Decision Procedures in Verification

Decision Procedures (2)

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Until now:

Logical theories

Satisfiability w.r.t. a theory / Validity w.r.t. a theory

Decidable theories / Undecidable theories

In order to obtain decidability results:

- Look at certain fragments

Examples

In order to obtain decidability results:

- Restrict the signature
- Enrich axioms
- Look at certain fragments

Examples

In order to obtain decidability results:

- Restrict the signature
- Enrich axioms
- Look at certain fragments

Decidable theories

- Presburger arithmetic decidable in 3EXPTIME [Presburger'29]
  Signature: $(\{0, 1, +\}, \{\approx, \leq\})$ (no $*$)

  Axioms { (zero), (successor), (induction), (plus zero), (plus successor) }

- Th($\mathbb{Z}_+$) $\quad\quad \mathbb{Z}_+ = (\mathbb{Z}, 0, s, +, \leq)$ the standard interpretation of integers.

Examples

In order to obtain decidability results:

- Restrict the signature
- Enrich axioms
- Look at certain fragments

Decidable theories

- The theory of real numbers (with addition and multiplication) is decidable in 2EXPTIME [Tarski'30]

Examples

In order to obtain decidability results:

- Restrict the signature
- Enrich axioms
- Look at certain fragments

Problems

$\mathcal{T}$: first-order theory in signature Σ; $\mathcal{L}$ class of (closed) Σ-formulae

Given ϕ in $\mathcal{L}$, is it the case that $\mathcal{T} \models \phi$?

Common restrictions on $\mathcal{L}$

	Pred $= \emptyset$	$\{\phi \in \mathcal{L} \mid \mathcal{T} \models \phi\}$
$\mathcal{L}=\{\forall x A(x) \mid A \text{ atomic}\}$	word problem	
$\mathcal{L}=\{\forall x (A_1 \wedge \ldots \wedge A_n \rightarrow B) \mid A_i, B \text{ atomic}\}$	uniform word problem	$Th_{\forall \text{Horn}}$
$\mathcal{L}=\{\forall x C(x) \mid C(x) \text{ clause}\}$	clausal validity problem	$Th_{\forall, \text{cl}}$
$\mathcal{L}=\{\forall x \phi(x) \mid \phi(x) \text{ unquantified}\}$	universal validity problem	$Th_{\forall}$
$\mathcal{L}=\{\exists x A_1 \wedge \ldots \wedge A_n \mid A_i \text{ atomic}\}$	unification problem	$Th_{\exists}$
$\mathcal{L}=\{\forall x \exists x A_1 \wedge \ldots \wedge A_n \mid A_i \text{ atomic}\}$	unification with constants	$Th_{\forall\exists}$

$\mathcal{T}$-validity vs. $\mathcal{T}$-satisfiability

$\mathcal{T}$-validity: Let $\mathcal{T}$ be a first-order theory in signature Σ
Let $\mathcal{L}$ be a class of (closed) Σ-formulae

Given ϕ in $\mathcal{L}$, is it the case that $\mathcal{T} \models \phi$?

Remark: $\mathcal{T} \models \phi$ iff $\mathcal{T} \cup \neg\phi$ unsatisfiable

Every $\mathcal{T}$-validity problem has a dual $\mathcal{T}$-satisfiability problem:

$\mathcal{T}$-satisfiability: Let $\mathcal{T}$ be a first-order theory in signature Σ
Let $\mathcal{L}$ be a class of (closed) Σ-formulae

$$\neg\mathcal{L} = \{\neg\phi \mid \phi \in \mathcal{L}\}$$

Given ψ in $\neg\mathcal{L}$, is it the case that $\mathcal{T} \cup \psi$ is satisfiable?

$\mathcal{T}$-validity vs. $\mathcal{T}$-satisfiability

Common restrictions on $\mathcal{L}$ / $\neg\mathcal{L}$

$\mathcal{L}$	$\neg\mathcal{L}$
$\{\forall x A(x) \mid A \text{ atomic}\}$	$\{\exists x \neg A(x) \mid A \text{ atomic}\}$
$\{\forall x (A_1 \wedge \ldots \wedge A_n \rightarrow B) \mid A_i, B \text{ atomic}\}$	$\{\exists x (A_1 \wedge \ldots \wedge A_n \wedge \neg B) \mid A_i, B \text{ atomic}\}$
$\{\forall x \bigvee L_i \mid L_i \text{ literals}\}$	$\{\exists x \bigwedge L'_i \mid L'_i \text{ literals}\}$
$\{\forall x \phi(x) \mid \phi(x) \text{ unquantified}\}$	$\{\exists x \phi'(x) \mid \phi'(x) \text{ unquantified}\}$

validity problem for universal formulae   ground satisfiability problem

$\mathcal{T}$-validity vs. $\mathcal{T}$-satisfiability

Common restrictions on $\mathcal{L}$ / $\neg\mathcal{L}$

$\mathcal{L}$	$\neg\mathcal{L}$
$\{\forall x A(x) \mid A \text{ atomic}\}$	$\{\exists x \neg A(x) \mid A \text{ atomic}\}$
$\{\forall x (A_1 \wedge \ldots \wedge A_n \rightarrow B) \mid A_i, B \text{ atomic}\}$	$\{\exists x (A_1 \wedge \ldots \wedge A_n \wedge \neg B) \mid A_i, B \text{ atomic}\}$
$\{\forall x \bigvee L_i \mid L_i \text{ literals}\}$	$\{\exists x \bigwedge L'_i \mid L'_i \text{ literals}\}$
$\{\forall x \phi(x) \mid \phi(x) \text{ unquantified}\}$	$\{\exists x \phi'(x) \mid \phi'(x) \text{ unquantified}\}$

validity problem for universal formulae   ground satisfiability problem

In what follows we will focus on the problem of checking the satisfiability of conjunctions of ground literals

$\mathcal{T}$-validity vs. $\mathcal{T}$-satisfiability

$\mathcal{T} \models \forall x A(x)$	iff	$\mathcal{T} \cup \exists x \neg A(x)$ unsatisfiable
$\mathcal{T} \models \forall x(A_1 \wedge \cdots \wedge A_n \rightarrow B)$	iff	$\mathcal{T} \cup \exists x(A_1 \wedge \cdots \wedge A_n \wedge \neg B)$ unsatisfiable
$\mathcal{T} \models \forall x(\bigvee_{i=1}^{n} A_i \vee \bigvee_{j=1}^{m} \neg B_j)$	iff	$\mathcal{T} \cup \exists x(\neg A_1 \wedge \cdots \wedge \neg A_n \wedge B_1 \wedge \cdots \wedge B_m)$ unsatisfiable

$\mathcal{T}$-satisfiability vs. Constraint Solving

The field of Constraint Solving also deals with satisfiability problems

But be careful:

- in Constraint Solving one is interested if a formula is satisfiable in a given, fixed model of $\mathcal{T}$.
- in $\mathcal{T}$-satisfiability one is interested if a formula is satisfiable in any model of $\mathcal{T}$ at all.

3.3. Theory of Uninterpreted Function Symbols

Why?

- Reasoning about equalities is important in automated reasoning

- Applications to program verification
  (approximation: abstract from additional properties)

Application: Compiler Validation

Example: prove equivalence of source and target program

```
1:  y := 1
2:  if z = x*x*x
3:    then y := x*x + y
4:  endif
```

```
1: y := 1
2: R1 := x*x
3: R2 := R1*x
4: jmpNE(z,R2,6)
5: y := R1+1
```

To prove: (indexes refer to values at line numbers)

$$
\begin{aligned}
& y_1 \approx 1 \wedge [(z_0 \approx x_0 * x_0 * x_0 \wedge y_3 \approx x_0 * x_0 + y_1) \vee (z_0 \not\approx x_0 * x_0 * x_0 \wedge y_3 \approx y_1)] \wedge \\
& y'_1 \approx 1 \wedge R1_2 \approx x'_0 * x'_0 \wedge R2_3 \approx R1_2 * x'_0 \wedge \\
& \qquad \wedge [(z'_0 \approx R2_3 \wedge y'_5 \approx R1_2 + 1) \vee (z'_0 \neq R2_3 \wedge y'_5 \approx y'_1)] \wedge \\
& x_0 \approx x'_0 \wedge y_0 \approx y'_0 \wedge z_0 \approx z'_0 \implies x_0 \approx x'_0 \wedge y_3 \approx y'_5 \wedge z_0 \approx z'_0
\end{aligned}
$$

Possibilities for checking it

(1) **Abstraction.**
Consider $*$ to be a "free" function symbol (forget its properties). Test it property can be proved in this approximation. If so, then we know that implication holds also under the normal interpretation of $*$.

(2) **Reasoning about formulae in fragments of arithmetic.**

Uninterpreted function symbols

Let $\Sigma = (\Omega, \Pi)$ be arbitrary

Let $\mathcal{M} = \Sigma$-alg be the class of all Σ-structures

The theory of uninterpreted function symbols is Th(Σ-alg) the family of all first-order formulae which are true in all Σ-algebras.

in general undecidable

Decidable fragment: e.g. the class $\text{Th}_\forall(\Sigma\text{-alg})$ of all universal formulae which are true in all Σ-algebras.

Uninterpreted function symbols

Assume $\Pi = \emptyset$ (and $\approx$ is the only predicate)

In this case we denote the theory of uninterpreted function symbols by $UIF(\Sigma)$ (or UIF when the signature is clear from the context).

This theory is sometimes called the theory of free functions and denoted Free(Σ)

Uninterpreted function symbols

Theorem 3.3.1

The following are equivalent:

(1) testing validity of universal formulae w.r.t. UIF is decidable

(2) testing validity of (universally quantified) clauses w.r.t. UIF is decidable

Proof: Follows from the fact that any universal formula is equivalent to a conjunction of (universally quantified) clauses.

Uninterpreted function symbols

Theorem 3.3.1

The following are equivalent:

(1) testing validity of universal formulae w.r.t. UIF is decidable

(2) testing validity of (universally quantified) clauses w.r.t. UIF is decidable

Goal:

Method for testing the validity of (universally quantified) clauses w.r.t. UIF

Solution 1

Task:

Check if $UIF \models \forall \overline{x}(s_1(\overline{x}) \approx t_1(\overline{x}) \wedge \dots \wedge s_k(\overline{x}) \approx t_k(\overline{x}) \rightarrow \bigvee_{j=1}^{m} s'_j(\overline{x}) \approx t'_j t(\overline{x}))$

Solution 1:

The following are equivalent:

(1) $(\bigwedge_i s_i \approx t_i) \rightarrow \bigvee_j s'_j \approx t'_j$ is valid
(2) $Eq(\sim) \wedge \mathrm{Con}(f) \wedge (\bigwedge_i s_i \sim t_i) \wedge (\bigwedge_j s'_j \not\sim t'_j)$ is unsatisfiable.

where $Eq(\sim) : \mathrm{Refl}(\sim) \wedge \mathrm{Sim}(\sim) \wedge \mathrm{Trans}(\sim)$

$\mathrm{Con}(f) : \forall x_1, \dots, x_n, y_1, \dots, y_n (\bigwedge x_i \sim y_i \rightarrow f(x_1, \dots, x_n) \sim f(y_1, \dots, y_n))$

Resolution: inferences between transitivity axioms – nontermination

Solution 2

Task:

Check if $UIF \models \forall \overline{x}(s_1(\overline{x}) \approx t_1(\overline{x}) \wedge \dots \wedge s_k(\overline{x}) \approx t_k(\overline{x}) \rightarrow \bigvee_{j=1}^m s'_j(\overline{x}) \approx t'_j(\overline{x}))$

Solution 2: Ackermann's reduction.

Flatten the formula (replace, bottom-up, $f(c)$ with a new constant c_f
$\phi \mapsto FLAT(\phi)$

Theorem 3.3.2: The following are equivalent:

(1) $(\bigwedge_i s_i(\overline{c}) \approx t_i(\overline{c})) \wedge \bigwedge_j s'_j(\overline{c}) \not\approx t'_j(\overline{c})$ is satisfiable
(2) $FC \wedge FLAT[(\bigwedge_i s_i(\overline{c}) \approx t_i(\overline{c})) \wedge \bigwedge_j s'_j(\overline{c}) \not\approx t'_j(\overline{c})]$ is satisfiable

where $FC = \{c_1 \approx d_1, \dots c_n \approx d_n \rightarrow c_f \approx d_f \mid$ whenever $f(c_1, \dots, c_n)$ was renamed to c_f
$f(d_1, \dots, d_n)$ was renamed to $d_f\}$

Note: The problem is decidable in PTIME (see next pages)
Problem: Naive handling of transitivity/congruence axiom $\mapsto O(n^3)$
Goal: Give a faster algorithm

Example

The following are equivalent:

(1) $C := f(a, b) \approx a \wedge f(f(a, b), b) \not\approx a$ is satisfiable

(2) $FC \wedge FLAT[C]$ is satisfiable, where:

$FLAT[f(a, b) \approx a \wedge f(f(a, b), b) \not\approx a]$ is computed by introducing new constants renaming terms starting with f and then replacing in C the terms with the constants:

- $FLAT[\underbrace{f(a, b)}_{a_1} \approx a \wedge \underbrace{f(\underbrace{f(a, b)}_{a_1}, b)}_{a_2} \not\approx a] := a_1 \approx a \wedge a_2 \not\approx a$
- $FC := (a \approx a_1 \to a_1 \approx a_2)$

$f(a, b) = a_1$

$f(a_1, b) = a_2$

Thus, the following are equivalent:

(1) $C := f(a, b) \approx a \wedge f(f(a, b), b) \not\approx a$ is satisfiable

(2) $\underbrace{(a \approx a_1 \to a_1 \approx a_2)}_{FC} \wedge \underbrace{a_1 \approx a \wedge a_2 \not\approx a}_{FLAT[C]}$ is satisfiable

Solution 3

Task:

Check if $UIF \models \forall \overline{x}(s_1(\overline{x}) \approx t_1(\overline{x}) \wedge \cdots \wedge s_k(\overline{x}) \approx t_k(\overline{x}) \rightarrow \bigvee_{j=1}^{m} s'_j(\overline{x}) \approx t'_j(\overline{x}))$

i.e. if $(s_1(\overline{c}) \approx t_1(\overline{c}) \wedge \cdots \wedge s_k(\overline{c}) \approx t_k(\overline{c}) \wedge \bigwedge_j s'_j(\overline{c}) \not\approx t'_j(\overline{c}))$ unsatisfiable.

Solution 3

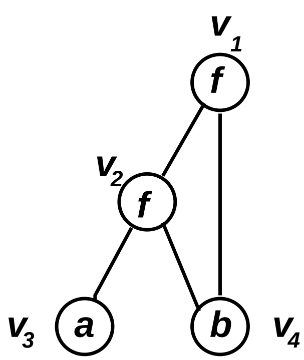
Task:

Check if $(s_1(\overline{c}) \approx t_1(\overline{c}) \wedge \cdots \wedge s_k(\overline{c}) \approx t_k(\overline{c}) \wedge \bigwedge_k s'_k(\overline{c}) \not\approx t'_k(\overline{c}))$ unsatisfiable.

Solution 3 [Downey-Sethi, Tarjan'76; Nelson-Oppen'80]

represent the terms occurring in the problem as DAG's

Example: Check whether $f(f(a, b), b) \approx a$ is a consequence of $f(a, b) \approx a$.



$$
\begin{aligned}
v_1 &: \quad f(f(a, b), b) \\
v_2 &: \quad f(a, b) \\
v_3 &: \quad a \\
v_4 &: \quad b
\end{aligned}
$$

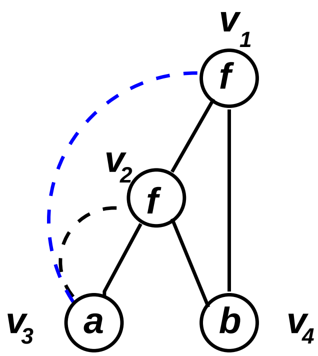
Solution 3

Task: Check if $(s_1(\overline{c}) \approx t_1(\overline{c}) \wedge \cdots \wedge s_k(\overline{c}) \approx t_k(\overline{c}) \wedge s(\overline{c}) \not\approx t(\overline{c}))$ unsatisfiable.

Solution 3 [Downey-Sethi, Tarjan'76; Nelson-Oppen'80]

- represent the terms occurring in the problem as DAG's
- represent premise equalities by a relation on the vertices of the DAG

Example: Check whether $f(f(a, b), b) \approx a$ is a consequence of $f(a, b) \approx a$.



v_1 : $f(f(a, b), b)$
v_2 : $f(a, b)$
v_3 : a
v_4 : b

R : $\{(v_2, v_3)\}$

- compute the "congruence closure" R^c of R
- check whether $(v_1, v_3) \in R^c$

Computing the congruence closure of a DAG

Example

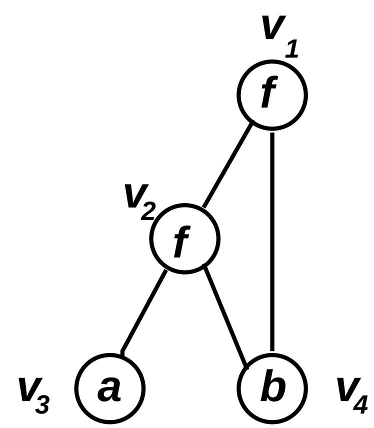
- **DAG structures:**

  - $G = (V, E)$ directed graph

  - Labelling on vertices

    $\lambda(v)$: label of vertex v
    $\delta(v)$: outdegree of vertex v

  - Edges leaving the vertex v are ordered
    ($v[i]$: denotes i-th successor of v)



$\lambda(v_1) = \lambda(v_2) = f$
$\lambda(v_3) = a, \lambda(v_4) = b$

$\delta(v_1) = \delta(v_2) = 2$
$\delta(v_3) = \delta(v_4) = 0$

$v_1[1] = v_2, v_2[2] = v_4$

...

Congruence closure of a DAG/Relation

Given: $G = (V, E)$ DAG + labelling

$R \subseteq V \times V$

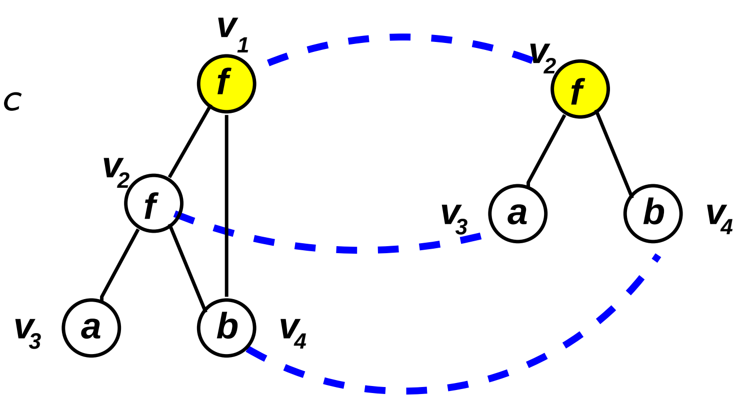
The congruence closure of R is the smallest relation R^c on V which contains R and is:

- reflexive
- symmetric
- transitive
- congruence:

  If $\lambda(u) = \lambda(v)$ and $\delta(u) = \delta(v)$

  and for all $1 \leq i \leq \delta(u)$: $(u[i], v[i]) \in R^c$

  then $(u, v) \in R^c$.



Congruence closure of a relation

Recursive definition

$$\frac{(u, v) \in R}{(u, v) \in R^c}$$

$$\frac{}{(v, v) \in R^c} \qquad \frac{(u, v) \in R^c}{(v, u) \in R^c} \qquad \frac{(u, v) \in R^c \quad (v, w) \in R^c}{(u, w) \in R^c}$$

$$\frac{\lambda(u) = \lambda(v) \quad u, v \text{ have } n \text{ successors} \quad \text{and } (u[i], v[i]) \in R^c \text{ for all } 1 \leq i \leq n}{(u, v) \in R^c}$$

- The congruence closure of R is the smallest set closed under these rules

Congruence closure and UIF

Assume that we have an algorithm $\mathbb{A}$ for computing the congruence closure of a graph G and a set R of pairs of vertices

- Use $\mathbb{A}$ for checking whether $\bigwedge_{i=1}^{n} s_i \approx t_i \wedge \bigwedge_{j=1}^{m} s'_j \not\approx t'_j$ is satisfiable.
  (1) Construct graph corresponding to the terms occurring in s_i, t_i, s'_j, t'_j

  Let v_t be the vertex corresponding to term t

  (2) Let $R = \{(v_{s_i}, v_{t_i}) \mid i \in \{1, \dots, n\}\}$

  (3) Compute R^c.

  (4) Output "Sat" if $(v_{s'_j}, v_{t'_j}) \notin R^c$ for all $1 \leq j \leq m$, otherwise "Unsat"

Theorem 3.3.3 (Correctness)

$\bigwedge_{i=1}^{n} s_i \approx t_i \wedge \bigwedge_{j=1}^{m} s'_j \not\approx t'_j$ is satisfiable iff $[v_{s'_j}]_{R^c} \neq [v_{t'_j}]_{R^c}$ for all $1 \leq j \leq m$.

Congruence closure and UIF

Theorem 3.3.3 (Correctness)

$\bigwedge_{i=1}^{n} s_i \approx t_i \wedge \bigwedge_{j=1}^{m} s'_j \not\approx t'_j$ is satisfiable iff $[v_{s'_j}]_{R^c} \neq [v_{t'_j}]_{R^c}$ for all $1 \leq j \leq m$.

Proof ($\Rightarrow$)

Assume $\mathcal{A}$ is a Σ-structure such that $\mathcal{A} \models \bigwedge_{i=1}^{n} s_i \approx t_i \wedge \bigwedge_{j=1}^{m} s'_j \not\approx t'_j$.

We can show that $[v_s]_{R^c} = [v_t]_{R^c}$ implies that $\mathcal{A} \models s \approx t$ (Exercise).

(We use the fact that if $[v_s]_{R^c} = [v_t]_{R^c}$ then there is a derivation for $(v_s, v_t) \in R^c$ in the calculus defined before; use induction on length of derivation to show that $\mathcal{A} \models s \approx t$.)

As $\mathcal{A} \models s'_j \not\approx t'_j$, it follows that $[v_{s'_j}]_{R^c} \neq [v_{t'_j}]_{R^c}$ for all $1 \leq j \leq m$.

Congruence closure and UIF

Theorem 3.3.3 (Correctness)

$\bigwedge_{i=1}^{n} s_i {\approx} t_i \wedge \bigwedge_{j=1}^{m} s'_j {\not\approx} t'_j$ is satisfiable iff $[v_{s'_j}]_{R^c} {\neq} [v_{t'_j}]_{R^c}$ for all $1{\leq}j{\leq}m$.

Proof($\Leftarrow$) Assume that $[v_{s'_j}]_{R^c} \neq [v_{t'_j}]_{R^c}$ for all $1 \leq j \leq m$. We construct a structure that satisfies $\bigwedge_{i=1}^{n} s_i \approx t_i \wedge \bigwedge_{j=1}^{m} s'_j \not\approx t'_j$

- Universe is quotient of V w.r.t. R^c plus new element 0.
- c constant $\mapsto c_{\mathcal{A}} = [v_c]_{R^c}$.
- $f/n \mapsto f_{\mathcal{A}}([v_1]_{R^c}, \ldots, [v_n]_{R^c}) = \begin{cases} [v_{f(t_1,\ldots,t_n)}]_{R^c} & \text{if } v_{f(t_1,\ldots,t_n)} \in V, \\ & [v_{t_i}]_{R^c} = [v_i]_{R^c} \text{ for } 1{\leq}i{\leq}n \\ 0 & \text{otherwise} \end{cases}$

well-defined because R^c is a congruence.

- It holds that $\mathcal{A} \models s'_j \not\approx t'_j$ and $\mathcal{A} \models s_i \approx t_i$

Computing the congruence closure of a DAG

We will show how to algorithmically determine R^c next time.