	iff	$\mathcal{T} \cup \exists x (\neg A_1 \wedge \dots \wedge \neg A_n \wedge B_1 \wedge \dots \wedge B_m)$ unsatisfiable

\mathcal{T} -satisfiability vs. Constraint Solving

The field of Constraint Solving also deals with satisfiability problems

But be careful:

- in Constraint Solving one is interested if a formula is satisfiable in a **given, fixed model** of \mathcal{T} .
- in \mathcal{T} -satisfiability one is interested if a formula is satisfiable in **any model** of \mathcal{T} at all.

3.3. Theory of Uninterpreted Function Symbols

Why?

- Reasoning about equalities is important in automated reasoning
- Applications to program verification
(approximation: abstract from additional properties)

Application: Compiler Validation

Example: prove equivalence of source and target program

```
1:  y := 1
2:  if z = x*x*x
3:    then y := x*x + y
4:  endif
```

```
1:  y := 1
2:  R1 := x*x
3:  R2 := R1*x
4:  jmpNE(z,R2,6)
5:  y := R1+1
```

To prove: (indexes refer to values at line numbers)

$$\begin{aligned} & y_1 \approx 1 \wedge [(z_0 \approx x_0 * x_0 * x_0 \wedge y_3 \approx x_0 * x_0 + y_1) \vee (z_0 \not\approx x_0 * x_0 * x_0 \wedge y_3 \approx y_1)] \wedge \\ & y'_1 \approx 1 \wedge R1_2 \approx x'_0 * x'_0 \wedge R2_3 \approx R1_2 * x'_0 \wedge \\ & \quad \wedge [(z'_0 \approx R2_3 \wedge y'_5 \approx R1_2 + 1) \vee (z'_0 \neq R2_3 \wedge y'_5 \approx y'_1)] \wedge \\ & x_0 \approx x'_0 \wedge y_0 \approx y'_0 \wedge z_0 \approx z'_0 \implies x_0 \approx x'_0 \wedge y_3 \approx y'_5 \wedge z_0 \approx z'_0 \end{aligned}$$

Possibilities for checking it

(1) **Abstraction.**

Consider $*$ to be a “free” function symbol (forget its properties).
Test if property can be proved in this approximation. If so,
then we know that implication holds also under the normal
interpretation of $*$.

(2) **Reasoning about formulae in fragments of arithmetic.**

Uninterpreted function symbols

Let $\Sigma = (\Omega, \Pi)$ be arbitrary

Let $\mathcal{M} = \Sigma\text{-alg}$ be the class of all Σ -structures

The theory of uninterpreted function symbols is $\text{Th}(\Sigma\text{-alg})$ the family of all first-order formulae which are true in all Σ -algebras.

in general undecidable

Decidable fragment:

e.g. the class $\text{Th}_{\forall}(\Sigma\text{-alg})$ of all **universal** formulae which are true in all Σ -algebras.

Uninterpreted function symbols

Assume $\Pi = \emptyset$ (and \approx is the only predicate)

In this case we denote the theory of uninterpreted function symbols by $UIF(\Sigma)$ (or UIF when the signature is clear from the context).

This theory is sometimes called **the theory of free functions** and denoted $\text{Free}(\Sigma)$

Uninterpreted function symbols

Theorem 3.3.1

The following are equivalent:

- (1) testing validity of universal formulae w.r.t. UIF is decidable
- (2) testing validity of (universally quantified) clauses w.r.t. UIF is decidable

Proof: Follows from the fact that any universal formula is equivalent to a conjunction of (universally quantified) clauses.