# Decision Procedures for Verification 

Decision Procedures (1)

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$$

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## Exam

Several possibilities:
Friday, 27.02.2015
Thursday, 12.03.2015
Friday, 13.03.2015

Chosen:
Thursday, 12.03.2015, 13:00-15:00

## Until now:

Syntax (one-sorted signatures vs. many-sorted signatures)
Semantics
Structures (also many-sorted)
Models, Validity, and Satisfiability
Entailment and Equivalence
Theories (Syntactic vs. Semantics view)
Algorithmic Problems: Check satisfiability

## Until now:

## Normal Forms

## Herbrand Models

## Resolution

- Soundness, refutational completeness, refinements
- Consequences: Compactness of FOL; The Löwenheim-Skolem Theorem; Craig interpolation

Decidable subclasses of FOL
The Bernays-Schönfinkel class
(definition; decidability;tractable fragment: Horn clauses)
The Ackermann class

## Today

The monadic class
Decision procedures
Congruence closure

## The Monadic Class

Monadic first-order logic (MFO) is FOL (without equality) over purely relational signatures $\Sigma=(\Omega, \Pi)$, where $\Omega=\emptyset$, and every $p \in \Pi$ has arity 1 .

Abstract syntax:
$\Phi:=\top|P(x)| \Phi_{1} \wedge \Phi_{2}|\neg \Phi| \forall x \Phi \quad\left|\Phi_{1} \vee \Phi_{2}\right| \Phi_{1} \rightarrow \Phi_{2}\left|\Phi_{1} \leftrightarrow \Phi_{2}\right| \exists \Phi$
Idea. Let $\Phi$ be a MFO formula with $k$ predicate symbols.
Let $\mathcal{A}=\left(U_{\mathcal{A}},\left\{p_{\mathcal{A}}\right\}_{p \in \Pi}\right)$ be a $\Sigma$-algebra. The only way to distinguish the elements of $U_{\mathcal{A}}$ is by the atomic formulae $p(x), p \in \Pi$.

- the elements which $a \in U_{\mathcal{A}}$ which belong to the same $p_{\mathcal{A}}$ 's, $p \in \Pi$ can be collapsed into one single element.
- if $\Pi=\left\{p^{1}, \ldots, p^{k}\right\}$ then what remains is a finite structure with at most $2^{k}$ elements.
- the truth value of a formula: computed by evaluating all subformulae.


## The Monadic Class

MFO Abstract syntax: $\Phi:=\top|P(x)| \Phi_{1} \wedge \Phi_{2}|\neg \Phi| \forall x \Phi$
Theorem (Finite model theorem for MFO). If $\Phi$ is a satisfiable MFO formula with $k$ predicate symbols then $\Phi$ has a model where the domain is a subset of $\{0,1\}^{k}$.

Proof: Let $\mathcal{B}=\left(\{0,1\}^{k},\left\{p_{\mathcal{B}}^{1}, \ldots, p_{\mathcal{B}}^{k}\right\}\right)$, where $p_{\mathcal{B}}^{i}=\left\{\left(b_{1}, \ldots, b_{k}\right) \mid b_{i}=1\right\}$.
Let $\mathcal{A}=\left(U_{\mathcal{A}},\left\{p_{\mathcal{A}}^{1}, \ldots, p_{\mathcal{A}}^{k}\right\}\right), \beta: X \rightarrow U_{\mathcal{A}}$ be such that $(\mathcal{A}, \beta) \models \Phi$.
We construct a model for $\Phi$ with cardinality at most $2^{k}$ as follows:

- Let $h: \mathcal{A} \rightarrow \mathcal{B}$ be defined for all $a \in U_{\mathcal{A}}$ by:

$$
h(a)=\left(b_{1}, \ldots, b_{k}\right) \text { where } b_{i}=1 \text { if } a \in p_{\mathcal{A}}^{i} \text { and } 0 \text { otherwise. }
$$

Then $a \in p_{\mathcal{A}}^{i}$ iff $h(a) \in p_{\mathcal{B}}^{i}$ for all $a \in U_{\mathcal{A}}$ and all $i=1, \ldots, k$.

- Let $\mathcal{B}^{\prime}=\left(\{0,1\}^{k} \cap h\left(U_{\mathcal{A}}\right),\left\{p_{\mathcal{B}}^{1} \cap h\left(U_{\mathcal{A}}\right), \ldots, p_{\mathcal{B}}^{k} \cap h\left(U_{\mathcal{A}}\right)\right\}\right)$.
- We show that $\left(\mathcal{B}^{\prime}, \beta \circ h\right) \models \Phi$.


## The Monadic Class

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- We show that $\left(\mathcal{B}^{\prime}, \beta \circ h\right)(\Phi)=\mathcal{A}(\beta)(\Phi)$.


## The Monadic Class

To show:
$\left(\mathcal{A}(\beta)(\Phi)=\mathcal{B}^{\prime}(\beta \circ h)(\Phi)\right.$.
Induction on the structure of $\Phi$
Induction base: Show that claim is true for all atomic formulae

- $\Phi=$ T OK
- $\Phi=p^{i}(x)$.

Then the following are equivalent:
(1) $(\mathcal{A}, \beta) \models \Phi$
(2) $\beta(x) \in p_{\mathcal{A}}^{i}$
(3) $h(\beta(x)) \in p_{\mathcal{B}}^{i}$
(4) $\left(\mathcal{B}^{\prime}, \beta \circ h\right) \models \Phi$
(definition)
(definition of $h$ and of $p_{\mathcal{B}}^{i}$ )
(definition)

## The Monadic Class

Induction on the structure of $\Phi$
Let $\Phi$ be a formula which is not atomic.
Assume statement holds for the (direct) subformulae of $\Phi$. Prove that it holds for $\Phi$.

- $\Phi=\Phi_{1} \wedge \Phi_{2}$

Assume $(\mathcal{A}, \beta) \models \Phi$. Then $(\mathcal{A}, \beta) \models \Phi_{i}, i=1,2$.
By induction hypothesis, $\left(\mathcal{B}^{\prime}, \beta \circ h\right) \models \Phi_{i}, i=1,2$.
Thus, $\left(\mathcal{B}^{\prime}, \beta \circ h\right) \models \Phi=\Phi_{1} \wedge \Phi_{2}$
The converse can be proved similarly.

- $\Phi=\neg \Phi_{1}$

The following are equivalent:
(1) $(\mathcal{A}, \beta) \models \Phi=\neg \Phi_{1}$.
(2) $\mathcal{A}(\beta)\left(\Phi_{1}\right)=0$
(3) $\mathcal{B}^{\prime}(\beta \circ h)\left(\Phi_{1}\right)=0$
(4) $\left(\mathcal{B}^{\prime}, \beta \circ h\right) \models \Phi=\neg \Phi_{1}$

## The Monadic Class

- $\Phi=\forall x \Phi_{1}(x)$.

Then the following are equivalent:
(1) $(\mathcal{A}, \beta) \models \Phi$
(2) $\mathcal{A}(\beta[x \mapsto a])\left(\Phi_{1}\right)=1$ for all $a \in U_{\mathcal{A}}$
(3) $\mathcal{B}^{\prime}(\beta[x \mapsto a] \circ h)\left(\Phi_{1}\right)=1$ for all $a \in U_{\mathcal{A}}$
(ind. hyp)
(4) $\mathcal{B}^{\prime}(\beta \circ h[x \mapsto b])\left(\Phi_{1}\right)=1$ for all $b \in\{0,1\}^{k} \cap h(A)$
(5) $\left(\mathcal{B}^{\prime}, \beta \circ h\right) \models \Phi$

## The Monadic Class

Resolution-based decision procedure for the Monadic Class (and for several other classes):

William H. Joyner Jr.
Resolution Strategies as Decision Procedures.
J. ACM 23(3): 398-417 (1976)

## Idea:

- Use orderings to restrict the possible inferences
- Identify a class of clauses (with terms of bounded depth) which contains the type of clauses generated from the respective fragment and is closed under ordered resolution ( + red. elim. criteria)
- Show that a saturation of the clauses can be obtained in finite time


## The Monadic Class

Resolution-based decision procedure for the Monadic Class:
$\Phi: \quad \forall \bar{x}_{1} \exists \bar{y}_{1} \ldots \forall \bar{x}_{k} \exists \bar{y}_{k}\left(\ldots . p^{s}\left(x_{i}\right) \ldots \ldots p^{\prime}\left(y_{i}\right) \ldots\right)$
$\mapsto \quad \forall \bar{x}_{1} \ldots \forall \bar{x}_{k}\left(\ldots p^{s}\left(x_{i}\right) \ldots p^{\prime}\left(f_{\text {sk }}\left(\bar{x}_{1}, \ldots, \bar{x}_{i}\right) \ldots\right)\right.$
Consider the class MON of clauses with the following properties:

- no literal of heigth greater than 2 appears
- each variable-disjoint partition has at most $n=\sum_{i=1}\left|\bar{x}_{i}\right|$ variables (can order the variables as $x_{1}, \ldots, x_{n}$ )
- the variables of each non-ground block can occur either in atoms $p\left(x_{i}\right)$ or in atoms $P\left(f_{\text {sk }}\left(x_{1}, \ldots, x_{t}\right)\right), 0 \leq t \leq n$

It can be shown that this class contains all CNF's of formulae in the monadic class and is closed under ordered resolution.

### 3.2 Deduction problems

Satisfiability w.r.t. a theory

## Satisfiability w.r.t. a theory

## Example

Let $\Sigma=(\{e / 0, * / 2, i / 1\}, \emptyset)$
Let $\mathcal{F}$ consist of all (universally quantified) group axioms:

$$
\begin{array}{rl}
\forall x, y, z & x *(y * z) \\
\forall x & x * i(x) \\
\forall x *(x * y) * z \\
\forall x & x * e
\end{array}
$$

Question: Is $\forall x, y(x * y=y * x)$ entailed by $\mathcal{F}$ ?

## Satisfiability w.r.t. a theory

## Example

Let $\Sigma=(\{e / 0, * / 2, i / 1\}, \emptyset)$
Let $\mathcal{F}$ consist of all (universally quantified) group axioms:

$$
\begin{array}{rl}
\forall x, y, z & x *(y * z) \\
\forall x & x * i(x) \\
\forall x \in(x * y) * z \\
\forall x & x * e
\end{array}
$$

Question: Is $\forall x, y(x * y=y * x)$ entailed by $\mathcal{F}$ ?
Alternative question:
Is $\forall x, y(x * y=y * x)$ true in the class of all groups?

## Logical theories

## Syntactic view

first-order theory: given by a set $\mathcal{F}$ of (closed) first-order $\Sigma$-formulae.
the models of $\mathcal{F}: \quad \operatorname{Mod}(\mathcal{F})=\{\mathcal{A} \in \Sigma$-alg $\mid \mathcal{A} \vDash G$, for all $G$ in $\mathcal{F}\}$

## Semantic view

given a class $\mathcal{M}$ of $\Sigma$-algebras
the first-order theory of $\mathcal{M}: \operatorname{Th}(\mathcal{M})=\left\{G \in F_{\Sigma}(X)\right.$ closed $\left.\mid \mathcal{M} \models G\right\}$

## Decidable theories

Let $\Sigma=(\Omega, \Pi)$ be a signature.
$\mathcal{M}$ : class of $\Sigma$-algebras. $\quad \mathcal{T}=\operatorname{Th}(\mathcal{M})$ is decidable iff
there is an algorithm which, for every closed first-order formula $\phi$, can decide (after a finite number of steps) whether $\phi$ is in $\mathcal{T}$ or not.
$\mathcal{F}$ : class of (closed) first-order formulae.
The theory $\mathcal{T}=\operatorname{Th}(\operatorname{Mod}(\mathcal{F}))$ is decidable iff
there is an algorithm which, for every closed first-order formula $\phi$, can decide (in finite time) whether $\mathcal{F} \models \phi$ or not.

## Examples

Undecidable theories

- $\operatorname{Th}((\mathbb{Z},\{0,1,+, *\},\{\leq\}))$
- Peano arithmetic
-Th( $\Sigma$-alg)


## Peano arithmetic

$$
\begin{array}{llr}
\text { Peano axioms: } & \forall x \neg(x+1 \approx 0) & \text { (zero) }  \tag{zero}\\
& \forall x \forall y(x+1 \approx y+1 \rightarrow x \approx y & \text { (successor) } \\
& F[0] \wedge(\forall x(F[x] \rightarrow F[x+1]) \rightarrow \forall x F[x]) & \text { (induction) } \\
& \forall x(x+0 \approx x) & \text { (plus zero) } \\
& \forall x, y(x+(y+1) \approx(x+y)+1) & \text { (plus successor) } \\
& \forall x, y(x * 0 \approx 0) & \text { (times 0) } \\
& \forall x, y(x *(y+1) \approx x * y+x) & \text { (times successor) } \\
3 * y+5>2 * y \text { expressed as } \exists z(z \neq 0 \wedge 3 * y+5 \approx 2 * y+z)
\end{array}
$$

Intended interpretation: $(\mathbb{N},\{0,1,+, *\},\{\approx, \leq\})$
(does not capture true arithmetic by Goedel's incompleteness theorem)

## Examples

## Undecidable theories

- $\operatorname{Th}((\mathbb{Z},\{0,1,+, *\},\{\leq\}))$
- Peano arithmetic
-Th( $\Sigma$-alg)

Idea of undecidability proof: Suppose there is an algorithm $P$ that, given a formula in one of the theories above decides whether that formula is valid.

We use P to give a decision algorithm for the language
$\{(G(M), w) \mid G(M)$ is the Gödelisation of a TM $M$ that accepts the string $w\}$

As the latter problem is undecidable, this will show that $P$ cannot exist.

## Examples

## Undecidable theories

- $\operatorname{Th}((\mathbb{Z},\{0,1,+, *\},\{\leq\}))$
- Peano arithmetic
-Th( $\Sigma$-alg)
Idea of undecidability proof: (ctd)
(1) For $\operatorname{Th}((\mathbb{Z},\{0,1,+, *\},\{\leq\}))$ and Peano arithmetic:
multiplication can be used for modeling Gödelisation
(2) For $\operatorname{Th}(\Sigma$-alg):

Given $M$ and $w$, we create a $\operatorname{FOL}$ signature and a set of formulae over this signature encoding the way $M$ functions, and a formula which is valid iff $M$ accepts $w$.

## Examples

In order to obtain decidability results:

- Restrict the signature
- Enrich axioms
- Look at certain fragments


## Examples

In order to obtain decidability results:

- Restrict the signature
- Enrich axioms
- Look at certain fragments

Decidable theories

- Presburger arithmetic decidable in 3EXPTIME [Presburger'29]

Signature: $(\{0,1,+\},\{\approx, \leq\})($ no $*)$
Axioms \{ (zero), (successor), (induction), (plus zero), (plus successor) \}

- $\operatorname{Th}\left(\mathbb{Z}_{+}\right) \quad \mathbb{Z}_{+}=(\mathbb{Z}, 0, s,+, \leq)$ the standard interpretation of integers.


## Examples

In order to obtain decidability results:

- Restrict the signature
- Enrich axioms
- Look at certain fragments

Decidable theories

- The theory of real numbers (with addition and multiplication) is decidable in 2EXPTIME [Tarski'30]


## Examples

In order to obtain decidability results:

- Restrict the signature
- Enrich axioms
- Look at certain fragments


## Problems

$\mathcal{T}$ : first-order theory in signature $\Sigma ; \mathcal{L}$ class of (closed) $\Sigma$-formulae
Given $\phi$ in $\mathcal{L}$, is it the case that $\mathcal{T} \models \phi$ ?

Common restrictions on $\mathcal{L}$

$$
\text { Pred }=\emptyset \quad\{\phi \in \mathcal{L} \mid \mathcal{T} \models \phi\}
$$

$\mathcal{L}=\{\forall x A(x) \mid A$ atomic $\} \quad$ word problem
$\mathcal{L}=\left\{\forall x\left(A_{1} \wedge \ldots \wedge A_{n} \rightarrow B\right) \mid A_{i}, B\right.$ atomic $\}$ uniform word problem Th $_{\forall \text { Horn }}$
$\mathcal{L}=\{\forall x C(x) \mid C(x)$ clause $\} \quad$ clausal validity problem $\mathrm{Th}_{\forall, \mathrm{cl}}$
$\mathcal{L}=\{\forall x \phi(x) \mid \phi(x)$ unquantified $\} \quad$ universal validity problem $\mathrm{Th}_{\forall}$
$\mathcal{L}=\left\{\exists x A_{1} \wedge \ldots \wedge A_{n} \mid A_{i}\right.$ atomic $\} \quad$ unification problem $\quad \mathrm{Th}_{\exists}$
$\mathcal{L}=\left\{\forall x \exists x A_{1} \wedge \ldots \wedge A_{n} \mid A_{i}\right.$ atomic $\} \quad$ unification with constants $\mathrm{Th}_{\forall \exists}$

## $\mathcal{T}$-validity vs. $\mathcal{T}$-satisfiability

$\mathcal{T}$-validity: Let $\mathcal{T}$ be a first-order theory in signature $\Sigma$
Let $\mathcal{L}$ be a class of (closed) $\Sigma$-formulae
Given $\phi$ in $\mathcal{L}$, is it the case that $\mathcal{T} \models \phi$ ?

Remark: $\mathcal{T} \models \phi$ iff $\mathcal{T} \cup \neg \phi$ unsatisfiable

Every $\mathcal{T}$-validity problem has a dual $\mathcal{T}$-satisfiability problem:
$\mathcal{T}$-satisfiability: Let $\mathcal{T}$ be a first-order theory in signature $\Sigma$ Let $\mathcal{L}$ be a class of (closed) $\Sigma$-formulae

$$
\neg \mathcal{L}=\{\neg \phi \mid \phi \in \mathcal{L}\}
$$

Given $\psi$ in $\neg \mathcal{L}$, is it the case that $\mathcal{T} \cup \psi$ is satisfiable?

## $\mathcal{T}$-validity vs. $\mathcal{T}$-satisfiability

Common restrictions on $\mathcal{L} / \neg \mathcal{L}$

| $\mathcal{L}$ | $\neg \mathcal{L}$ |
| :--- | :--- |
| $\{\forall x A(x) \mid A$ atomic $\}$ | $\{\exists x \neg A(x) \mid A$ atomic $\}$ |
| $\left\{\forall x\left(A_{1} \wedge \ldots \wedge A_{n} \rightarrow B\right) \mid A_{i}, B\right.$ atomic $\}$ | $\left\{\exists x\left(A_{1} \wedge \ldots \wedge A_{n} \wedge \neg B\right) \mid A_{i}, B\right.$ atomic $\}$ |
| $\left\{\forall x \bigvee L_{i} \mid L_{i}\right.$ literals $\}$ | $\left\{\exists x \wedge L_{i}^{\prime} \mid L_{i}^{\prime}\right.$ literals $\}$ |
| $\{\forall x \phi(x) \mid \phi(x)$ unquantified $\}$ | $\left\{\exists x \phi^{\prime}(x) \mid \phi^{\prime}(x)\right.$ unquantified $\}$ |

validity problem for universal formulae ground satisfiability problem

## $\mathcal{T}$-validity vs. $\mathcal{T}$-satisfiability

Common restrictions on $\mathcal{L} / \neg \mathcal{L}$

| $\mathcal{L}$ | $\neg \mathcal{L}$ |
| :--- | :--- |
| $\{\forall x A(x) \mid A$ atomic $\}$ | $\{\exists x \neg A(x) \mid A$ atomic $\}$ |
| $\left\{\forall x\left(A_{1} \wedge \ldots \wedge A_{n} \rightarrow B\right) \mid A_{i}, B\right.$ atomic $\}$ | $\left\{\exists x\left(A_{1} \wedge \ldots \wedge A_{n} \wedge \neg B\right) \mid A_{i}, B\right.$ atomic $\}$ |
| $\left\{\forall x \bigvee L_{i} \mid L_{i}\right.$ literals $\}$ | $\left\{\exists x \wedge L_{i}^{\prime} \mid L_{i}^{\prime}\right.$ literals $\}$ |
| $\{\forall x \phi(x) \mid \phi(x)$ unquantified $\}$ | $\left\{\exists x \phi^{\prime}(x) \mid \phi^{\prime}(x)\right.$ unquantified $\}$ |

validity problem for universal formulae ground satisfiability problem

In what follows we will focus on the problem of checking the satisfiability of conjunctions of ground literals

## $\mathcal{T}$-validity vs. $\mathcal{T}$-satisfiability

$$
\begin{array}{lll}
\mathcal{T} \equiv \forall x A(x) & \text { iff } & \mathcal{T} \cup \exists x \neg A(x) \text { unsatisfiable } \\
\mathcal{T} \vDash \forall x\left(A_{1} \wedge \cdots \wedge A_{n} \rightarrow B\right) & \text { iff } & \mathcal{T} \cup \exists x\left(A_{1} \wedge \cdots \wedge A_{n} \wedge \neg B\right) \text { unsatisfiable } \\
\mathcal{T} \vDash \forall x\left(\bigvee_{i=1}^{n} A_{i} \vee \bigvee_{j=1}^{m} \neg B_{j}\right) & \text { iff } & \mathcal{T} \cup \exists x\left(\neg A_{1} \wedge \cdots \wedge \neg A_{n} \wedge B_{1} \wedge \cdots \wedge B_{m}\right) \\
& & \text { unsatisfiable }
\end{array}
$$

## $\mathcal{T}$-satisfiability vs. Constraint Solving

The field of Constraint Solving also deals with satisfiability problems
But be careful:

- in Constraint Solving one is interested if a formula is satisfiable in a given, fixed model of $\mathcal{T}$.
- in $\mathcal{T}$-satisfiability one is interested if a formula is satisfiable in any model of $\mathcal{T}$ at all.


### 3.3. Theory of Uninterpreted Function Symbols

## Why?

- Reasoning about equalities is important in automated reasoning
- Applications to program verification
(approximation: abstract from additional properties)


## Application: Compiler Validation

Example: prove equivalence of source and target program
1: y := 1
2: if $\mathrm{z}=\mathrm{x} * \mathrm{x} * \mathrm{x}$
3: then $y:=x * x+y$
4: endif

1: y := 1
2: R1 := x*x
3: R2 := R1*x
4: jmpNE(z,R2,6)
5: y := R1+1

To prove: (indexes refer to values at line numbers)

$$
\begin{aligned}
& y_{1} \approx 1 \wedge\left[\left(z_{0} \approx x_{0} * x_{0} * x_{0} \wedge y_{3} \approx x_{0} * x_{0}+y_{1}\right) \vee\left(z_{0} \not \approx x_{0} * x_{0} * x_{0} \wedge y_{3} \approx y_{1}\right)\right] \wedge \\
& y_{1}^{\prime} \approx 1 \wedge R 1_{2} \approx x_{0}^{\prime} * x_{0}^{\prime} \wedge R 2_{3} \approx R 1_{2} * x_{0}^{\prime} \wedge \\
& \wedge \\
& \wedge\left[\left(z_{0}^{\prime} \approx R 2_{3} \wedge y_{5}^{\prime} \approx R 1_{2}+1\right) \vee\left(z_{0}^{\prime} \neq R 2_{3} \wedge y_{5}^{\prime} \approx y_{1}^{\prime}\right)\right] \wedge \\
& x_{0} \approx x_{0}^{\prime} \wedge y_{0} \approx y_{0}^{\prime} \wedge z_{0} \approx z_{0}^{\prime} \Longrightarrow \quad x_{0} \approx x_{0}^{\prime} \wedge y_{3} \approx y_{5}^{\prime} \wedge z_{0} \approx z_{0}^{\prime}
\end{aligned}
$$

## Possibilities for checking it

(1) Abstraction.

Consider * to be a "free" function symbol (forget its properties).
Test it property can be proved in this approximation. If so, then we know that implication holds also under the normal interpretation of $*$.
(2) Reasoning about formulae in fragments of arithmetic.

## Uninterpreted function symbols

Let $\Sigma=(\Omega, \Pi)$ be arbitrary
Let $\mathcal{M}=\Sigma$-alg be the class of all $\Sigma$-structures
The theory of uninterpreted function symbols is $\mathrm{Th}(\Sigma$-alg $)$ the family of all first-order formulae which are true in all $\Sigma$-algebras.
in general undecidable

## Decidable fragment:

e.g. the class $\mathrm{Th}_{\forall}(\Sigma$-alg $)$ of all universal formulae which are true in all $\Sigma$-algebras.

## Uninterpreted function symbols

Assume $\Pi=\emptyset$ (and $\approx$ is the only predicate)

In this case we denote the theory of uninterpreted function symbols by $\operatorname{UIF}(\Sigma)$ (or UIF when the signature is clear from the context).

This theory is sometimes called the theory of free functions and denoted Free( $\Sigma$ )

## Uninterpreted function symbols

## Theorem 3.3.1

The following are equivalent:
(1) testing validity of universal formulae w.r.t. UIF is decidable
(2) testing validity of (universally quantified) clauses w.r.t. UIF is decidable

Proof: Follows from the fact that any universal formula is equivalent to a conjunction of (universally quantified) clauses.

## Solution 1

Task:
Check if UIF $\models \forall \bar{x}\left(s_{1}(\bar{x}) \approx t_{1}(\bar{x}) \wedge \cdots \wedge s_{k}(\bar{x}) \approx t_{k}(\bar{x}) \rightarrow \bigvee_{j=1}^{m} s_{j}^{\prime}(\bar{x}) \approx t_{j}^{\prime} t(\bar{x})\right)$

## Solution 1:

The following are equivalent:
(1) $\left(\bigwedge_{i} s_{i} \approx t_{i}\right) \rightarrow \bigvee_{j} s_{j}^{\prime} \approx t_{j}^{\prime}$ is valid
(2) $E q(\sim) \wedge \operatorname{Con}(f) \wedge\left(\bigwedge_{i} s_{i} \sim t_{i}\right) \wedge\left(\bigwedge_{j} s_{j}^{\prime} \nsim t_{j}^{\prime}\right)$ is unsatisfiable.
where $E q(\sim): \operatorname{Refl}(\sim) \wedge \operatorname{Sim}(\sim) \wedge \operatorname{Trans}(\sim)$
$\operatorname{Con}(f): \forall x_{1}, \ldots, x_{n}, y_{1}, \ldots, y_{n}\left(\bigwedge x_{i} \sim y_{i} \rightarrow f\left(x_{1}, \ldots, x_{n}\right) \sim f\left(y_{1}, \ldots, y_{n}\right)\right)$

Resolution: inferences between transitivity axioms - nontermination

## Solution 2

## Task:

Check if UIF $\models \forall \bar{x}\left(s_{1}(\bar{x}) \approx t_{1}(\bar{x}) \wedge \cdots \wedge s_{k}(\bar{x}) \approx t_{k}(\bar{x}) \rightarrow \bigvee_{j=1}^{m} s_{j}^{\prime}(\bar{x}) \approx t_{j}^{\prime}(\bar{x})\right)$
Solution 2: Ackermann's reduction.
Flatten the formula (replace, bottom-up, $f(c)$ with a new constant $c_{f}$ $\phi \mapsto F L A T(\phi)$

Theorem 3.3.2: The following are equivalent:
(1) $\quad\left(\bigwedge_{i} s_{i}(\bar{c}) \approx t_{i}(\bar{c})\right) \wedge \bigwedge_{j} s_{j}^{\prime}(\bar{c}) \not \approx t_{j}^{\prime}(\bar{c})$ is satisfiable
(2) $F C \wedge F L A T\left[\left(\bigwedge_{i} s_{i}(\bar{c}) \approx t_{i}(\bar{c})\right) \wedge \bigwedge_{j} s_{j}^{\prime}(\bar{c}) \not \approx t_{j}^{\prime}(\bar{c})\right]$ is satisfiable where $F C=\left\{c_{1}=d_{1}, \ldots c_{n}=d_{n} \rightarrow c_{f}=d_{f} \mid\right.$ whenever $f\left(c_{1}, \ldots, c_{n}\right)$ was renamed to $c_{f}$ $f\left(d_{1}, \ldots, d_{n}\right)$ was renamed to $\left.d_{f}\right\}$

Note: The problem is decidable in PTIME (see next pages)
Problem: Naive handling of transitivity/congruence axiom $\mapsto O\left(n^{3}\right)$
Goal: Give a faster algorithm

## Example

The following are equivalent:
(1) $C:=f(a, b) \approx a \wedge f(f(a, b), b) \not \approx a$ is satisfiable
(2) $F C \wedge F L A T[C]$ is satisfiable, where:
$\operatorname{FLAT}[f(a, b) \approx a \wedge f(f(a, b), b) \not \approx a]$ is computed by introducing new constants renaming terms starting with $f$ and then replacing in $C$ the terms with the constants:

- $\operatorname{FLAT}[\underbrace{f(a, b)}_{a_{1}} \approx a \wedge f \underbrace{f(a, b)}_{a_{1}}, b) \not \underbrace{f(a, b]:=a_{1} \approx a \wedge a_{2} \not \approx a . ~}$

$$
\begin{aligned}
f(a, b) & =a_{1} \\
f\left(a_{1}, b\right) & =a_{2}
\end{aligned}
$$

- $F C:=\left(a \approx a_{1} \rightarrow a_{1} \approx a_{2}\right)^{a_{2}}$

Thus, the following are equivalent:
(1) $C:=f(a, b) \approx a \wedge f(f(a, b), b) \not \approx a$ is satisfiable
(2) $\underbrace{\left(a \approx a_{1} \rightarrow a_{1} \approx a_{2}\right)}_{F C} \wedge \underbrace{a_{1} \approx a \wedge a_{2} \not \approx a}_{F L A T[C]}$ is satisfiable

## Solution 3

Next time

## Next lectures

Thursday, January 8, 2015
Tuesday, January 13, 2015

