

# Decision Procedures in Verification

Decision Procedures (2)

17.12.2012

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# Until now:

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## Logical Theories; Decision procedures

Generalities

The theory of uninterpreted function symbols (UIF): Motivation

## 3.3. Theory of Uninterpreted Function Symbols

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### Application: Compiler Verification

**Example:** prove equivalence of source and target program

```
1:  y := 1
2:  if z = x*x*x
3:    then y := x*x + y
4:  endif
```

```
1:  y := 1
2:  R1 := x*x
3:  R2 := R1*x
4:  jmpNE(z,R2,6)
5:  y := R1+1
```

**To prove:** (indexes refer to values at line numbers)

$$\begin{aligned} & y_1 \approx 1 \wedge [(z_0 \approx x_0 * x_0 * x_0 \wedge y_3 \approx x_0 * x_0 + y_1) \vee (z_0 \not\approx x_0 * x_0 * x_0 \wedge y_3 \approx y_1)] \wedge \\ & y'_1 \approx 1 \wedge R1_2 \approx x'_0 * x'_0 \wedge R2_3 \approx R1_2 * x'_0 \wedge \\ & \quad \wedge [(z'_0 \approx R2_3 \wedge y'_5 \approx R1_2 + 1) \vee (z'_0 \neq R2_3 \wedge y'_5 \approx y'_1)] \wedge \\ & x_0 \approx x'_0 \wedge y_0 \approx y'_0 \wedge z_0 \approx z'_0 \implies x_0 \approx x'_0 \wedge y_3 \approx y'_5 \wedge z_0 \approx z'_0 \end{aligned}$$

# Uninterpreted function symbols

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Let  $\Sigma = (\Omega, \Pi)$  be arbitrary

Let  $\mathcal{M} = \Sigma\text{-alg}$  be the class of all  $\Sigma$ -structures

The theory of uninterpreted function symbols is  $\text{Th}(\Sigma\text{-alg})$  the family of all first-order formulae which are true in all  $\Sigma$ -algebras.

in general undecidable

Decidable fragment:

e.g. the class  $\text{Th}_{\forall}(\Sigma\text{-alg})$  of all **universal** formulae which are true in all  $\Sigma$ -algebras.

## Theorem 3.3.1

The following are equivalent:

- (1) testing validity of universal formulae w.r.t. UIF is decidable
- (2) testing validity of (universally quantified) clauses w.r.t. UIF is decidable

# Solution 1

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## Task:

Check if  $UIF \models \forall \bar{x} (s_1(\bar{x}) \approx t_1(\bar{x}) \wedge \dots \wedge s_k(\bar{x}) \approx t_k(\bar{x}) \rightarrow \bigvee_{j=1}^m s'_j(\bar{x}) \approx t'_j(\bar{x}))$

## Solution 1:

The following are equivalent:

- (1)  $(\bigwedge_i s_i \approx t_i) \rightarrow \bigvee_j s'_j \approx t'_j$  is valid
- (2)  $Eq(\sim) \wedge Con(f) \wedge (\bigwedge_i s_i \sim t_i) \wedge (\bigwedge_j s'_j \not\sim t'_j)$  is unsatisfiable.

where  $Eq(\sim) : Refl(\sim) \wedge Sim(\sim) \wedge Trans(\sim)$

$Con(f) : \forall x_1, \dots, x_n, y_1, \dots, y_n (\bigwedge x_i \sim y_i \rightarrow f(x_1, \dots, x_n) \sim f(y_1, \dots, y_n))$

**Resolution:** inferences between transitivity axioms – nontermination

# Solution 2

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## Task:

Check if  $UIF \models \forall \bar{x} (s_1(\bar{x}) \approx t_1(\bar{x}) \wedge \dots \wedge s_k(\bar{x}) \approx t_k(\bar{x}) \rightarrow \bigvee_{j=1}^m s'_j(\bar{x}) \approx t'_j(\bar{x}))$

**Solution 2:** Ackermann's reduction.

Flatten the formula (replace, bottom-up,  $f(c)$  with a new constant  $c_f$

$\phi \mapsto FLAT(\phi)$

**Theorem 3.3.2:** The following are equivalent:

- (1)  $(\bigwedge_i s_i(\bar{c}) \approx t_i(\bar{c})) \wedge \bigwedge_j s'_j(\bar{c}) \not\approx t'_j(\bar{c})$  is satisfiable
- (2)  $FC \wedge FLAT[(\bigwedge_i s_i(\bar{c}) \approx t_i(\bar{c})) \wedge \bigwedge_j s'_j(\bar{c}) \not\approx t'_j(\bar{c})]$  is satisfiable

where  $FC = \{c_1=d_1, \dots, c_n=d_n \rightarrow c_f=d_f \mid \text{whenever } f(c_1, \dots, c_n) \text{ was renamed to } c_f \\ f(d_1, \dots, d_n) \text{ was renamed to } d_f\}$

**Note:** The problem is **decidable** in PTIME (see next pages)

**Problem:** Naive handling of transitivity/congruence axiom  $\mapsto O(n^3)$

**Goal:** Give a faster algorithm

# Example

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The following are equivalent:

- (1)  $C := f(a, b) \approx a \wedge f(f(a, b), b) \not\approx a$
- (2)  $FC \wedge FLAT[C]$ , where:

$FLAT[f(a, b) \approx a \wedge f(f(a, b), b) \not\approx a]$  is computed by introducing new constants renaming terms starting with  $f$  and then replacing in  $C$  the terms with the constants:

- $FLAT[\underbrace{f(a, b)}_{a_1} \approx a \wedge \underbrace{f(\underbrace{f(a, b)}_{a_1}, b)}_{a_2} \not\approx a] := a_1 \approx a \wedge a_2 \not\approx a$   
 $f(a, b) = a_1$   
 $f(a_1, b) = a_2$
- $FC := (a \approx a_1 \rightarrow a_1 \approx a_2)$

Thus, the following are equivalent:

- (1)  $C := f(a, b) \approx a \wedge f(f(a, b), b) \not\approx a$
- (2)  $\underbrace{(a \approx a_1 \rightarrow a_1 \approx a_2)}_{FC} \wedge \underbrace{a_1 \approx a \wedge a_2 \not\approx a}_{FLAT[C]}$

# Solution 3

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## Task:

Check if  $UIF \models \forall \bar{x} (s_1(\bar{x}) \approx t_1(\bar{x}) \wedge \dots \wedge s_k(\bar{x}) \approx t_k(\bar{x}) \rightarrow \bigvee_{j=1}^m s'_j(\bar{x}) \approx t'_j(\bar{x}))$

i.e. if  $(s_1(\bar{c}) \approx t_1(\bar{c}) \wedge \dots \wedge s_k(\bar{c}) \approx t_k(\bar{c}) \wedge \bigwedge_j s'_j(\bar{c}) \not\approx t'_j(\bar{c}))$  unsatisfiable.



# Solution 3

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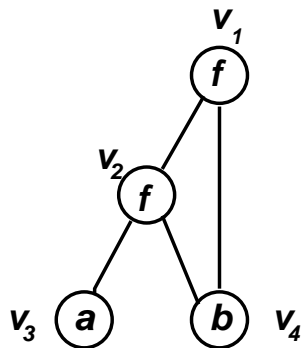
## Task:

Check if  $(s_1(\bar{c}) \approx t_1(\bar{c}) \wedge \dots \wedge s_k(\bar{c}) \approx t_k(\bar{c}) \wedge \bigwedge_k s'_k(\bar{c}) \not\approx t'_k(\bar{c}))$  unsatisfiable.

## Solution 3 [Downey-Sethi, Tarjan'76; Nelson-Oppen'80]

represent the terms occurring in the problem as DAG's

**Example:** Check whether  $f(f(a, b), b) \approx a$  is a consequence of  $f(a, b) \approx a$ .



$v_1 :$   $f(f(a, b), b)$

$v_2 :$   $f(a, b)$

$v_3 :$   $a$

$v_4 :$   $b$

## Solution 3

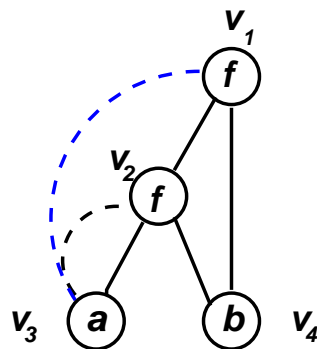
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**Task:** Check if  $(s_1(\bar{c}) \approx t_1(\bar{c}) \wedge \cdots \wedge s_k(\bar{c}) \approx t_k(\bar{c}) \wedge s(\bar{c}) \not\approx t(\bar{c}))$  unsatisfiable.

**Solution 3** [Downey-Sethi, Tarjan'76; Nelson-Oppen'80]

- represent the terms occurring in the problem as DAG's
- represent premise equalities by a relation on the vertices of the DAG

**Example:** Check whether  $f(f(a, b), b) \approx a$  is a consequence of  $f(a, b) \approx a$ .



$v_1 :$   $f(f(a, b), b)$

$v_2 :$   $f(a, b)$

$v_3 :$   $a$

$v_4 :$   $b$

$R :$   $\{(v_2, v_3)\}$

- compute the “congruence closure”  $R^c$  of  $R$
- check whether  $(v_1, v_3) \in R^c$

# Computing the congruence closure of a DAG

## Example

- **DAG structures:**

- $G = (V, E)$  directed graph

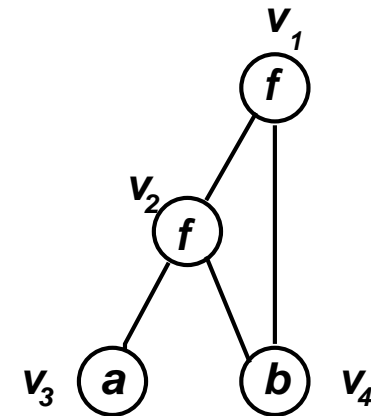
- Labelling on vertices

$\lambda(v)$ : label of vertex  $v$

$\delta(v)$ : outdegree of vertex  $v$

- Edges leaving the vertex  $v$  are ordered

( $v[i]$ : denotes  $i$ -th successor of  $v$ )



$$\lambda(v_1) = \lambda(v_2) = f$$

$$\lambda(v_3) = a, \lambda(v_4) = b$$

$$\delta(v_1) = \delta(v_2) = 2$$

$$\delta(v_3) = \delta(v_4) = 0$$

$$v_1[1] = v_2, v_2[2] = v_4$$

...

# Congruence closure of a DAG/Relation

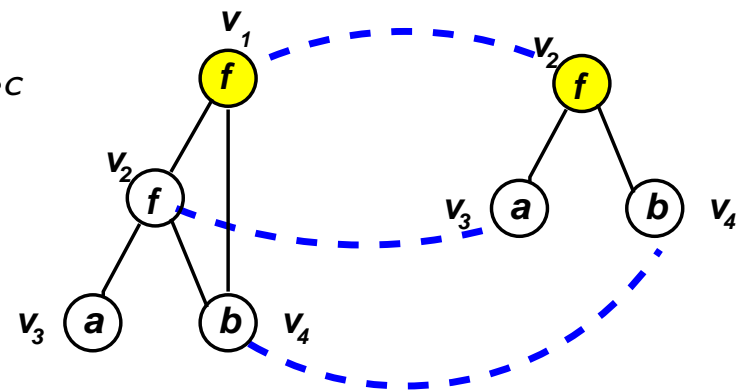
**Given:**  $G = (V, E)$  DAG + labelling

$$R \subseteq V \times V$$

The congruence closure of  $R$  is the smallest relation  $R^c$  on  $V$  which is:

- reflexive
- symmetric
- transitive
- congruence:

If  $\lambda(u) = \lambda(v)$  and  $\delta(u) = \delta(v)$   
and for all  $1 \leq i \leq \delta(u)$ :  $(u[i], v[i]) \in R^c$   
then  $(u, v) \in R^c$ .



# Congruence closure of a relation

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## Recursive definition

$$\frac{(u, v) \in R}{(u, v) \in R^c}$$

$$\frac{}{(v, v) \in R^c} \quad \frac{(u, v) \in R^c}{(v, u) \in R^c} \quad \frac{(u, v) \in R^c \quad (v, w) \in R^c}{(u, w) \in R^c}$$

$$\frac{\lambda(u) = \lambda(v) \quad u, v \text{ have } n \text{ successors} \quad \text{and } (u[i], v[i]) \in R^c \text{ for all } 1 \leq i \leq n}{(u, v) \in R^c}$$

- The congruence closure of  $R$  is the smallest set closed under these rules

# Congruence closure and UIF

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Assume that we have an algorithm  $\mathbb{A}$  for computing the congruence closure of a graph  $G$  and a set  $R$  of pairs of vertices

- Use  $\mathbb{A}$  for checking whether  $\bigwedge_{i=1}^n s_i \approx t_i \wedge \bigwedge_{j=1}^m s'_j \not\approx t'_j$  is satisfiable.
  - (1) Construct graph corresponding to the terms occurring in  $s_i, t_i, s'_j, t'_j$   
Let  $v_t$  be the vertex corresponding to term  $t$
  - (2) Let  $R = \{(v_{s_i}, v_{t_i}) \mid i \in \{1, \dots, n\}\}$
  - (3) Compute  $R^c$ .
  - (4) Output “Sat” if  $(v_{s'_j}, v_{t'_j}) \notin R^c$  for all  $1 \leq j \leq m$ , otherwise “Unsat”

**Theorem 3.3.3** (Correctness)

$\bigwedge_{i=1}^n s_i \approx t_i \wedge \bigwedge_{j=1}^m s'_j \not\approx t'_j$  is satisfiable iff  $[v_{s'_j}]_{R^c} \neq [v_{t'_j}]_{R^c}$  for all  $1 \leq j \leq m$ .

# Congruence closure and UIF

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## Theorem 3.3.3 (Correctness)

$\bigwedge_{i=1}^n s_i \approx t_i \wedge \bigwedge_{j=1}^m s'_j \not\approx t'_j$  is satisfiable iff  $[v_{s'_j}]_{R^c} \neq [v_{t'_j}]_{R^c}$  for all  $1 \leq j \leq m$ .

## Proof ( $\Rightarrow$ )

Assume  $\mathcal{A}$  is a  $\Sigma$ -structure such that  $\mathcal{A} \models \bigwedge_{i=1}^n s_i \approx t_i \wedge \bigwedge_{j=1}^m s'_j \not\approx t'_j$ .

We can show that  $[v_s]_{R^c} = [v_t]_{R^c}$  implies that  $\mathcal{A} \models s = t$  (Exercise).

(We use the fact that if  $[v_s]_{R^c} = [v_t]_{R^c}$  then there is a derivation for  $(v_s, v_t) \in R^c$  in the calculus defined before; use induction on length of derivation to show that  $\mathcal{A} \models s = t$ .)

As  $\mathcal{A} \models s'_j \not\approx t'_j$ , it follows that  $[v_{s'_j}]_{R^c} \neq [v_{t'_j}]_{R^c}$  for all  $1 \leq j \leq m$ .

# Congruence closure and UIF

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## Theorem 3.3.3 (Correctness)

$\bigwedge_{i=1}^n s_i \approx t_i \wedge \bigwedge_{j=1}^m s'_j \not\approx t'_j$  is satisfiable iff  $[v_{s'_j}]_{R^c} \neq [v_{t'_j}]_{R^c}$  for all  $1 \leq j \leq m$ .

**Proof**( $\Leftarrow$ ) Assume that  $[v_{s'_j}]_{R^c} \neq [v_{t'_j}]_{R^c}$  for all  $1 \leq j \leq m$ . We construct a structure that satisfies  $\bigwedge_{i=1}^n s_i \approx t_i \wedge \bigwedge_{j=1}^m s'_j \not\approx t'_j$

- Universe is quotient of  $V$  w.r.t.  $R^c$  plus new element 0.

- $c$  constant  $\mapsto c_{\mathcal{A}} = [v_c]_{R^c}$ .

- $f/n \mapsto f_{\mathcal{A}}([v_1]_{R^c}, \dots, [v_n]_{R^c}) = \begin{cases} [v_{f(t_1, \dots, t_n)}]_{R^c} & \text{if } v_{f(t_1, \dots, t_n)} \in V, \\ & [v_{t_i}]_{R^c} = [v_i]_{R^c} \text{ for } 1 \leq i \leq n \\ 0 & \text{otherwise} \end{cases}$

well-defined because  $R^c$  is a congruence.

- It holds that  $\mathcal{A} \models s'_j \not\approx t'_j$  and  $\mathcal{A} \models s_i \approx t_i$



# Computing the congruence closure of a DAG

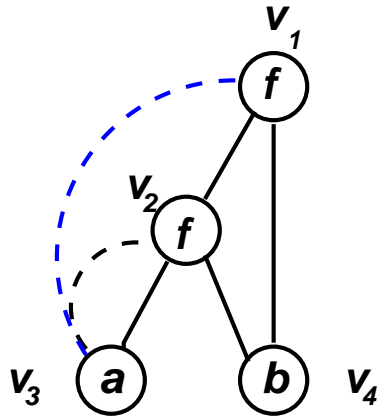
**Given:**  $G = (V, E)$  DAG + labelling

$$R \subseteq V \times V$$

**Task:** Compute  $R^c$  (the congruence closure of  $R$ )

**Example:**

$$f(a, b) \approx a \rightarrow f(f(a, b), b) \approx a$$



$$R = \{(v_2, v_3)\}$$

**Idea:**

- Start with the identity relation  $R^c = Id$
- Successively add new pairs of nodes to  $R^c$ ; close relation under congruence.

**Task:** Compute  $R^c$

# Computing the congruence closure of a DAG

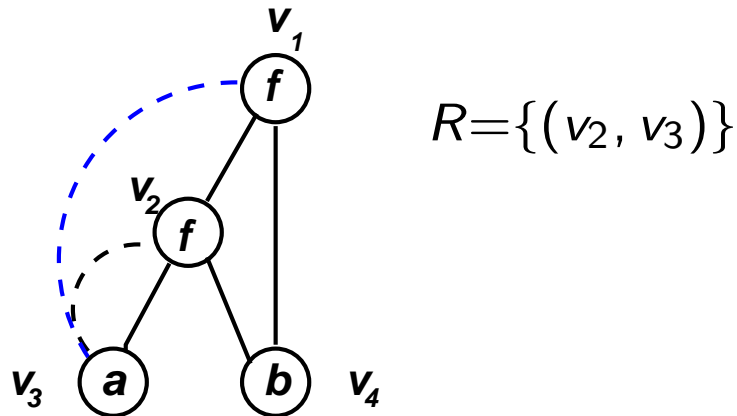
**Given:**  $G = (V, E)$  DAG + labelling

$R \subseteq V \times V; (v, v') \in V^2$

**Task:** Check whether  $(v, v') \in R^c$

**Example:**

$f(a, b) \approx a \rightarrow f(f(a, b), b) \approx a$



**Idea:**

- Start with the identity relation  $R^c = Id$
- Successively add new pairs of nodes to  $R^c$ ; close relation under congruence.

**Task:** Decide whether  $(v_1, v_3) \in R^c$

# Computing the congruence closure of a DAG

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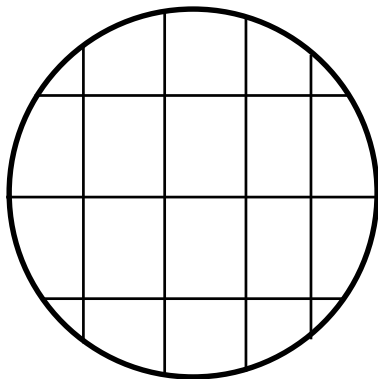
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**Task:** Compute  $R^c$  (the congruence closure of  $R$ )

**Idea:** Recursively construct relations closed under congruence  $R_i$  (approximating  $R^c$ ) by identifying congruent vertices  $u, v$  and computing  $R_{i+1} :=$  congruence closure of  $R_i \cup \{(u, v)\}$ .

**Representation:**



- Congruence relation  $\mapsto$  corresponding partition

# Computing the congruence closure of a DAG

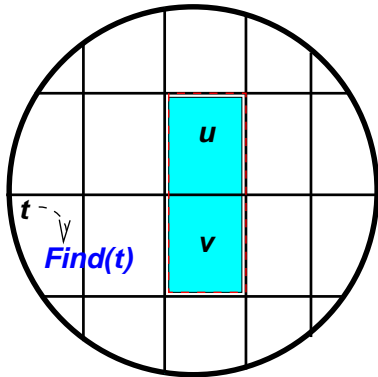
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**Idea:** Recursively construct relations closed under congruence  $R_i$  (approximating  $R^c$ ) by identifying congruent vertices  $u, v$  and computing  $R_{i+1} :=$  congruence closure of  $R_i \cup \{(u, v)\}$ .

## Representation:



- Congruence relation  $\mapsto$  corresponding partition

- Use procedures which operate on the partition:

**FIND( $u$ )**: unique name of equivalence class of  $u$

**UNION( $u, v$ )** combines equivalence classes of  $u, v$

finds repr.  $t_u, t_v$  of equiv.cl. of  $u, v$ ; sets **FIND( $u$ )** to  $t_v$

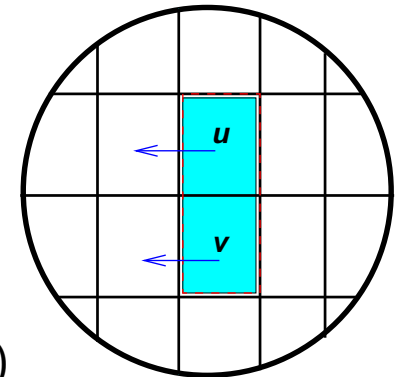
# Computing the congruence closure of a DAG

MERGE( $u, v$ )

**Input:**  $G = (V, E)$  DAG + labelling  
 $R$  relation on  $V$  closed under congruence  
 $u, v \in V$   
**Output:** the congruence closure of  $R \cup \{(u, v)\}$

g

**If** FIND( $u$ ) = FIND( $v$ ) [same canonical representative] **then** Return  
**If** FIND( $u$ )  $\neq$  FIND( $v$ ) **then** [merge  $u, v$ ; recursively-predecessors]  
 $P_u :=$  set of all predecessors of vertices  $w$  with FIND( $w$ ) = FIND( $u$ )  
 $P_v :=$  set of all predecessors of vertices  $w$  with FIND( $w$ ) = FIND( $v$ )  
**Call** UNION( $u, v$ ) [merge congruence classes]  
**For all**  $(x, y) \in P_u \times P_v$  **do:** [merge congruent predecessors]  
    **if** FIND( $x$ )  $\neq$  FIND( $y$ ) **and** CONGRUENT( $x, y$ ) **then** MERGE( $x, y$ )



CONGRUENT( $x, y$ )

**if**  $\lambda(x) \neq \lambda(y)$  **then** Return FALSE  
**For**  $1 \leq i \leq \delta(x)$  **if** FIND( $x[i]$ )  $\neq$  FIND( $y[i]$ ) **then** Return FALSE  
Return TRUE.

# Correctness

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## Proof:

### (1) Returned equivalence relation is not too coarse

If  $x, y$  merged then  $(x, y) \in (R \cup \{(u, v)\})^c$   
(UNION only on initial pair and on congruent pairs)

### (2) Returned equivalence relation is not too fine

If  $x, y$  vertices s.t.  $(x, y) \in (R \cup \{(u, v)\})^c$  then they are merged by the algorithm.  
Induction of length of derivation of  $(x, y)$  from  $(R \cup \{(u, v)\})^c$

- (1)  $(x, y) \in R$  OK (they are merged)
- (2)  $(x, y) \notin R$ . The only non-trivial case is the following:  
 $\lambda(x) = \lambda(y)$ ,  $x, y$  have  $n$  successors  $x_i, y_i$  where  
 $(x_i, y_i) \in (R \cup \{(u, v)\})^c$  for all  $1 \leq i \leq b$ .

Induction hypothesis:  $(x_i, y_i)$  are merged at some point  
(become equal during some call of UNION( $a, b$ ), made in some MERGE( $a, b$ ))  
Successor of  $x$  equivalent to  $a$  (or  $b$ ) before this call of UNION; same for  $y$ .

$\Rightarrow$  MERGE must merge  $x$  and  $y$

# Computing the Congruence Closure

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Let  $G = (V, E)$  graph and  $R \subseteq V \times V$

$CC(G, R)$  computes the  $R^c$ :

(1)  $R_0 := \emptyset$ ;  $i := 1$

(2) while  $R$  contains "fresh" elements do:

    pick "fresh" element  $(u, v) \in R$

$R_i := \text{MERGE}(u, v)$  for  $G$  and  $R_{i-1}$ ;  $i := i + 1$ .

**Complexity:**  $O(n^2)$

Downey-Sethi-Tarjan congruence closure algorithm:

    more sophisticated version of **MERGE** (complexity  $O(n \cdot \log n)$ )

**Reference:** G. Nelson and D.C. Oppen. Fast decision procedures based on congruence closure. Journal of the ACM, 27(2):356-364, 1980.

# Decision procedure for the QF theory of equality

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Signature:  $\Sigma$  (function symbols)

**Problem:** Test satisfiability of the formula

$$F = s_1 \approx t_1 \wedge \cdots \wedge s_n \approx t_n \quad \wedge \quad s'_1 \not\approx t'_1 \wedge \cdots \wedge s'_m \not\approx t'_m$$

**Solution:** Let  $S_F$  be the set of all subterms occurring in  $F$

1. Construct the DAG for  $S_F$ ;  $R_0 = Id$
2. [Build  $R_n$  the congruence closure of  $\{(v(s_1), v(t_1)), \dots, (v(s_n), v(t_n))\}$ ]  
For  $i \in \{1, \dots, n\}$  do  $R_i := \text{MERGE}(v_{s_i}, v_{t_i})$  w.r.t.  $R_{i-1}$
3. If  $\text{FIND}(v_{s'_j}) = \text{FIND}(v_{t'_j})$  for some  $j \in \{1, \dots, m\}$  then return unsatisfiable
4. else [if  $\text{FIND}(v_{s'_j}) \neq \text{FIND}(v_{t'_j})$  for all  $j \in \{1, \dots, m\}$ ] then return satisfiable

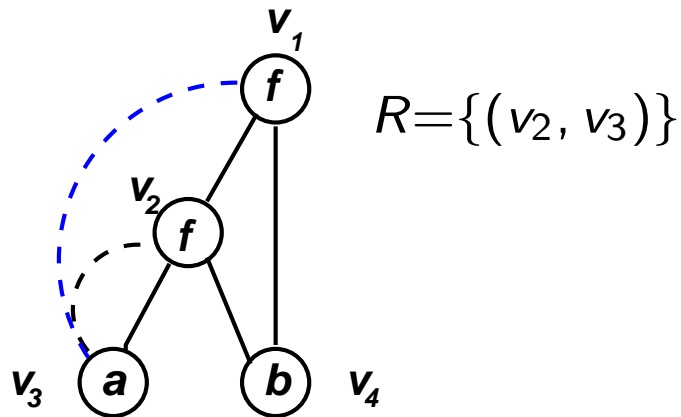


# Example

$f(a, b) \approx a \rightarrow f(f(a, b), b) \approx a$

**Test:** unsatisfiability of

$f(a, b) \approx a \wedge f(f(a, b), b) \not\approx a$



**Task:**

- Compute  $R^c$
- Decide whether  $(v_1, v_3) \in R^c$

**Solution:**

1. Construct DAG in the figure;  $R_0 = Id$ .

2. Compute  $R_1 := \text{MERGE}((v_2, v_3))$

[Test representatives]

$\text{FIND}(v_2) = v_2 \neq v_3 = \text{FIND}(v_3)$

$P_{v_2} := \{v_1\}; P_{v_3} := \{v_2\}$

[Merge congruence classes]

$\text{UNION}(v_2, v_3)$ : sets  $\text{FIND}(v_2)$  to  $v_3$ .

[Compute and recursively merge predecessors]

Test:  $\text{FIND}(v_1) = v_1 \neq v_3 = \text{FIND}(v_2)$

$\text{CONGR}(v_1, v_2)$

$\text{MERGE}(v_1, v_2)$ : (different representatives)

calls  $\text{UNION}(v_1, v_2)$  which

sets  $\text{FIND}(v_1)$  to  $v_3$ .

3. Test whether  $\text{FIND}(v_1) = \text{FIND}(v_3)$ . Yes.

Return **unsatisfiable**.

## 3.4. Decision procedures for numeric domains

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- Peano arithmetic
- Theory of real numbers
- Linear arithmetic
  - over  $\mathbb{N}/\mathbb{Z}$
  - over  $\mathbb{R}/\mathbb{Q}$

### Decision procedures

- Light-weight fragments of linear arithmetic: Difference logic
- Full fragment ( $LI(\mathbb{R})$  or  $LI(\mathbb{Q})$ )

# Peano arithmetic

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<b>Peano axioms:</b>	$\forall x \neg(x + 1 \approx 0)$	(zero)
	$\forall x \forall y (x + 1 \approx y + 1 \rightarrow x \approx y)$	(successor)
	$F[0] \wedge (\forall x (F[x] \rightarrow F[x + 1])) \rightarrow \forall x F[x]$	(induction)
	$\forall x (x + 0 \approx x)$	(plus zero)
	$\forall x, y (x + (y + 1) \approx (x + y) + 1)$	(plus successor)
	$\forall x, y (x * 0 \approx 0)$	(times 0)
	$\forall x, y (x * (y + 1) \approx x * y + x)$	(times successor)

$3 * y + 5 > 2 * y$  expressed as  $\exists z (z \neq 0 \wedge 3 * y + 5 \approx 2 * y + z)$

**Intended interpretation:**  $(\mathbb{N}, \{0, 1, +, *\}, \{<\})$  (also with  $\approx$ )

(does not capture true arithmetic by Goedel's incompleteness theorem)

**Undecidable**

# Theory of integers

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- $\text{Th}((\mathbb{Z}, \{0, 1, +, *\}, \{<\}))$

**Undecidable**

# Theory of real numbers

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Theory of real closed fields (real closed fields: fields with same properties as real numbers)

**Axioms:**

- the ordered field axioms;
- axiom asserting that every positive number has a square root; and
- an axiom scheme asserting that all polynomials of odd order have at least one real root.

Tarski (1951) proved that the theory of real closed fields, including the binary predicate symbols " $=$ ", " $\neq$ ", and " $<$ ", and the operations of addition and multiplication, admits elimination of quantifiers, which implies that it is a complete and decidable theory.

# Linear arithmetic

---

## Syntax

- Signature  $\Sigma = (\{0/0, s/1, +/2\}, \{</2\})$
- Terms, atomic formulae – as usual

**Example:**  $3 * x_1 + 2 * x_2 \leq 5 * x_3$  abbreviation for

$$(x_1 + x_1 + x_1) + (x_2 + x_2) \leq (x_3 + x_3 + x_3 + x_3 + x_3)$$

# Linear arithmetic

---

There are several ways to define linear arithmetic.

We need at least the following signature:  $\Sigma = (\{0/0, 1/0, +/2\}, \{</2\})$  and the predefined binary predicate  $\approx$ .

# Linear arithmetic

---

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We need at least the following signature:  $\Sigma = (\{0/0, 1/0, +/2\}, \{</2\})$  and the predefined binary predicate  $\approx$ .

## Linear arithmetic over $\mathbb{N}/\mathbb{Z}$

$\text{Th}(\mathbb{Z}_+)$       $\mathbb{Z}_+ = (\mathbb{Z}, 0, s, +, <)$  the standard interpretation of integers.

## Axiomatization

## Linear arithmetic over $\mathbb{Q}/\mathbb{R}$

$\text{Th}(\mathbb{R})$       $\mathbb{R} = (\mathbb{R}, \{0, 1, +\}, \{<\})$  the standard interpretation of reals;

$\text{Th}(\mathbb{Q})$       $\mathbb{Q} = (\mathbb{Q}, \{0, 1, +\}, \{<\})$  the standard interpretation of rationals.

## Axiomatization



# Outline

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We first present an efficient method for checking the satisfiability of formulae in a very simple fragment of linear arithmetic.

We will then give more details about possibilities of checking the satisfiability of arbitrary formulae in linear arithmetic.

# Simple fragments of linear arithmetic

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- Difference logic

check satisfiability of conjunctions of constraints of the form

$$x - y \leq c$$

- UTVPI (unit, two variables per identity)

check satisfiability of conjunctions of constraints of the form

$$ax + by \leq c, \text{ where } a, b \in \{-1, 0, 1\}$$

# Application: Program Verification

---

```
i := 1,  n < m
```

```
while i < n
```

```
do
```

```
    i := i + 1
```

```
    [** part of a program in which i is used as an index in an array  
        which was declared to be of size  $s > m$  (and i is not changed)  
        **]
```

```
    . . . .
```

```
od
```

**Task:**  $i \leq s$  always during the execution of this program.

# Application: Program Verification

---

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        **]
```

```
    . . . .
```

```
od
```

**Task:**  $i \leq s$  always during the execution of this program.

**Solution:** Show that this is true at the beginning and remains true after every update of  $i$ .

# Application: Program Verification

---

```
i := 1,  n < m
```

```
while i < n
```

```
do
```

```
    i := i + 1
```

```
    /** part of a program in which i is used as an index in an array
        which was declared to be of size s > m (and i is not changed)
        **]
```

```
    ....
```

```
od
```

**Task:**  $i \leq s$  always during the execution of this program.

**Solution:** Show that  $i \leq s$  is an invariant of the program:

1) It holds at the first line:  $i = 1 \rightarrow i \leq s$

2) It is preserved under the updates in the while loop:

$\forall n, m, s, i, i' \ (n < m \wedge 1 < m < s \wedge i \leq n \wedge i \leq s \wedge i' \approx i + 1 \rightarrow i' \leq s)$

# Positive difference logic

---

## Syntax

The syntax of formulae in **positive** difference logic is defined as follows:

- Atomic formulae (also called difference constraints) are of the form:

$$x - y \leq c$$

where  $x, y$  are variables and  $c$  is a numerical constant.

- The set of formulae is:

$$\begin{array}{ll} F, G, H & ::= A \quad (\text{atomic formula}) \\ & | (F \wedge G) \quad (\text{conjunction}) \end{array}$$

## Semantics:

Versions of difference logic exist, where the standard interpretation is  $\mathbb{Q}$  or resp.  $\mathbb{Z}$ .

# Positive difference logic

---

## A decision procedure for positive difference logic ( $\leq$ only)

Let  $S$  be a set (i.e. conjunction) of atoms in (positive) difference logic.  $G(S) = (V, E, w)$ , the **inequality graph** of  $S$ , is a weighted graph with:

- $V = X(S)$ , the set of variables occurring in  $S$
- $e = (x, y) \in E$  with  $w(e) = c$  iff  $x - y \leq c \in S$

### Theorem 3.4.1.

Let  $S$  be a conjunction of difference constraints, and  $G(S)$  the inequality graph of  $S$ . Then  $S$  is satisfiable iff there is no negative cycle in  $G(S)$ .

Searching for negative cycles in a graph can be done with the Bellman-Ford algorithm for finding the single-source shortest paths in a directed weighted graph in time  $O(|V| \cdot |E|)$ . (Side-effect of the algorithm exploited - if there exists a negative cycle in the graph then the algorithm finds it and aborts.)

# Positive difference logic

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## Theorem 3.4.1.

Let  $S$  be a conjunction of difference constraints, and  $G(S)$  the inequality graph of  $S$ . Then  $S$  is satisfiable iff there is no negative cycle in  $G(S)$ .

**Proof:** ( $\Rightarrow$ ) Assume  $S$  satisfiable. Let  $\beta : X \rightarrow \mathbb{Z}$  satisfying assignment.

Let  $v_1 \xrightarrow{c_{12}} v_2 \xrightarrow{c_{23}} \dots \xrightarrow{c_{n-1,n}} v_n \xrightarrow{c_{n1}} v_1$  be a cycle in  $G(S)$ .

$$\text{Then: } \beta(v_1) - \beta(v_2) \leq c_{12}$$

$$\beta(v_2) - \beta(v_3) \leq c_{23}$$

...

$$g \quad \beta(v_n) - \beta(v_1) \leq c_{n1}$$

$$0 = \frac{\beta(v_1) - \beta(v_1)}{\beta(v_1) - \beta(v_1)} \leq \sum_{i=1}^{n-1} c_{i,i+1} + c_{n1}$$

Thus, for satisfiability it is necessary that all cycles are positive.



# Positive difference logic

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## Theorem 3.4.1.

Let  $S$  be a conjunction of difference constraints, and  $G(S)$  the inequality graph of  $S$ . Then  $S$  is satisfiable iff there is no negative cycle in  $G(S)$ .

**Proof:** ( $\Leftarrow$ ) Assume that there is no negative cycle.

Add a new vertex  $s$  and an 0-weighted edge from every vertex in  $V$  to  $s$ . (This does not introduce negative cycles.)

Let  $\delta_{uv}$  denote the minimal weight of the paths from  $u$  to  $v$ .

- $\delta_{uv} = \infty$  if there is no path from  $u$  to  $v$ .
- well-defined since there are no negative cycles

Define  $\beta : V \rightarrow \mathbb{Z}$  by  $\beta(v) = \delta_{vs}$ . **Claim:**  $\beta$  satisfying assignment for  $S$ .

Let  $x - y \leq c \in S$ . Consider the shortest paths from  $x$  to  $s$  and from  $y$  to  $s$ . By the triangle inequality,  $\delta_{xs} \leq c + \delta_{ys}$ , i.e.  $\beta(x) - \beta(y) \leq c$ .