

Decision Procedures in Verification

Decision Procedures (1)

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Until now:

Syntax (one-sorted signatures vs. many-sorted signatures)

Semantics

Structures (also many-sorted)

Models, Validity, and Satisfiability

Entailment and Equivalence

Theories (Syntactic vs. Semantics view)

Algorithmic Problems

Decidability/Undecidability

Methods: Resolution (Soundness, refutational completeness, refinements)

Consequences: Compactness of FOL; The Löwenheim-Skolem Theorem; Craig interpolation

Decidable subclasses of FOL

The Bernays-Schönfinkel class

(definition; decidability; tractable fragment: Horn clauses)

The Ackermann class

The monadic class

The Monadic Class

Monadic first-order logic (MFO) is FOL (without equality) over purely relational signatures $\Sigma = (\Omega, \Pi)$, where $\Omega = \emptyset$, and every $p \in \Pi$ has arity 1.

Abstract syntax:

$$\Phi := \top \mid P(x) \mid \Phi_1 \wedge \Phi_2 \mid \neg\Phi \mid \forall x\Phi$$

- All predicates unary
- No functions
- No restrictions on the formulae or on the quantifier prefix

The Monadic Class

MFO Abstract syntax: $\Phi := \top \mid P(x) \mid \Phi_1 \wedge \Phi_2 \mid \neg\Phi \mid \forall x\Phi$

Theorem (Finite model theorem for MFO). If Φ is a satisfiable MFO formula with k predicate symbols then Φ has a model where the domain is a subset of $\{0, 1\}^k$.

Idea. Let Φ be a MFO formula with k predicate symbols.

Let $\mathcal{A} = (U_{\mathcal{A}}, \{p_{\mathcal{A}}\}_{p \in \Pi})$ be a Σ -algebra. The only way to distinguish the elements of $U_{\mathcal{A}}$ is by the atomic formulae $p(x)$, $p \in \Pi$.

- the elements which $a \in U_{\mathcal{A}}$ which belong to the same $p_{\mathcal{A}}$'s, $p \in \Pi$ can be collapsed into one single element.
- if $\Pi = \{p^1, \dots, p^k\}$ then what remains is a *finite structure* with at most 2^k elements.
- the truth value of a formula: computed by evaluating all subformulae.

The Monadic Class

Theorem (Finite model theorem for MFO). If Φ is a satisfiable MFO formula with k predicate symbols then Φ has a model where the domain is a subset of $\{0, 1\}^k$.

Proof: Let $\mathcal{B} = (\{0, 1\}^k, \{p_{\mathcal{B}}^1, \dots, p_{\mathcal{B}}^k\})$, where $p_{\mathcal{B}}^i = \{(b_1, \dots, b_k) \mid b_i = 1\}$.

Let $\mathcal{A} = (U_{\mathcal{A}}, \{p_{\mathcal{A}}^1, \dots, p_{\mathcal{A}}^k\})$, $\beta : X \rightarrow U_{\mathcal{A}}$ be such that $(\mathcal{A}, \beta) \models \Phi$.

We construct a model for Φ with cardinality at most 2^k as follows:

- Let $h : \mathcal{A} \rightarrow \mathcal{B}$ be defined for all $a \in U_{\mathcal{A}}$ by:

$$h(a) = (b_1, \dots, b_k) \text{ where } b_i = 1 \text{ if } a \in p_{\mathcal{A}}^i \text{ and } 0 \text{ otherwise.}$$

Then $a \in p_{\mathcal{A}}^i$ iff $h(a) \in p_{\mathcal{B}}^i$ for all $a \in U_{\mathcal{A}}$ and all $i = 1, \dots, k$.

- Let $\mathcal{B}' = (\{0, 1\}^k \cap h(U_{\mathcal{A}}), \{p_{\mathcal{B}}^1 \cap h(U_{\mathcal{A}}), \dots, p_{\mathcal{B}}^k \cap h(U_{\mathcal{A}})\})$.
- We show that $(\mathcal{B}', \beta \circ h) \models \Phi$. Structural induction

The Monadic Class

To show:

$$(\mathcal{A}(\beta))(\Phi) = \mathcal{B}'(\beta \circ h)(\Phi).$$

Induction on the structure of Φ

Induction base: Show that claim is true for all atomic formulae

- $\Phi = \top$ OK

- $\Phi = p^i(x)$.

Then the following are equivalent:

(1) $(\mathcal{A}, \beta) \models \Phi$

(2) $\beta(x) \in p_{\mathcal{A}}^i$ (definition)

(3) $h(\beta(x)) \in p_{\mathcal{B}}^i$ (definition of h and of $p_{\mathcal{B}}^i$)

(4) $(\mathcal{B}', \beta \circ h) \models \Phi$ (definition)

The Monadic Class

Induction on the structure of Φ

Let Φ be a formula which is not atomic.

Assume statement holds for the (direct) subformulae of Φ . Prove that it holds for Φ .

- $\Phi = \Phi_1 \wedge \Phi_2$

Assume $(\mathcal{A}, \beta) \models \Phi$. Then $(\mathcal{A}, \beta) \models \Phi_i, i = 1, 2$.

By induction hypothesis, $(\mathcal{B}', \beta \circ h) \models \Phi_i, i = 1, 2$.

Thus, $(\mathcal{B}', \beta \circ h) \models \Phi = \Phi_1 \wedge \Phi_2$

The converse can be proved similarly.

- $\Phi = \neg\Phi_1$

The following are equivalent:

(1) $(\mathcal{A}, \beta) \models \Phi = \neg\Phi_1$.

(2) $\mathcal{A}(\beta)(\Phi_1) = 0$

(3) $\mathcal{B}'(\beta \circ h)(\Phi_1) = 0$

(induction hypothesis)

(4) $(\mathcal{B}', \beta \circ h) \models \Phi = \neg\Phi_1$

The Monadic Class

- $\Phi = \forall x \Phi_1(x)$.

Then the following are equivalent:

(1) $(\mathcal{A}, \beta) \models \Phi$

(2) $\mathcal{A}(\beta[x \mapsto a])(\Phi_1) = 1$ for all $a \in U_{\mathcal{A}}$

(3) $\mathcal{B}'(\beta[x \mapsto a] \circ h)(\Phi_1) = 1$ for all $a \in U_{\mathcal{A}}$ (ind. hyp)

(4) $\mathcal{B}'(\beta \circ h[x \mapsto b])(\Phi_1) = 1$ for all $b \in \{0, 1\}^k \cap h(A)$

(5) $(\mathcal{B}', \beta \circ h) \models \Phi$

The Monadic Class

Resolution-based decision procedure for the Monadic Class (and for several other classes):

William H. Joyner Jr.

Resolution Strategies as Decision Procedures.

J. ACM 23(3): 398-417 (1976)

Idea:

- Use orderings to restrict the possible inferences
- Identify a class of clauses (with terms of bounded depth) which contains the type of clauses generated from the respective fragment and is closed under ordered resolution (+ red. elim. criteria)
- Show that a saturation of the clauses can be obtained in finite time

The Monadic Class

Resolution-based decision procedure for the Monadic Class:

$$\Phi : \quad \forall \bar{x}_1 \exists \bar{y}_1 \dots \forall \bar{x}_k \exists \bar{y}_k (\dots p^s(x_i) \dots p^l(y_i) \dots)$$

$$\mapsto \quad \forall \bar{x}_1 \dots \forall \bar{x}_k (\dots p^s(x_i) \dots p^l(f_{sk}(\bar{x}_1, \dots, \bar{x}_i) \dots))$$

Consider the class MON of clauses with the following properties:

- no literal of height greater than 2 appears
- each variable-disjoint partition has at most $n = \sum_{i=1} |\bar{x}_i|$ variables (can order the variables as x_1, \dots, x_n)
- the variables of each non-ground block can occur either in atoms $p(x_i)$ or in atoms $P(f_{sk}(x_1, \dots, x_t))$, $0 \leq t \leq n$

It can be shown that this class contains all CNF's of formulae in the monadic class and is closed under ordered resolution.

3.2 Deduction problems

Satisfiability w.r.t. a **theory**

Satisfiability w.r.t. a theory

Example

Let $\Sigma = (\{e/0, */2, i/1\}, \emptyset)$

Let \mathcal{F} consist of all (universally quantified) group axioms:

$$\forall x, y, z \quad x * (y * z) \approx (x * y) * z$$

$$\forall x \quad x * i(x) \approx e \quad \wedge \quad i(x) * x \approx e$$

$$\forall x \quad x * e \approx x \quad \wedge \quad e * x \approx x$$

Question: Is $\forall x, y (x * y = y * x)$ entailed by \mathcal{F} ?

Satisfiability w.r.t. a theory

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$$\forall x \quad x * i(x) \approx e \quad \wedge \quad i(x) * x \approx e$$

$$\forall x \quad x * e \approx x \quad \wedge \quad e * x \approx x$$

Question: Is $\forall x, y (x * y = y * x)$ entailed by \mathcal{F} ?

Alternative question:

Is $\forall x, y (x * y = y * x)$ true in the class of all groups?

Logical theories

Syntactic view

first-order theory: given by a set \mathcal{F} of (closed) first-order Σ -formulae.

the **models** of \mathcal{F} : $\text{Mod}(\mathcal{F}) = \{\mathcal{A} \in \Sigma\text{-alg} \mid \mathcal{A} \models G, \text{ for all } G \text{ in } \mathcal{F}\}$

Semantic view

given a class \mathcal{M} of Σ -algebras

the **first-order theory** of \mathcal{M} : $\text{Th}(\mathcal{M}) = \{G \in F_\Sigma(X) \text{ closed} \mid \mathcal{M} \models G\}$

Decidable theories

Let $\Sigma = (\Omega, \Pi)$ be a signature.

\mathcal{M} : class of Σ -algebras. $\mathcal{T} = \text{Th}(\mathcal{M})$ is decidable
iff

there is an algorithm which, for every closed first-order formula ϕ , can decide (after a finite number of steps) whether ϕ is in \mathcal{T} or not.

\mathcal{F} : class of (closed) first-order formulae.

The theory $\mathcal{T} = \text{Th}(\text{Mod}(\mathcal{F}))$ is decidable
iff

there is an algorithm which, for every closed first-order formula ϕ , can decide (in finite time) whether $\mathcal{F} \models \phi$ or not.

Examples

Undecidable theories

- $\text{Th}(\langle \mathbb{Z}, \{0, 1, +, *\}, \{\leq\} \rangle)$
- $\text{Th}(\Sigma\text{-alg})$

Peano arithmetic

Peano axioms:	$\forall x \neg(x + 1 \approx 0)$	(zero)
	$\forall x \forall y (x + 1 \approx y + 1 \rightarrow x \approx y)$	(successor)
	$F[0] \wedge (\forall x (F[x] \rightarrow F[x + 1])) \rightarrow \forall x F[x]$	(induction)
	$\forall x (x + 0 \approx x)$	(plus zero)
	$\forall x, y (x + (y + 1) \approx (x + y) + 1)$	(plus successor)
	$\forall x, y (x * 0 \approx 0)$	(times 0)
	$\forall x, y (x * (y + 1) \approx x * y + x)$	(times successor)

$3 * y + 5 > 2 * y$ expressed as $\exists z (z \neq 0 \wedge 3 * y + 5 \approx 2 * y + z)$

Intended interpretation: $(\mathbb{N}, \{0, 1, +, *\}, \{\approx, \leq\})$

(does not capture true arithmetic by Goedel's incompleteness theorem)

Examples

In order to obtain decidability results:

- Restrict the signature
- Enrich axioms
- Look at certain fragments

Examples

In order to obtain decidability results:

- Restrict the signature
- Enrich axioms
- Look at certain fragments

Decidable theories

- Presburger arithmetic decidable in 3EXPTIME [Presburger'29]
Signature: $(\{0, 1, +\}, \{\approx, \leq\})$ (no $*$)
Axioms $\{ \text{(zero)}, \text{(successor)}, \text{(induction)}, \text{(plus zero)}, \text{(plus successor)} \}$
- $\text{Th}(\mathbb{Z}_+)$ $\mathbb{Z}_+ = (\mathbb{Z}, 0, s, +, \leq)$ the standard interpretation of integers.

Examples

In order to obtain decidability results:

- Restrict the signature
- **Enrich axioms**
- Look at certain fragments

Decidable theories

- The theory of real numbers (with addition and multiplication) is decidable in 2EXPTIME [Tarski'30]

Examples

In order to obtain decidability results:

- Restrict the signature
- Enrich axioms
- Look at certain fragments

Problems

\mathcal{T} : first-order theory in signature Σ ; \mathcal{L} class of (closed) Σ -formulae

Given ϕ in \mathcal{L} , is it the case that $\mathcal{T} \models \phi$?

Common restrictions on \mathcal{L}

	Pred = \emptyset	$\{\phi \in \mathcal{L} \mid \mathcal{T} \models \phi\}$
$\mathcal{L} = \{\forall x A(x) \mid A \text{ atomic}\}$	word problem	
$\mathcal{L} = \{\forall x (A_1 \wedge \dots \wedge A_n \rightarrow B) \mid A_i, B \text{ atomic}\}$	uniform word problem	$\text{Th}_{\forall \text{Horn}}$
$\mathcal{L} = \{\forall x C(x) \mid C(x) \text{ clause}\}$	clausal validity problem	$\text{Th}_{\forall, \text{cl}}$
$\mathcal{L} = \{\forall x \phi(x) \mid \phi(x) \text{ unquantified}\}$	universal validity problem	Th_{\forall}
$\mathcal{L} = \{\exists x A_1 \wedge \dots \wedge A_n \mid A_i \text{ atomic}\}$	unification problem	Th_{\exists}
$\mathcal{L} = \{\forall x \exists x A_1 \wedge \dots \wedge A_n \mid A_i \text{ atomic}\}$	unification with constants	$\text{Th}_{\forall \exists}$

\mathcal{T} -validity vs. \mathcal{T} -satisfiability

\mathcal{T} -validity: Let \mathcal{T} be a first-order theory in signature Σ
Let \mathcal{L} be a class of (closed) Σ -formulae
Given ϕ in \mathcal{L} , is it the case that $\mathcal{T} \models \phi$?

Remark: $\mathcal{T} \models \phi$ iff $\mathcal{T} \cup \neg\phi$ unsatisfiable

Every \mathcal{T} -validity problem has a dual \mathcal{T} -satisfiability problem:

\mathcal{T} -satisfiability: Let \mathcal{T} be a first-order theory in signature Σ
Let \mathcal{L} be a class of (closed) Σ -formulae
 $\neg\mathcal{L} = \{\neg\phi \mid \phi \in \mathcal{L}\}$
Given ψ in $\neg\mathcal{L}$, is it the case that $\mathcal{T} \cup \psi$ is satisfiable?

\mathcal{T} -validity vs. \mathcal{T} -satisfiability

Common restrictions on \mathcal{L} / $\neg\mathcal{L}$

\mathcal{L}	$\neg\mathcal{L}$
$\{\forall x A(x) \mid A \text{ atomic}\}$	$\{\exists x \neg A(x) \mid A \text{ atomic}\}$
$\{\forall x (A_1 \wedge \dots \wedge A_n \rightarrow B) \mid A_i, B \text{ atomic}\}$	$\{\exists x (A_1 \wedge \dots \wedge A_n \wedge \neg B) \mid A_i, B \text{ atomic}\}$
$\{\forall x \bigvee L_i \mid L_i \text{ literals}\}$	$\{\exists x \bigwedge L'_i \mid L'_i \text{ literals}\}$
$\{\forall x \phi(x) \mid \phi(x) \text{ unquantified}\}$	$\{\exists x \phi'(x) \mid \phi'(x) \text{ unquantified}\}$

validity problem for universal formulae

ground satisfiability problem

\mathcal{T} -validity vs. \mathcal{T} -satisfiability

Common restrictions on \mathcal{L} / $\neg\mathcal{L}$

\mathcal{L}	$\neg\mathcal{L}$
$\{\forall x A(x) \mid A \text{ atomic}\}$	$\{\exists x \neg A(x) \mid A \text{ atomic}\}$
$\{\forall x (A_1 \wedge \dots \wedge A_n \rightarrow B) \mid A_i, B \text{ atomic}\}$	$\{\exists x (A_1 \wedge \dots \wedge A_n \wedge \neg B) \mid A_i, B \text{ atomic}\}$
$\{\forall x \bigvee L_i \mid L_i \text{ literals}\}$	$\{\exists x \bigwedge L'_i \mid L'_i \text{ literals}\}$
$\{\forall x \phi(x) \mid \phi(x) \text{ unquantified}\}$	$\{\exists x \phi'(x) \mid \phi'(x) \text{ unquantified}\}$

validity problem for universal formulae

ground satisfiability problem

In what follows we will focus on the problem of checking the satisfiability of conjunctions of ground literals

\mathcal{T} -validity vs. \mathcal{T} -satisfiability

$\mathcal{T} \models \forall x A(x)$	iff	$\mathcal{T} \cup \exists x \neg A(x)$ unsatisfiable
$\mathcal{T} \models \forall x (A_1 \wedge \dots \wedge A_n \rightarrow B)$	iff	$\mathcal{T} \cup \exists x (A_1 \wedge \dots \wedge A_n \wedge \neg B)$ unsatisfiable
$\mathcal{T} \models \forall x (\bigvee_{i=1}^n A_i \vee \bigvee_{j=1}^m \neg B_j)$	iff	$\mathcal{T} \cup \exists x (\neg A_1 \wedge \dots \wedge \neg A_n \wedge B_1 \wedge \dots \wedge B_m)$ unsatisfiable

\mathcal{T} -satisfiability vs. Constraint Solving

The field of Constraint Solving also deals with satisfiability problems

But be careful:

- in Constraint Solving one is interested if a formula is satisfiable in a **given, fixed model** of \mathcal{T} .
- in \mathcal{T} -satisfiability one is interested if a formula is satisfiable in **any model** of \mathcal{T} at all.

3.3. Theory of Uninterpreted Function Symbols

Why?

- Reasoning about equalities is important in automated reasoning
- Applications to program verification
(approximation: abstract from additional properties)

Application: Compiler Validation

Example: prove equivalence of source and target program

1: y := 1	1: y := 1
2: if z = x*x*x	2: R1 := x*x
3: then y := x*x + y	3: R2 := R1*x
4: endif	4: jmpNE(z,R2,6)
	5: y := R1+1

To prove: (indexes refer to values at line numbers)

$$\begin{aligned} & y_1 \approx 1 \wedge [(z_0 \approx x_0 * x_0 * x_0 \wedge y_3 \approx x_0 * x_0 + y_1) \vee (z_0 \not\approx x_0 * x_0 * x_0 \wedge y_3 \approx y_1)] \wedge \\ & y'_1 \approx 1 \wedge R1_2 \approx x'_0 * x'_0 \wedge R2_3 \approx R1_2 * x'_0 \wedge \\ & \quad \wedge [(z'_0 \approx R2_3 \wedge y'_5 \approx R1_2 + 1) \vee (z'_0 \neq R2_3 \wedge y'_5 \approx y'_1)] \wedge \\ & x_0 \approx x'_0 \wedge y_0 \approx y'_0 \wedge z_0 \approx z'_0 \implies x_0 \approx x'_0 \wedge y_3 \approx y'_5 \wedge z_0 \approx z'_0 \end{aligned}$$

Possibilities for checking it

(1) **Abstraction.**

Consider $*$ to be a “free” function symbol (forget its properties).
Test if property can be proved in this approximation. If so,
then we know that implication holds also under the normal
interpretation of $*$.

(2) **Reasoning about formulae in fragments of arithmetic.**

Uninterpreted function symbols

Let $\Sigma = (\Omega, \Pi)$ be arbitrary

Let $\mathcal{M} = \Sigma\text{-alg}$ be the class of all Σ -structures

The theory of uninterpreted function symbols is $\text{Th}(\Sigma\text{-alg})$ the family of all first-order formulae which are true in all Σ -algebras.

in general undecidable

Decidable fragment:

e.g. the class $\text{Th}_{\forall}(\Sigma\text{-alg})$ of all **universal** formulae which are true in all Σ -algebras.

Uninterpreted function symbols

Assume $\Pi = \emptyset$ (and \approx is the only predicate)

In this case we denote the theory of uninterpreted function symbols by $UIF(\Sigma)$ (or UIF when the signature is clear from the context).

This theory is sometimes called **the theory of free functions** and denoted $\text{Free}(\Sigma)$

Uninterpreted function symbols

Theorem 3.3.1

The following are equivalent:

- (1) testing validity of universal formulae w.r.t. UIF is decidable
- (2) testing validity of (universally quantified) clauses w.r.t. UIF is decidable

Proof: Follows from the fact that any universal formula is equivalent to a conjunction of (universally quantified) clauses.

Solution 1

Task:

Check if $UIF \models \forall \bar{x} (s_1(\bar{x}) \approx t_1(\bar{x}) \wedge \dots \wedge s_k(\bar{x}) \approx t_k(\bar{x}) \rightarrow \bigvee_{j=1}^m s'_j(\bar{x}) \approx t'_j(\bar{x}))$

Solution 1:

The following are equivalent:

- (1) $(\bigwedge_i s_i \approx t_i) \rightarrow \bigvee_j s'_j \approx t'_j$ is valid
- (2) $Eq(\sim) \wedge Con(f) \wedge (\bigwedge_i s_i \sim t_i) \wedge (\bigwedge_j s'_j \not\sim t'_j)$ is unsatisfiable.

where $Eq(\sim) : Refl(\sim) \wedge Sim(\sim) \wedge Trans(\sim)$

$Con(f) : \forall x_1, \dots, x_n, y_1, \dots, y_n (\bigwedge x_i \sim y_i \rightarrow f(x_1, \dots, x_n) \sim f(y_1, \dots, y_n))$

Resolution: inferences between transitivity axioms – nontermination

Solution 2

Task:

Check if $UIF \models \forall \bar{x} (s_1(\bar{x}) \approx t_1(\bar{x}) \wedge \dots \wedge s_k(\bar{x}) \approx t_k(\bar{x}) \rightarrow \bigvee_{j=1}^m s'_j(\bar{x}) \approx t'_j(\bar{x}))$

Solution 2: Ackermann's reduction.

Flatten the formula (replace, bottom-up, $f(c)$ with a new constant c_f)

$\phi \mapsto FLAT(\phi)$

Theorem 3.3.2: The following are equivalent:

- (1) $(\bigwedge_i s_i(\bar{c}) \approx t_i(\bar{c})) \wedge \bigwedge_j s'_j(\bar{c}) \not\approx t'_j(\bar{c})$ is satisfiable
- (2) $FC \wedge FLAT[(\bigwedge_i s_i(\bar{c}) \approx t_i(\bar{c})) \wedge \bigwedge_j s'_j(\bar{c}) \not\approx t'_j(\bar{c})]$ is satisfiable

where $FC = \{c_1=d_1, \dots, c_n=d_n \rightarrow c_f=d_f \mid \text{whenever } f(c_1, \dots, c_n) \text{ was renamed to } c_f \\ f(d_1, \dots, d_n) \text{ was renamed to } d_f\}$

Note: The problem is **decidable** in PTIME (see next pages)

Problem: Naive handling of transitivity/congruence axiom $\mapsto O(n^3)$

Goal: Give a faster algorithm

Example

The following are equivalent:

- (1) $C := f(a, b) \approx a \wedge f(f(a, b), b) \not\approx a$
- (2) $FC \wedge FLAT[C]$, where:

$FLAT[f(a, b) \approx a \wedge f(f(a, b), b) \not\approx a]$ is computed by introducing new constants renaming terms starting with f and then replacing in C the terms with the constants:

- $FLAT[f(a, b) \approx a \wedge f(f(a, b), b) \not\approx a] := a_1 \approx a \wedge a_2 \not\approx a$

$\underbrace{\qquad}_{a_1}$
 $\underbrace{\qquad}_{a_1}$
 $\underbrace{\qquad}_{a_2}$

$f(a, b) = a_1$
 $f(a_1, b) = a_2$
- $FC := (a \approx a_1 \rightarrow a_1 \approx a_2)$

Thus, the following are equivalent:

- (1) $C := f(a, b) \approx a \wedge f(f(a, b), b) \not\approx a$
- (2) $\underbrace{(a \approx a_1 \rightarrow a_1 \approx a_2)}_{FC} \wedge \underbrace{a_1 \approx a \wedge a_2 \not\approx a}_{FLAT[C]}$

Solution 3

Task:

Check if $UIF \models \forall \bar{x} (s_1(\bar{x}) \approx t_1(\bar{x}) \wedge \dots \wedge s_k(\bar{x}) \approx t_k(\bar{x}) \rightarrow \bigvee_{j=1}^m s'_j(\bar{x}) \approx t'_j(\bar{x}))$

i.e. if $(s_1(\bar{c}) \approx t_1(\bar{c}) \wedge \dots \wedge s_k(\bar{c}) \approx t_k(\bar{c}) \wedge \bigwedge_j s'_j(\bar{c}) \not\approx t'_j(\bar{c}))$ unsatisfiable.

Solution 3

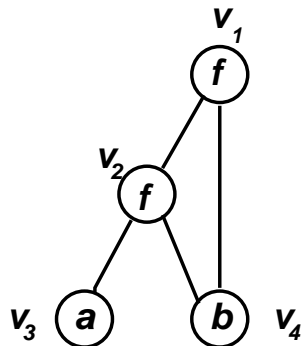
Task:

Check if $(s_1(\bar{c}) \approx t_1(\bar{c}) \wedge \dots \wedge s_k(\bar{c}) \approx t_k(\bar{c}) \wedge \bigwedge_k s'_k(\bar{c}) \not\approx t'_k(\bar{c}))$ unsatisfiable.

Solution 3 [Downey-Sethi, Tarjan'76; Nelson-Oppen'80]

represent the terms occurring in the problem as DAG's

Example: Check whether $f(f(a, b), b) \approx a$ is a consequence of $f(a, b) \approx a$.



$v_1 :$ $f(f(a, b), b)$

$v_2 :$ $f(a, b)$

$v_3 :$ a

$v_4 :$ b

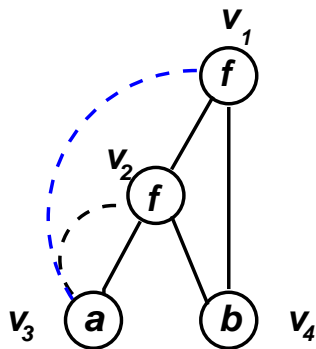
Solution 3

Task: Check if $(s_1(\bar{c}) \approx t_1(\bar{c}) \wedge \dots \wedge s_k(\bar{c}) \approx t_k(\bar{c}) \wedge s(\bar{c}) \not\approx t(\bar{c}))$ unsatisfiable.

Solution 3 [Downey-Sethi, Tarjan'76; Nelson-Oppen'80]

- represent the terms occurring in the problem as DAG's
- represent premise equalities by a relation on the vertices of the DAG

Example: Check whether $f(f(a, b), b) \approx a$ is a consequence of $f(a, b) \approx a$.



$v_1 : f(f(a, b), b)$

$v_2 : f(a, b)$

$v_3 : a$

$v_4 : b$

$R : \{(v_2, v_3)\}$

- compute the “congruence closure” R^c of R
- check whether $(v_1, v_3) \in R^c$

Computing the congruence closure of a DAG

Example

- **DAG structures:**

- $G = (V, E)$ directed graph

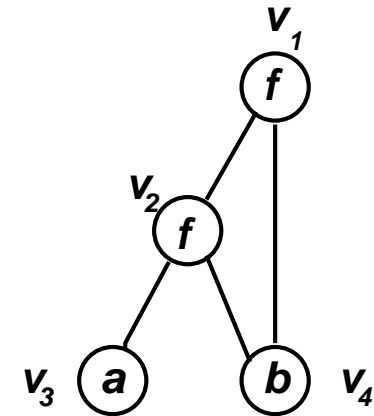
- Labelling on vertices

$\lambda(v)$: label of vertex v

$\delta(v)$: outdegree of vertex v

- Edges leaving the vertex v are ordered

($v[i]$: denotes i -th successor of v)



$$\lambda(v_1) = \lambda(v_2) = f$$

$$\lambda(v_3) = a, \lambda(v_4) = b$$

$$\delta(v_1) = \delta(v_2) = 2$$

$$\delta(v_3) = \delta(v_4) = 0$$

$$v_1[1] = v_2, v_2[2] = v_4$$

...

Congruence closure of a DAG/Relation

Given: $G = (V, E)$ DAG + labelling

$$R \subseteq V \times V$$

The congruence closure of R is the smallest relation R^c on V which is:

- reflexive
- symmetric
- transitive
- congruence:

If $\lambda(u) = \lambda(v)$ and $\delta(u) = \delta(v)$

and for all $1 \leq i \leq \delta(u)$: $(u[i], v[i]) \in R^c$

then $(u, v) \in R^c$.

