Decision Procedures for Verification

Part 1. Propositional Logic (3)

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Organization

At the moment:

Lecture: Tue, 14:00-16:00 Exercise: Thu, 12:30-14:00 (can be changed to 12:00-14:00)

Question 1:

Would it be better to switch lecture/exercises?

Answer: Starting from next week we switch lecture/exercises

Schedule starting from next week:

Exercises: Tue, 14:00 s.t. -16:00 Lecture: Thu, 12:00 s.t.-14:00

Question 2:

Is Thu, 10:00-12:00 a better time than Thu. 12:30-14:00? Answer: No, inconvenient for some of the participants

Last time

1.1 Syntax

- Language
 - propositional variables
 - logical symbols \Rightarrow Boolean combinations
- Propositional Formulae

1.2 Semantics

- Valuations
- Truth value of a formula in a valuation
- Models, Validity, and Satisfiability
- Entailment and Equivalence

Canonical forms

- CNF and DNF
- Computing CNF/DNF by rewriting the formulae
- Structure-Preserving Translation for CNF
- Optimized translation using polarity

Decision Procedures for Satisfiability

• Simple Decision Procedures truth table method

Logik f. Informatiker Discrete Algebraic Structures

• The Resolution Procedure

last time

• The Davis-Putnam-Logemann-Loveland Algorithm today

1.7 The DPLL Procedure

Goal:

Given a propositional formula in CNF (or alternatively, a finite set N of clauses), check whether it is satisfiable (and optionally: output *one* solution, if it is satisfiable).

Satisfiability of Clause Sets

 $\mathcal{A} \models N$ if and only if $\mathcal{A} \models C$ for all clauses C in N.

 $\mathcal{A} \models C$ if and only if $\mathcal{A} \models L$ for some literal $L \in C$.

Since we will construct satisfying valuations incrementally, we consider partial valuations (that is, partial mappings $\mathcal{A} : \Pi \rightarrow \{0, 1\}$).

We start with an empty valuation and try to extend it step by step to all variables occurring in N.

If \mathcal{A} is a partial valuation, then literals and clauses can be true, false, or undefined under \mathcal{A} .

A clause is true under \mathcal{A} if one of its literals is true; it is false (or "conflicting") if all its literals are false; otherwise it is undefined (or "unresolved").

Unit Clauses

Observation:

Let \mathcal{A} be a partial valuation. If the set N contains a clause C, such that all literals but one in C are false under \mathcal{A} , then the following properties are equivalent:

- there is a valuation that is a model of N and extends A.
- there is a valuation that is a model of N and extends A and makes the remaining literal L of C true.
- C is called a unit clause; L is called a unit literal.

One more observation:

Let \mathcal{A} be a partial valuation and P a variable that is undefined under \mathcal{A} . If P occurs only positively (or only negatively) in the unresolved clauses in N, then the following properties are equivalent:

- there is a valuation that is a model of N and extends A.
- there is a valuation that is a model of N and extends A and assigns true (false) to P.

P is called a pure literal.

The Davis-Putnam-Logemann-Loveland Proc.

boolean DPLL(clause set N, partial valuation A) {

- if (all clauses in N are true under A) return true;
- elsif (some clause in N is false under A) return false;
- elsif (*N* contains unit clause *P*) return DPLL(*N*, $A \cup \{P \mapsto 1\}$);
- elsif (*N* contains unit clause $\neg P$) return DPLL(*N*, $\mathcal{A} \cup \{P \mapsto 0\}$);
- elsif (*N* contains pure literal *P*) return DPLL(*N*, $A \cup \{P \mapsto 1\}$);
- elsif (*N* contains pure literal $\neg P$) return DPLL(*N*, $\mathcal{A} \cup \{P \mapsto 0\}$); else {

let P be some undefined variable in N; if (DPLL($N, A \cup \{P \mapsto 0\}$)) return true; else return DPLL($N, A \cup \{P \mapsto 1\}$);

}

}

The Davis-Putnam-Logemann-Loveland Proc.

Initially, DPLL is called with the clause set N and with an empty partial valuation \mathcal{A} .

The Davis-Putnam-Logemann-Loveland Proc.

In practice, there are several changes to the procedure:

- The pure literal check is often omitted (it is too expensive).
- The branching variable is not chosen randomly.

The algorithm is implemented iteratively; the backtrack stack is managed explicitly (it may be possible and useful to backtrack more than one level).

```
An iterative (and generalized) version:
status = preprocess();
if (status != UNKNOWN) return status;
while(1) {
    decide_next_branch();
    while(1) {
        status = deduce();
        if (status == CONFLICT) {
            blevel = analyze_conflict();
            if (blevel == 0) return UNSATISFIABLE;
            else backtrack(blevel); }
        else if (status == SATISFIABLE) return SATISFIABLE;
        else break;
    }
}
```

preprocess()

preprocess the input (as far as it is possible without branching); return CONFLICT or SATISFIABLE or UNKNOWN.

decide_next_branch()

choose the right undefined variable to branch; decide whether to set it to 0 or 1; increase the backtrack level. deduce()

make further assignments to variables (e.g., using the unit clause rule) until a satisfying assignment is found, or until a conflict is found, or until branching becomes necessary; return CONFLICT or SATISFIABLE or UNKNOWN.

DPLL Iteratively

```
analyze_conflict()
```

```
check where to backtrack.
```

backtrack(blevel)

backtrack to blevel; flip the branching variable on that level; undo the variable assignments in between. Choosing the right undefined variable to branch is important for efficiency, but the branching heuristics may be expensive itself.

State of the art: use branching heuristics that need not be recomputed too frequently.

In general: choose variables that occur frequently.

For applying the unit rule, we need to know the number of literals in a clause that are not false.

Maintaining this number is expensive, however.

Better approach: "Two watched literals":

- In each clause, select two (currently undefined) "watched" literals.
- For each variable P, keep a list of all clauses in which P is watched and a list of all clauses in which $\neg P$ is watched.
- If an undefined variable is set to 0 (or to 1), check all clauses in which P (or $\neg P$) is watched and watch another literal (that is true or undefined) in this clause if possible.

Watched literal information need not be restored upon backtracking.

Conflict Analysis and Learning

Goal: Reuse information that is obtained in one branch in further branches.

Method: Learning:

If a conflicting clause is found, use the resolution rule to derive a new clause and add it to the current set of clauses.

Problem: This may produce a large number of new clauses; therefore it may become necessary to delete some of them afterwards to save space.

Backjumping

Related technique:

```
non-chronological backtracking ("backjumping"):
```

If a conflict is independent of some earlier branch, try to skip that over that backtrack level. Runtimes of DPLL-style procedures depend extremely on the choice of branching variables.

If no solution is found within a certain time limit, it can be useful to restart from scratch with another choice of branchings (but learned clauses may be kept).

A succinct formulation

State: M||F,

where:

- M partial assignment (sequence of literals),

some literals are annotated (L^d : decision literal)

- F clause set.

A succinct formulation

UnitPropagation $M||F, C \lor L \Rightarrow M, L||F, C \lor L$ if $M \models \neg C$, and L undef. in MDecide $M||F \Rightarrow M, L^d||F$ if L or $\neg L$ occurs in F, L undef. in M Fail $M||F, C \Rightarrow Fail$ if $M \models \neg C$, M contains no decision literals Backjump $if \begin{cases} there is some clause <math>C \lor L' \text{ s.t.:} \\ F \models C \lor L', M \models \neg C, \\ L' \text{ undefined in } M \\ L' \text{ or } \neg L' \text{ occurs in } F. \end{cases}$ $M, L^d, N||F \Rightarrow M, L'||F$

Example

	Assignment:	Clause set:	
_	Ø	$ \neg P_1 \lor P_2, \neg P_3 \lor P_4, \neg P_5 \lor \neg P_6, P_6 \lor \neg P_5 \lor \neg P_2$	\Rightarrow (Decide)
	P_1	$ \neg P_1 \lor P_2, \neg P_3 \lor P_4, \neg P_5 \lor \neg P_6, P_6 \lor \neg P_5 \lor \neg P_2$	\Rightarrow (UnitProp)
	P_1P_2	$ \neg P_1 \lor P_2, \neg P_3 \lor P_4, \neg P_5 \lor \neg P_6, P_6 \lor \neg P_5 \lor \neg P_2$	\Rightarrow (Decide)
	$P_1P_2P_3$	$ \neg P_1 \lor P_2, \neg P_3 \lor P_4, \neg P_5 \lor \neg P_6, P_6 \lor \neg P_5 \lor \neg P_2$	\Rightarrow (UnitProp)
	$P_1P_2P_3P_4$	$ \neg P_1 \lor P_2, \neg P_3 \lor P_4, \neg P_5 \lor \neg P_6, P_6 \lor \neg P_5 \lor \neg P_2$	\Rightarrow (Decide)
	$P_1 P_2 P_3 P_4 P_5$	$ \neg P_1 \lor P_2, \neg P_3 \lor P_4, \neg P_5 \lor \neg P_6, P_6 \lor \neg P_5 \lor \neg P_2$	\Rightarrow (UnitProp)
	$P_1P_2P_3P_4P_5\neg P_6$	$ \neg P_1 \lor P_2, \neg P_3 \lor P_4, \neg P_5 \lor \neg P_6, P_6 \lor \neg P_5 \lor \neg P_2$	\Rightarrow (Backtrack)
	$P_1P_2P_3P_4\neg P_5$	$ \neg P_1 \lor P_2, \neg P_3 \lor P_4, \neg P_5 \lor \neg P_6, P_6 \lor \neg P_5 \lor \neg P_2$	

The DPLL system with learning consists of the four transition rules of the Basic DPLL system, plus the following two additional rules:

Learn

```
M||F \Rightarrow M||F, C if all atoms of C occur in F and F \models C
```

Forget

```
M||F, C \Rightarrow M||F if F \models C
```

In these two rules, the clause C is said to be learned and forgotten, respectively.

The ideas described so far heve been implemented in the SAT checker Chaff.

Further information:

Lintao Zhang and Sharad Malik:

The Quest for Efficient Boolean Satisfiability Solvers,

Proc. CADE-18, LNAI 2392, pp. 295–312, Springer, 2002.

Applications

- A toy example (sudoku)
- Scheduling
- Verification

Sudoku



Idea: $p_{i,j}^d$ = true iff the value of square *i*, *j* is *d* For example: $p_{3,5}^8$ = true

1								1	
2	4								
3		2							
4					5		4		7
5			8				3		
6			1		9				
7	3			4			2		
8		5		1					
9				8		6			

Coding SUDOKU by propositional clauses:

- Concrete values result in units: $p_{i,i}^d$.
- For every value, column we generate: $\neg p_{i,j}^d \lor \neg p_{i,k}^d$ (if j Accordingly for all rows and 3×3 boxes.
- For every square we generate: p¹_{i,j} ∨ ... p⁹_{i,j}.
 For every two different values d, d', and every square we generate: ¬p^d_{i,j} ∨ ¬p^{d'}_{i,j}.
- For every value *d* and every column we generate:
 p^d_{i,1} ∨ ... *p*^d_{i,9}.
 Accordingly for all rows and 2 × 2 hoves

Accordingly for all rows and 3×3 boxes.

Sudoku

1								1		
2	4									
3		2								
4					5		4		7	
5			8				3			
6			1		9					
7	3			4			2			
8		5		1						
9				8		6				

Set of clauses satisfiable \Leftrightarrow Sudoku has a solution

Let \mathcal{A} be a satisfying assignment

 $\mathcal{A}(p_{i,i}^k) = 1$ iff a k appears in line i, column j.

Scheduling

Example: A simple scheduling problem

In a school there are three teachers with the following specialization combinations:

Müller Mathematics

Schmidt German

Körner Mathematics, German

	Group a	Group b
8:00- 8:50	Mathematics	German
9:00- 9:50	German	German
10:00–10:50	Math	Mathematics

Each teacher must teach at least two classes.

Scheduling

Müller	Mathematics		Group a	Group b
Schmidt	German	1) 8:00- 8:50	Mathematics	German
Körner	Mathematics, German	2) 9:00– 9:50	German	German
		3)10:00–10:50	Math	Mathematics

Modeling:

Propositional variables: $P_{s,k,N,f}$ 'Teacher N teaches subject f in group k in time slot s'

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Rules:
$$(P_{1,a,M,m} \lor P_{1,a,K,m}) \land (P_{1,b,S,d} \lor P_{1,b,K,d})$$

 $(P_{2,a,S,d} \lor P_{2,a,K,d}) \land (P_{2,b,S,d} \lor P_{2,b,K,d})$
 $(P_{3,a,M,m} \lor P_{3,a,K,m}) \land (P_{3,b,S,d} \lor P_{3,a,K,d})$
 $\neg (P_{1,a,K,m} \land P_{1,b,K,d}) \land \neg (P_{2,a,K,d} \land P_{2,b,K,d}) \land \neg (P_{2,a,S,d} \land P_{2,b,S,d}) \land$
 $\neg (P_{3,a,K,m} \land P_{3,b,K,m}) \land (P_{1,a,M,m} \land P_{1,b,M,m}) \dots$

Program Verification

- Bounded model checking
- Model checking

• Invariant checking/generation

• Abstraction

- X finite set of variables, V finite set of possible values for the variables
 pⁱ_{xv} (in the *i*-th step x takes value v)
- Other propositional variables q_k , $k \in K$
- Transitions (variables change their name) $Tr(i, i + 1) := \bigwedge_{j=1}^{n} p_{x_j v i+1_j}^{i+1} \land \bigwedge_k q_k^{i+1}$ (where $vi + 1_j$, q_k^{i+1} suitably computed)

$$F(p_{x_1,v_1^k}^k,\ldots,p_{x_n,v_n^k}^k,\ldots)$$
 property of assignments

Bounded model checking:

$$\bigwedge_{j=1}^{n} p_{x_j,v_j}^1 \wedge \bigwedge q_k^1 \wedge Tr(1,2) \wedge \ldots \wedge Tr(k-1,k) \wedge \neg F(p_{x_1,v_1^k}^k,\ldots,p_{x_n,v_n^k}^k,\ldots)$$

Example

Example

```
Question: Does BUBBLESORT return

a sorted array?

int [] BUBBLESORT(int[] a) {

int i, j, t;

for (i := |a| - 1; i > 0; i := i - 1) {

for (j := 0; j < i; j := j + 1) {

if (a[j] > a[j + 1]) {t := a[j];

a[j] := a[j + 1];

a[j + 1] := t};

} return a}
```

Simpler question:

|a| = 3; a[0]=7, a[1]=9, a[2]=4
does BubbleSort applied to this array
return a sorted array?

Encoding in propositional logic:

- p_{ij}^k (at step k, a[i] = k) Examples: $p_{07}^1, p_{19}^1, p_{24}^1$
- gt_{ij}^k (at step k, a[i] > a[j])

Examples: gt_{10}^1 , $\neg gt_{01}^1$, gt_{02}^1 , $\neg gt_{20}^1$, ...

Model updates with new propositional variables

(complicated; not very expressive)

Abstraction-Based Verification



conjunction of constraints: $\phi(1) \wedge Tr(1,2) \wedge \cdots \wedge Tr(n-1,n) \wedge \neg safe(n)$

- satisfiable: feasible path
- unsatisfiable: refine abstract program s.t. the path is not feasible

Tools for SAT checking

http://www.satcompetition.org/

Examples of SAT solvers:

MiniSat: http://minisat.se/

MathSAT: http://mathsat.fbk.eu/publications.html (much more)

zChaff: http://www.princeton.edu/ chaff/zchaff.html

Example of use

Tools for SAT checking

Resolution-based theorem provers:

E: http://www4.informatik.tu-muenchen.de/ schulz/E/E.html SPASS: http://www.spass-prover.org/ Vampire: http://www.vprover.org/

... full power for first-order logic (with equality)

First-order logic

- formalizes fundamental mathematical concepts
- is expressive (Turing-complete)
- is not too expressive
 (e.g. not axiomatizable: natural numbers, uncountable sets)
- has a rich structure of decidable fragments
- has a rich model and proof theory

First-order logic is also called (first-order) predicate logic.

2.1 Syntax

Syntax:

- non-logical symbols (domain-specific)
 ⇒ terms, atomic formulas
- logical symbols (domain-independent)
 ⇒ Boolean combinations, quantifiers

Signature

A signature

$$\Sigma = (\Omega, \Pi),$$

fixes an alphabet of non-logical symbols, where

- Ω is a set of function symbols f with arity $n \ge 0$, written f/n,
- Π is a set of predicate symbols p with arity $m \ge 0$, written p/m.

If n = 0 then f is also called a constant (symbol). If m = 0 then p is also called a propositional variable. We use letters P, Q, R, S, to denote propositional variables. Refined concept for practical applications: *many-sorted* signatures (corresponds to simple type systems in programming languages).

Most results established for one-sorted signatures extend in a natural way to many-sorted signatures.

A many-sorted signature

$$\Sigma = (S, \Omega, \Pi),$$

fixes an alphabet of non-logical symbols, where

- *S* is a set of sorts,
- Ω is a set of function symbols f with arity $a(f) = s_1 \dots s_n \rightarrow s$,
- Π is a set of predicate symbols p with arity $a(p) = s_1 \dots s_m$

where s_1, \ldots, s_n, s_m, s are sorts.

Variables

Predicate logic admits the formulation of abstract, schematic assertions. (Object) variables are the technical tool for schematization.

We assume that

X

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Many-sorted case:

We assume that for every sort $s \in S$, X_s is a given countably infinite set of symbols which we use for (the denotation of) variables of sort s.

Terms

Terms over Σ (resp., Σ -terms) are formed according to these syntactic rules:

t, u, v ::= x , $x \in X$ (variable) $\mid f(s_1, ..., s_n)$, $f/n \in \Omega$ (functional term)

By $T_{\Sigma}(X)$ we denote the set of Σ -terms (over X). A term not containing any variable is called a ground term. By T_{Σ} we denote the set of Σ -ground terms.

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Many-sorted case:

a variable $x \in X_s$ is a term of sort s

if $a(f) = s_1 \dots s_n \rightarrow s$, and t_i are terms of sort s_i , $i = 1, \dots, n$ then $f(t_1, \dots, t_n)$ is a term of sort s.

Terms

In other words, terms are formal expressions with well-balanced brackets which we may also view as marked, ordered trees. The markings are function symbols or variables. The nodes correspond to the subterms of the term. A node v that is marked with a function symbol f of arity n has exactly nsubtrees representing the n immediate subterms of v. Atoms (also called atomic formulas) over Σ are formed according to this syntax:

Whenever we admit equations as atomic formulas we are in the realm of first-order logic with equality. Admitting equality does not really increase the expressiveness of first-order logic, (cf. exercises). But deductive systems where equality is treated specifically can be much more efficient.

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Many-sorted case:

If
$$a(p) = s_1 \dots s_m$$
, we require that t_i is a term of sort s_i for $i = 1, \dots, m$.

Literals

$$L ::= A$$
 (positive literal)

$$\neg A$$
 (negative literal)

 $F_{\Sigma}(X)$ is the set of first-order formulas over Σ defined as follows:

F, G, H	::=	\perp	(falsum)
		Т	(verum)
		A	(atomic formula)
		$\neg F$	(negation)
		$(F \land G)$	(conjunction)
		$(F \lor G)$	(disjunction)
		$(F \rightarrow G)$	(implication)
		$(F \leftrightarrow G)$	(equivalence)
		$\forall x F$	(universal quantification)
		$\exists x F$	(existential quantification)

Notational Conventions

We omit brackets according to the following rules:

- $\neg >_p \land >_p \lor \lor >_p \lor >_p \leftrightarrow$ (binding precedences)
- $\bullet~\vee$ and \wedge are associative and commutative
- $\bullet \ \rightarrow \text{ is right-associative}$

 $Qx_1, \ldots, x_n F$ abbreviates $Qx_1 \ldots Qx_n F$.

Notational Conventions

We use infix-, prefix-, postfix-, or mixfix-notation with the usual operator precedences.

Examples:

Example: Peano Arithmetic

Signature:

$$\begin{split} \Sigma_{PA} &= (\Omega_{PA}, \ \Pi_{PA}) \\ \Omega_{PA} &= \{0/0, \ +/2, \ */2, \ s/1\} \\ \Pi_{PA} &= \{ \le /2, \ _p \ + \ >_p \ < \ >_p \ \le \ e^{-2p} \end{split}$$

Examples of formulas over this signature are:

$$orall x, y(x \leq y \leftrightarrow \exists z(x + z \approx y))$$

 $\exists x \forall y(x + y \approx y)$
 $\forall x, y(x * s(y) \approx x * y + x)$
 $\forall x, y(s(x) \approx s(y) \rightarrow x \approx y)$
 $\forall x \exists y(x < y \land \neg \exists z(x < z \land z < y))$

We observe that the symbols \leq , <, 0, s are redundant as they can be defined in first-order logic with equality just with the help of +. The first formula defines \leq , while the second defines zero. The last formula, respectively, defines s.

Eliminating the existential quantifiers by Skolemization (cf. below) reintroduces the "redundant" symbols.

Consequently there is a *trade-off* between the complexity of the quantification structure and the complexity of the signature.

Example: Specifying LISP lists

Signature:

$$\begin{split} \Sigma_{\text{Lists}} &= \left(\Omega_{\text{Lists}}, \Pi_{\text{Lists}}\right) \\ \Omega_{\text{Lists}} &= \{\text{car}/1, \text{cdr}/1, \text{cons}/2\} \\ \Pi_{\text{Lists}} &= \emptyset \end{split}$$

Examples of formulae:

 $\begin{array}{ll} \forall x, y & \operatorname{car}(\operatorname{cons}(x, y)) \approx x \\ \forall x, y & \operatorname{cdr}(\operatorname{cons}(x, y)) \approx y \\ \forall x & \operatorname{cons}(\operatorname{car}(x), \operatorname{cdr}(x)) \approx x \end{array}$

Many-sorted signatures

Example:

Signature

$$\begin{split} S &= \{\text{array, index, element}\}\\ \Omega &= \{\text{read, write}\}\\ & a(\text{read}) = \text{array} \times \text{index} \rightarrow \text{element}\\ & a(\text{write}) = \text{array} \times \text{index} \times \text{element} \rightarrow \text{array}\\ \Pi &= \emptyset \end{split}$$

$$X = \{X_s \mid s \in S\}$$

Examples of formulae:

 $\forall x : \operatorname{array} \ \forall i : \operatorname{index} \ \forall j : \operatorname{index} \ (i \approx j \to \operatorname{write}(x, i, \operatorname{read}(x, j)) \approx x)$ $\forall x : \operatorname{array} \ \forall y : \operatorname{array} \ (x \approx y \leftrightarrow \forall i : \operatorname{index} \ (\operatorname{read}(x, i) \approx \operatorname{read}(y, i)))$

set of sorts