## Part 1: Propositional Logic

Literature (also for first-order logic)

Schöning: Logik für Informatiker, Spektrum
Fitting: First-Order Logic and Automated Theorem Proving, Springer

## Last time

### 1.1 Syntax

1.2 Semantics
1.3 Models, Validity, and Satisfiability
1.4 Normal forms: CNF; DNF

## Conversion to CNF/DNF

## Proposition 1.8:

For every formula there is an equivalent formula in CNF (and also an equivalent formula in DNF).

Proof:
We consider the case of CNF.
Apply the following rules as long as possible (modulo associativity and commutativity of $\wedge$ and $\vee$ ):

Step 1: Eliminate equivalences:

$$
(F \leftrightarrow G) \Rightarrow_{k}(F \rightarrow G) \wedge(G \rightarrow F)
$$

## Conversion to CNF/DNF

Step 2: Eliminate implications:

$$
(F \rightarrow G) \Rightarrow_{k}(\neg F \vee G)
$$

Step 3: Push negations downward:

$$
\begin{aligned}
& \neg(F \vee G) \Rightarrow_{k} \quad(\neg F \wedge \neg G) \\
& \neg(F \wedge G) \Rightarrow_{k} \quad(\neg F \vee \neg G)
\end{aligned}
$$

Step 4: Eliminate multiple negations:

$$
\neg \neg F \Rightarrow k \quad F
$$

The formula obtained from a formula $F$ after applying steps $1-4$ is called the negation normal form (NNF) of $F$

## Conversion to CNF/DNF

Step 5: Push disjunctions downward:

$$
(F \wedge G) \vee H \Rightarrow_{K}(F \vee H) \wedge(G \vee H)
$$

Step 6: Eliminate $T$ and $\perp$ :

$$
\begin{aligned}
(F \wedge \top) & \Rightarrow_{K} F \\
(F \wedge \perp) & \Rightarrow_{K} \perp \\
(F \vee \top) & \Rightarrow_{K} \top \\
(F \vee \perp) & \Rightarrow_{K} F \\
\neg \perp & \Rightarrow_{K} \top \\
\neg \top & \Rightarrow_{K} \perp
\end{aligned}
$$

## Conversion to CNF/DNF

Proving termination is easy for most of the steps; only steps 1,3 and 5 are a bit more complicated.

The resulting formula is equivalent to the original one and in CNF.

The conversion of a formula to DNF works in the same way, except that disjunctions have to be pushed downward in step 5 .

## Complexity

Conversion to CNF (or DNF) may produce a formula whose size is exponential in the size of the original one.

## Satisfiability-preserving Transformations

The goal
"find a formula $G$ in CNF such that $\models F \leftrightarrow G$ "
is unpractical.

But if we relax the requirement to
"find a formula $G$ in CNF such that $F \models \perp$ iff $G \models \perp$ "
we can get an efficient transformation.

## Satisfiability-preserving Transformations

Idea:
A formula $F\left[F^{\prime}\right]$ is satisfiable iff $F[P] \wedge\left(P \leftrightarrow F^{\prime}\right)$ is satisfiable (where $P$ new propositional variable that works as abbreviation for $F^{\prime}$ ).

We can use this rule recursively for all subformulas in the original formula (this introduces a linear number of new propositional variables).

Conversion of the resulting formula to CNF increases the size only by an additional factor (each formula $P \leftrightarrow F^{\prime}$ gives rise to at most one application of the distributivity law).

## Optimized Transformations

A further improvement is possible by taking the polarity of the subformula $F$ into account.

Assume that $F$ contains neither $\rightarrow$ nor $\leftrightarrow$. A subformula $F^{\prime}$ of $F$ has positive polarity in $F$, if it occurs below an even number of negation signs; it has negative polarity in $F$, if it occurs below an odd number of negation signs.

## Optimized Transformations

## Proposition 1.9:

Let $F\left[F^{\prime}\right]$ be a formula containing neither $\rightarrow$ nor $\leftrightarrow$; let $P$ be a propositional variable not occurring in $F\left[F^{\prime}\right]$.

If $F^{\prime}$ has positive polarity in $F$, then $F\left[F^{\prime}\right]$ is satisfiable if and only if $F[P] \wedge\left(P \rightarrow F^{\prime}\right)$ is satisfiable.

If $F^{\prime}$ has negative polarity in $F$, then $F\left[F^{\prime}\right]$ is satisfiable if and only if $F[P] \wedge\left(F^{\prime} \rightarrow P\right)$ is satisfiable.

Proof:
Exercise.

This satisfiability-preserving transformation to clause form is also called structure-preserving transformation to clause form.

## Optimized Transformations

Example: Let $F=\left(Q_{1} \wedge Q_{2}\right) \vee\left(R_{1} \wedge R_{2}\right)$.
The following are equivalent:

- $F \models \perp$
- $P_{F} \wedge\left(P_{F} \leftrightarrow\left(P_{Q_{1} \wedge Q_{2}} \vee P_{R_{1} \wedge R_{2}}\right) \wedge\left(P_{Q_{1} \wedge Q_{2}} \leftrightarrow\left(Q_{1} \wedge Q_{2}\right)\right)\right.$ $\wedge\left(P_{R_{1} \wedge R_{2}} \leftrightarrow\left(R_{1} \wedge R_{2}\right)\right) \models \perp$
- $P_{F} \wedge\left(P_{F} \rightarrow\left(P_{Q_{1} \wedge Q_{2}} \vee P_{R_{1} \wedge R_{2}}\right) \wedge\left(P_{Q_{1} \wedge Q_{2}} \rightarrow\left(Q_{1} \wedge Q_{2}\right)\right)\right.$ $\wedge\left(P_{R_{1} \wedge R_{2}} \rightarrow\left(R_{1} \wedge R_{2}\right)\right) \models \perp$
- $P_{F} \wedge\left(\neg P_{F} \vee P_{Q_{1} \wedge Q_{2}} \vee P_{R_{1} \wedge R_{2}}\right) \wedge\left(\neg P_{Q_{1} \wedge Q_{2}} \vee Q_{1}\right) \wedge\left(\neg P_{Q_{1} \wedge Q_{2}} \vee Q_{2}\right)$ $\left.\wedge\left(\neg P_{R_{1} \wedge R_{2}} \vee R_{1}\right) \wedge\left(\neg P_{R_{1} \wedge R_{2}} \vee R_{2}\right)\right) \models \perp$


## Decision Procedures for Satisfiability

- Simple Decision Procedures truth table method
- The Resolution Procedure
- The Davis-Putnam-Logemann-Loveland Algorithm


### 1.5 Inference Systems and Proofs

Inference systems 「 (proof calculi) are sets of tuples

$$
\left(F_{1}, \ldots, F_{n}, F_{n+1}\right), n \geq 0,
$$

called inferences or inference rules, and written


Clausal inference system: premises and conclusions are clauses. One also considers inference systems over other data structures.

## Proofs

A proof in $\Gamma$ of a formula $F$ from a a set of formulas $N$ (called assumptions) is a sequence $F_{1}, \ldots, F_{k}$ of formulas where
(i) $F_{k}=F$,
(ii) for all $1 \leq i \leq k: F_{i} \in N$, or else there exists an inference $\left(F_{i_{1}}, \ldots, F_{i_{n_{i}}}, F_{i}\right)$ in $\Gamma$, such that $0 \leq i_{j}<i$, for $1 \leq j \leq n_{i}$.

## Soundness and Completeness

Provability $\vdash_{\Gamma}$ of $F$ from $N$ in $\Gamma$ :
$N \vdash_{\Gamma} F: \Leftrightarrow$ there exists a proof $\Gamma$ of $F$ from $N$.
$\Gamma$ is called sound $: \Leftrightarrow$

$$
\frac{F_{1} \ldots F_{n}}{F} \in \Gamma \Rightarrow F_{1}, \ldots, F_{n} \models F
$$

「 is called complete $: \Leftrightarrow$

$$
N \models F \Rightarrow N \vdash_{\ulcorner } F
$$

「 is called refutationally complete $: \Leftrightarrow$

$$
N \models \perp \Rightarrow N \vdash_{\ulcorner\perp}
$$

### 1.6 The Propositional Resolution Calculus

Resolution inference rule:

$$
\frac{C \vee A \quad \neg A \vee D}{C \vee D}
$$

Terminology: $C \vee D$ : resolvent; $A$ : resolved atom
(Positive) factorisation inference rule:

$$
\frac{C \vee A \vee A}{C \vee A}
$$

## The Resolution Calculus Res

These are schematic inference rules; for each substitution of the schematic variables $C, D$, and $A$, respectively, by propositional clauses and atoms we obtain an inference rule.

As " $\vee$ " is considered associative and commutative, we assume that $A$ and $\neg A$ can occur anywhere in their respective clauses.

## Sample Refutation

| 1. | $\neg P \vee \neg P \vee Q$ | (given) |
| :--- | :--- | ---: |
| 2. | $P \vee Q$ | (given) |
| 3. | $\neg R \vee \neg Q$ | (given) |
| 4. | $R$ | (given) |
| 5. | $\neg P \vee Q \vee Q$ | (Res. 2. into 1.) |
| 6. | $\neg P \vee Q$ | (Fact. 5.) |
| 7. | $Q \vee Q$ | (Res. 2. into 6.) |
| 8. | $Q$ | (Fact. 7.) |
| 9. | $\neg R$ | (Res. 8. into 3.) |
| 10. | $\perp$ | (Res. 4. into 9.) |

## Resolution with Implicit Factorization RIF

$$
\frac{C \vee A \vee \ldots \vee A \quad \neg A \vee D}{C \vee D}
$$

1. $\neg P \vee \neg P \vee Q$
2. $\quad P \vee Q$
3. $\neg R \vee \neg Q$
4. $R$
5. $\neg P \vee Q \vee Q$
6. $\quad Q \vee Q \vee Q$
7. $\neg R$
8. $\perp$
(given)
(given)
(given)
(given)
(Res. 2. into 1.)
(Res. 2. into 5.)
(Res. 6. into 3.)
(Res. 4. into 7.)

## Soundness of Resolution

Theorem 1.10. Propositional resolution is sound.
Proof:
Let $\mathcal{A}$ valuation. To be shown:
(i) for resolution: $\mathcal{A} \models C \vee \mathcal{A}, \mathcal{A} \models D \vee \neg A \Rightarrow \mathcal{A} \models C \vee D$
(ii) for factorization: $\mathcal{A} \models C \vee A \vee A \Rightarrow \mathcal{A} \models C \vee A$
(i): Assume $\mathcal{A}^{*}(C \vee A)=1, \mathcal{A}^{*}(D \vee \neg A)=1$.

Two cases need to be considered: (a) $\mathcal{A}^{*}(A)=1$, or (b) $\mathcal{A}^{*}(\neg A)=1$. (a) $\mathcal{A} \models A \Rightarrow \mathcal{A} \models D \Rightarrow \mathcal{A} \models C \vee D$
(b) $\mathcal{A} \models \neg A \Rightarrow \mathcal{A} \models C \Rightarrow \mathcal{A} \models C \vee D$
(ii): Assume $\mathcal{A} \models C \vee A \vee A$. Note that $\mathcal{A}^{*}(C \vee A \vee A)=\mathcal{A}^{*}(C \vee A)$,
i.e. the conclusion is also true in $\mathcal{A}$.

## Soundness of Resolution

Note: In propositional logic we have:

1. $\mathcal{A} \models L_{1} \vee \ldots \vee L_{n} \Leftrightarrow$ there exists $i: \mathcal{A} \models L_{i}$.
2. $\mathcal{A} \models A$ or $\mathcal{A} \models \neg A$.

## Completeness of Resolution

How to show refutational completeness of propositional resolution:

- We have to show: $N \models \perp \Rightarrow N \vdash$ Res $\perp$, or equivalently: If $N \nvdash$ Res $\perp$, then $N$ has a model.
- Idea: Suppose that we have computed sufficiently many inferences (and not derived $\perp$ ).

Now order the clauses in $N$ according to some appropriate ordering, inspect the clauses in ascending order, and construct a series of valuations.

- The limit valuation can be shown to be a model of $N$.


## Clause Orderings

1. We assume that $\succ$ is any fixed ordering on propositional variables that is total and well-founded.
2. Extend $\succ$ to an ordering $\succ_{L}$ on literals:

$$
\begin{array}{ccc}
{[\neg] P} & \succ_{L} & {[\neg] Q} \\
\neg P & \succ_{L} & \text {, if } P \succ Q
\end{array}
$$

3. Extend $\succ_{L}$ to an ordering $\succ_{C}$ on clauses:
$\succ_{C}=\left(\succ_{L}\right)_{\text {mul }}$, the multi-set extension of $\succ_{L}$.
Notation: $\succ$ also for $\succ_{L}$ and $\succ_{C}$.

## Multi-Set Orderings

Let $(M, \succ)$ be a partial ordering. The multi-set extension of $\succ$ to multi-sets over $M$ is defined by

$$
\begin{aligned}
& S_{1} \succ_{\text {mul }} S_{2}: \Leftrightarrow S_{1} \neq S_{2} \\
& \quad \text { and } \forall m \in M:\left[S_{2}(m)>S_{1}(m)\right. \\
& \left.\quad \Rightarrow \quad \exists m^{\prime} \in M:\left(m^{\prime} \succ m \text { and } S_{1}\left(m^{\prime}\right)>S_{2}\left(m^{\prime}\right)\right)\right]
\end{aligned}
$$

## Theorem 1.11:

a) $\succ_{\text {mul }}$ is a partial ordering.
b) $\succ$ well-founded $\Rightarrow \succ_{\text {mul }}$ well-founded
c) $\succ$ total $\Rightarrow \succ_{\text {mul }}$ total

Proof:
see Baader and Nipkow, page 22-24.

## Example

Suppose $P_{5} \succ P_{4} \succ P_{3} \succ P_{2} \succ P_{1} \succ P_{0}$. Then:

$$
\begin{array}{lc} 
& P_{0} \vee P_{1} \\
\prec & P_{1} \vee P_{2} \\
\prec & \neg P_{1} \vee P_{2} \\
\prec & \neg P_{1} \vee P_{4} \vee P_{3} \\
\prec & \neg P_{1} \vee \neg P_{4} \vee P_{3} \\
\prec & \quad \neg P_{5} \vee P_{5}
\end{array}
$$

## Stratified Structure of Clause Sets

Let $A \succ B$. Clause sets are then stratified in this form:


## Closure of Clause Sets under Res

$$
\begin{aligned}
& \operatorname{Res}(N)=\{C \mid C \text { is concl. of a rule in } \operatorname{Res} w / \text { premises in } N\} \\
& \operatorname{Res}^{0}(N)=N \\
& \operatorname{Res}^{n+1}(N)=\operatorname{Res}\left(\operatorname{Res}^{n}(N)\right) \cup \operatorname{Res}^{n}(N), \text { for } n \geq 0 \\
& \operatorname{Res}^{*}(N)=\bigcup_{n \geq 0} \operatorname{Res}^{n}(N) \\
& N \text { is called saturated (wrt. resolution), if } \operatorname{Res}(N) \subseteq N .
\end{aligned}
$$

## Proposition 1.12

(i) $\operatorname{Res}^{*}(N)$ is saturated.
(ii) Res is refutationally complete, iff for each set $N$ of ground clauses:

$$
N \models \perp \Leftrightarrow \perp \in \operatorname{Res}^{*}(N)
$$

## Construction of Interpretations

Given: set $N$ of clauses, atom ordering $\succ$.
Wanted: Valuation $\mathcal{A}$ such that

- "many" clauses from $N$ are valid in $\mathcal{A}$;
- $\mathcal{A} \models N$, if $N$ is saturated and $\perp \notin N$.

Construction according to $\succ$, starting with the minimal clause.

## Main Ideas of the Construction

- Clauses are considered in the order given by $\prec$. We construct a model for $N$ incrementally.
- When considering $C$, one already has a partial interpretation $I_{C}$ (initially $I_{C}=\emptyset$ ) available.

In what follows, instead of referring to partial valuations $\mathcal{A}_{C}$ we will refer to partial interpretations $I_{C}$ (the set of atoms which are true in the valuation $\mathcal{A}_{C}$ ).

- If $C$ is true in the partial interpretation $I_{C}$, nothing is done. $\left(\Delta_{C}=\emptyset\right)$.
- If $C$ is false, one would like to change $I_{C}$ such that $C$ becomes true.


## Example

Let $P_{5} \succ P_{4} \succ P_{3} \succ P_{2} \succ P_{1} \succ P_{0}$ (max. literals in red)

|  | clauses $C$ | $I_{C}=\mathcal{A}_{C}^{-1}(1)$ | $\Delta_{C}$ | Remarks |
| :--- | ---: | ---: | ---: | :--- |
| 1 | $\neg P_{0}$ |  |  |  |
| 2 | $P_{0} \vee P_{1}$ |  |  |  |
| 3 | $P_{1} \vee P_{2}$ |  |  |  |
| 4 | $\neg P_{1} \vee P_{2}$ |  |  |  |
| 5 | $\neg P_{1} \vee P_{4} \vee P_{3} \vee P_{0}$ |  |  |  |
| 6 | $\neg P_{1} \vee \neg P_{4} \vee P_{3}$ |  |  |  |
|  | $\neg P_{1} \vee P_{5}$ |  |  |  |
| 7 |  |  |  |  |

## Example

Let $P_{5} \succ P_{4} \succ P_{3} \succ P_{2} \succ P_{1} \succ P_{0}$ (max. literals in red)

|  | clauses $C$ | $I_{C}=\mathcal{A}_{C}^{-1}(1)$ | $\Delta_{C}$ | Remarks |
| :--- | ---: | :---: | :---: | :--- |
| 1 | $\neg P_{0}$ | $\emptyset$ | $\emptyset$ | true in $\mathcal{A}_{C}$ |
| 2 | $P_{0} \vee P_{1}$ |  |  |  |
| 3 | $P_{1} \vee P_{2}$ |  |  |  |
| 4 | $\neg P_{1} \vee P_{2}$ |  |  |  |
| 5 | $\neg P_{1} \vee P_{4} \vee P_{3} \vee P_{0}$ |  |  |  |
| 6 | $\neg P_{1} \vee \neg P_{4} \vee P_{3}$ |  |  |  |
|  | $\neg P_{1} \vee P_{5}$ |  |  |  |
| 7 |  |  |  |  |

## Example

Let $P_{5} \succ P_{4} \succ P_{3} \succ P_{2} \succ P_{1} \succ P_{0}$ (max. literals in red)

|  | clauses $C$ | $I_{C}=\mathcal{A}_{C}^{-1}(1)$ | $\Delta_{C}$ | Remarks |
| :--- | ---: | :---: | :---: | :--- |
| 1 | $\neg P_{0}$ | $\emptyset$ | $\emptyset$ | true in $\mathcal{A}_{C}$ |
| 2 | $P_{0} \vee P_{1}$ | $\emptyset$ | $\left\{P_{1}\right\}$ | $P_{1}$ maximal |
| 3 | $P_{1} \vee P_{2}$ |  |  |  |
| 4 | $\neg P_{1} \vee P_{2}$ |  |  |  |
| 5 | $\neg P_{1} \vee P_{4} \vee P_{3} \vee P_{0}$ |  |  |  |
| 6 | $\neg P_{1} \vee \neg P_{4} \vee P_{3}$ |  |  |  |
|  |  |  |  |  |
| 7 | $\neg P_{1} \vee P_{5}$ |  |  |  |

## Example

Let $P_{5} \succ P_{4} \succ P_{3} \succ P_{2} \succ P_{1} \succ P_{0}$ (max. literals in red)

|  | clauses $C$ | $I_{C}=\mathcal{A}_{C}^{-1}(1)$ | $\Delta_{C}$ | Remarks |
| :--- | ---: | :---: | :---: | :--- |
| 1 | $\neg P_{0}$ | $\emptyset$ | $\emptyset$ | true in $\mathcal{A}_{C}$ |
| 2 | $P_{0} \vee P_{1}$ | $\emptyset$ | $\left\{P_{1}\right\}$ | $P_{1}$ maximal |
| 3 | $P_{1} \vee P_{2}$ | $\left\{P_{1}\right\}$ | $\emptyset$ | true in $\mathcal{A}_{C}$ |
| 4 | $\neg P_{1} \vee P_{2}$ |  |  |  |
| 5 | $\neg P_{1} \vee P_{4} \vee P_{3} \vee P_{0}$ |  |  |  |
| 6 | $\neg P_{1} \vee \neg P_{4} \vee P_{3}$ |  |  |  |
|  |  |  |  |  |
| 7 | $\neg P_{1} \vee P_{5}$ |  |  |  |

## Example

Let $P_{5} \succ P_{4} \succ P_{3} \succ P_{2} \succ P_{1} \succ P_{0}$ (max. literals in red)

|  | clauses $C$ | $I_{C}=\mathcal{A}_{C}^{-1}(1)$ | $\Delta_{C}$ | Remarks |
| :--- | ---: | :---: | :---: | :--- |
| 1 | $\neg P_{0}$ | $\emptyset$ | $\emptyset$ | true in $\mathcal{A}_{C}$ |
| 2 | $P_{0} \vee P_{1}$ | $\emptyset$ | $\left\{P_{1}\right\}$ | $P_{1}$ maximal |
| 3 | $P_{1} \vee P_{2}$ | $\left\{P_{1}\right\}$ | $\emptyset$ | true in $\mathcal{A}_{C}$ |
| 4 | $\neg P_{1} \vee P_{2}$ | $\left\{P_{1}\right\}$ | $\left\{P_{2}\right\}$ | $P_{2}$ maximal |
| 5 | $\neg P_{1} \vee P_{4} \vee P_{3} \vee P_{0}$ |  |  |  |
| 6 | $\neg P_{1} \vee \neg P_{4} \vee P_{3}$ |  |  |  |
|  |  |  |  |  |
| 7 | $\neg P_{1} \vee P_{5}$ |  |  |  |

## Example

Let $P_{5} \succ P_{4} \succ P_{3} \succ P_{2} \succ P_{1} \succ P_{0}$ (max. literals in red)

|  | clauses $C$ | $I_{C}=\mathcal{A}_{C}^{-1}(1)$ | $\Delta_{C}$ | Remarks |
| :--- | ---: | :---: | :---: | :--- |
| 1 | $\neg P_{0}$ | $\emptyset$ | $\emptyset$ | true in $\mathcal{A}_{C}$ |
| 2 | $P_{0} \vee P_{1}$ | $\emptyset$ | $\left\{P_{1}\right\}$ | $P_{1}$ maximal |
| 3 | $P_{1} \vee P_{2}$ | $\left\{P_{1}\right\}$ | $\emptyset$ | true in $\mathcal{A}_{C}$ |
| 4 | $\neg P_{1} \vee P_{2}$ | $\left\{P_{1}\right\}$ | $\left\{P_{2}\right\}$ | $P_{2}$ maximal |
| 5 | $\neg P_{1} \vee P_{4} \vee P_{3} \vee P_{0}$ | $\left\{P_{1}, P_{2}\right\}$ | $\left\{P_{4}\right\}$ | $P_{4}$ maximal |
| 6 | $\neg P_{1} \vee \neg P_{4} \vee P_{3}$ |  |  |  |
|  |  |  |  |  |
| 7 | $\neg P_{1} \vee P_{5}$ |  |  |  |

## Example

Let $P_{5} \succ P_{4} \succ P_{3} \succ P_{2} \succ P_{1} \succ P_{0}$ (max. literals in red)

|  | clauses $C$ | $I_{C}=\mathcal{A}_{C}^{-1}(1)$ | $\Delta_{C}$ | Remarks |
| :---: | :---: | :---: | :---: | :---: |
| 1 | $\neg P_{0}$ | $\emptyset$ | $\emptyset$ | true in $\mathcal{A}_{C}$ |
| 2 | $P_{0} \vee P_{1}$ | $\emptyset$ | $\left\{P_{1}\right\}$ | $P_{1}$ maximal |
| 3 | $P_{1} \vee P_{2}$ | $\left\{P_{1}\right\}$ | $\emptyset$ | true in $\mathcal{A}_{C}$ |
| 4 | $\neg P_{1} \vee P_{2}$ | $\left\{P_{1}\right\}$ | $\left\{P_{2}\right\}$ | $P_{2}$ maximal |
| 5 | $\neg P_{1} \vee P_{4} \vee P_{3} \vee P_{0}$ | $\left\{P_{1}, P_{2}\right\}$ | $\left\{P_{4}\right\}$ | $P_{4}$ maximal |
| 6 | $\neg P_{1} \vee \neg P_{4} \vee P_{3}$ | $\left\{P_{1}, P_{2}, P_{4}\right\}$ | $\emptyset$ | $P_{3}$ not maximal; min. counter-ex. |
| 7 | $\neg P_{1} \vee P_{5}$ | $\left\{P_{1}, P_{2}, P_{4}\right\}$ | $\left\{P_{5}\right\}$ |  |

## Main Ideas of the Construction

- Clauses are considered in the order given by $\prec$.
- When considering $C$, one already has a partial interpretation $I_{C}$ (initially $I_{C}=\emptyset$ ) available.
- If $C$ is true in the partial interpretation $I_{C}$, nothing is done. $\left(\Delta_{C}=\emptyset\right)$.
- If $C$ is false, one would like to change $I_{C}$ such that $C$ becomes true.


## Main Ideas of the Construction

- Changes should, however, be monotone. One never deletes anything from $I_{C}$ and the truth value of clauses smaller than $C$ should be maintained the way it was in $I_{C}$.
- Hence, one chooses $\Delta_{C}=\{A\}$ if, and only if, $C$ is false in $I_{C}$, if A occurs positively in $C$ (adding $A$ will make $C$ become true) and if this occurrence in $C$ is strictly maximal in the ordering on literals (changing the truth value of $A$ has no effect on smaller clauses).


## Resolution Reduces Counterexamples

$$
\frac{\neg P_{1} \vee P_{4} \vee P_{3} \vee P_{0} \neg P_{1} \vee \neg P_{4} \vee P_{3}}{\neg P_{1} \vee \neg P_{1} \vee P_{3} \vee P_{3} \vee P_{0}}
$$

Construction of $I$ for the extended clause set:

|  | clauses $C$ | $I_{C}$ | $\Delta_{C}$ | Remarks |
| ---: | ---: | :---: | :---: | :--- |
| 1 | $\neg P_{0}$ | $\emptyset$ | $\emptyset$ |  |
| 2 | $P_{0} \vee P_{1}$ | $\emptyset$ | $\left\{P_{1}\right\}$ |  |
| 3 | $P_{1} \vee P_{2}$ | $\left\{P_{1}\right\}$ | $\emptyset$ |  |
| 4 | $\neg P_{1} \vee P_{2}$ | $\left\{P_{1}\right\}$ | $\left\{P_{2}\right\}$ |  |
| 8 | $\neg P_{1} \vee \neg P_{1} \vee P_{3} \vee P_{3} \vee P_{0}$ | $\left\{P_{1}, P_{2}\right\}$ | $\emptyset$ | $P_{3}$ occurs twice |
|  |  |  |  | minimal counter-ex. |
| 5 | $\neg P_{1} \vee P_{4} \vee P_{3} \vee P_{0}$ | $\left\{P_{1}, P_{2}\right\}$ | $\left\{P_{4}\right\}$ |  |
| 6 | $\neg P_{1} \vee \neg P_{4} \vee P_{3}$ | $\left\{P_{1}, P_{2}, P_{4}\right\}$ | $\emptyset$ | counterexample |
| 7 | $\neg P_{1} \vee P_{5}$ | $\left\{P_{1}, P_{2}, P_{4}\right\}$ | $\left\{P_{5}\right\}$ |  |

The same $I$, but smaller counterexample, hence some progress was made.

## Factorization Reduces Counterexamples

$$
\frac{\neg P_{1} \vee \neg P_{1} \vee P_{3} \vee P_{3} \vee P_{0}}{\neg P_{1} \vee \neg P_{1} \vee P_{3} \vee P_{0}}
$$

Construction of $I$ for the extended clause set:

|  | clauses $C$ | $I_{C}$ | $\Delta_{C}$ | Remarks |
| :--- | ---: | :---: | :---: | :--- |
| 1 | $\neg P_{0}$ | $\emptyset$ | $\emptyset$ |  |
| 2 | $P_{0} \vee P_{1}$ | $\emptyset$ | $\left\{P_{1}\right\}$ |  |
| 3 | $P_{1} \vee P_{2}$ | $\left\{P_{1}\right\}$ | $\emptyset$ |  |
| 4 | $\neg P_{1} \vee P_{2}$ | $\left\{P_{1}\right\}$ | $\left\{P_{2}\right\}$ |  |
| 9 | $\neg P_{1} \vee \neg P_{1} \vee P_{3} \vee P_{0}$ | $\left\{P_{1}, P_{2}\right\}$ | $\left\{P_{3}\right\}$ |  |
| 8 | $\neg P_{1} \vee \neg P_{1} \vee P_{3} \vee P_{3} \vee P_{0}$ | $\left\{P_{1}, P_{2}, P_{3}\right\}$ | $\emptyset$ | true in $\mathcal{A}_{C}$ |
| 5 | $\neg P_{1} \vee P_{4} \vee P_{3} \vee P_{0}$ | $\left\{P_{1}, P_{2}, P_{3}\right\}$ | $\emptyset$ |  |
| 6 | $\neg P_{1} \vee \neg P_{4} \vee P_{3}$ | $\left\{P_{1}, P_{2}, P_{3}\right\}$ | $\emptyset$ | true in $\mathcal{A}_{C}$ |
| 7 | $\neg P_{3} \vee P_{5}$ | $\left\{P_{1}, P_{2}, P_{3}\right\}$ | $\left\{P_{5}\right\}$ |  |

The resulting $I=\left\{P_{1}, P_{2}, P_{3}, P_{5}\right\}$ is a model of the clause set.

## Construction of Candidate Models Formally

Let $N, \succ$ be given. We define sets $I_{C}$ and $\Delta_{C}$ for all ground clauses $C$ over the given signature inductively over $\succ$ :

$$
\begin{aligned}
I_{C} & :=\bigcup_{C \succ D} \Delta_{D} \\
\Delta_{C} & := \begin{cases}\{A\}, & \text { if } C \in N, C=C^{\prime} \vee A, A \succ C^{\prime}, I_{C} \not \models C \\
\emptyset, & \text { otherwise }\end{cases}
\end{aligned}
$$

We say that $C$ produces $A$, if $\Delta_{C}=\{A\}$.

The candidate model for $N(w r t . \succ)$ is given as $I_{N}^{\succ}:=\bigcup_{C} \Delta_{C}$.
We also simply write $I_{N}$, or $I$, for $I_{N}^{\succ}$ if $\succ$ is either irrelevant or known from the context.

## Structure of $N, \succ$

Let $A \succ B$; producing a new atom does not affect smaller clauses.


