

# Decision Procedures in Verification

Decision Procedures (3)

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# Solution 3

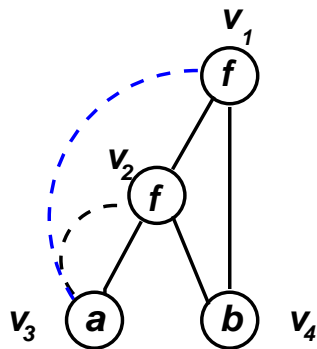
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**Task:** Check if  $(s_1(\bar{c}) \approx t_1(\bar{c}) \wedge \dots \wedge s_k(\bar{c}) \approx t_k(\bar{c}) \wedge s(\bar{c}) \not\approx t(\bar{c}))$  unsatisfiable.

**Solution 3** [Downey-Sethi, Tarjan'76; Nelson-Oppen'80]

- represent the terms occurring in the problem as DAG's
- represent premise equalities by a relation on the vertices of the DAG

**Example:** Check whether  $f(f(a, b), b) \approx a$  is a consequence of  $f(a, b) \approx a$ .



$v_1 :$   $f(f(a, b), b)$

$v_2 :$   $f(a, b)$

$v_3 :$   $a$

$v_4 :$   $b$

$R :$   $\{(v_2, v_3)\}$

- compute the “congruence closure”  $R^c$  of  $R$
- check whether  $(v_1, v_3) \in R^c$

# Congruence closure and UIF

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Assume that we have an algorithm  $\mathbb{A}$  for computing the congruence closure of a graph  $G$  and a set  $R$  of pairs of vertices

- Use  $\mathbb{A}$  for checking whether  $\bigwedge_{i=1}^n s_i \approx t_i \wedge \bigwedge_{j=1}^m s'_j \not\approx t'_j$  is satisfiable.
  - (1) Construct graph corresponding to the terms occurring in  $s_i, t_i, s'_j, t'_j$   
Let  $v_t$  be the vertex corresponding to term  $t$
  - (2) Let  $R = \{(v_{s_i}, v_{t_i}) \mid i \in \{1, \dots, n\}\}$
  - (3) Compute  $R^c$ .
  - (4) Output **“Sat”** if  $(v_{s'_j}, v_{t'_j}) \notin R^c$  for all  $1 \leq j \leq m$ , otherwise **“Unsat”**

**Theorem 3.3.3 (Correctness)**

$\bigwedge_{i=1}^n s_i \approx t_i \wedge \bigwedge_{j=1}^m s'_j \not\approx t'_j$  is satisfiable iff  $[v_{s'_j}]_{R^c} \neq [v_{t'_j}]_{R^c}$  for all  $1 \leq j \leq m$ .

# Computing the congruence closure of a DAG

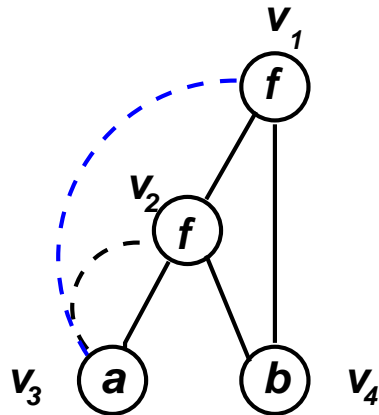
**Given:**  $G = (V, E)$  DAG + labelling

$$R \subseteq V \times V$$

**Task:** Compute  $R^c$  (the congruence closure of  $R$ )

**Example:**

$$f(a, b) \approx a \rightarrow f(f(a, b), b) \approx a$$



$$R = \{(v_2, v_3)\}$$

**Idea:**

- Start with the identity relation  $R^c = Id$
- Successively add new pairs of nodes to  $R^c$ ; close relation under congruence.

**Task:** Compute  $R^c$

# Computing the congruence closure of a DAG

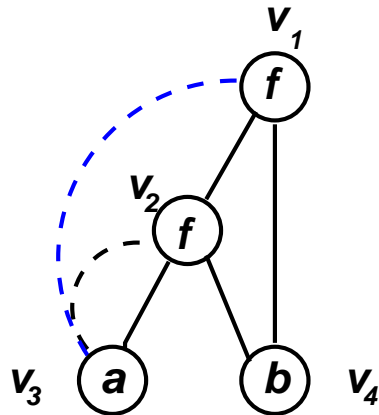
**Given:**  $G = (V, E)$  DAG + labelling

$R \subseteq V \times V; (v, v') \in V^2$

**Task:** Check whether  $(v, v') \in R^c$

**Example:**

$f(a, b) \approx a \rightarrow f(f(a, b), b) \approx a$



$R = \{(v_2, v_3)\}$

**Idea:**

- Start with the identity relation  $R^c = Id$
- Successively add new pairs of nodes to  $R^c$ ; close relation under congruence.

**Task:** Decide whether  $(v_1, v_3) \in R^c$

# Computing the congruence closure of a DAG

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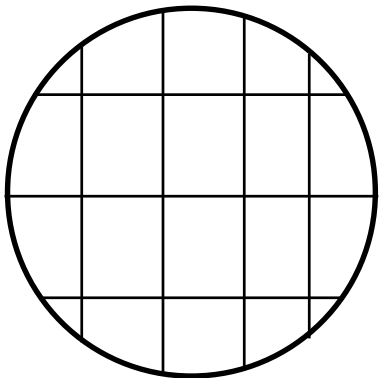
**Given:**  $G = (V, E)$  DAG + labelling

$$R \subseteq V \times V$$

**Task:** Compute  $R^c$  (the congruence closure of  $R$ )

**Idea:** Recursively construct relations closed under congruence  $R_i$  (approximating  $R^c$ ) by identifying congruent vertices  $u, v$  and computing  $R_{i+1} := \text{congruence closure of } R_i \cup \{(u, v)\}$ .

**Representation:**



- Congruence relation  $\mapsto$  corresponding partition

# Computing the congruence closure of a DAG

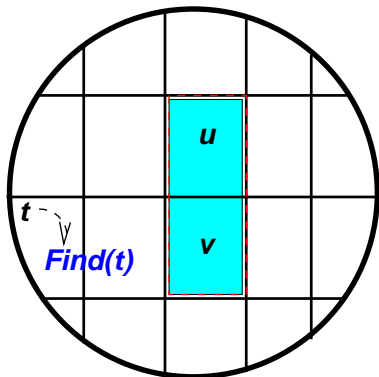
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## Representation:



- Congruence relation  $\mapsto$  corresponding partition

- Use procedures which operate on the partition:

**FIND( $u$ ):** unique name of equivalence class of  $u$

**UNION( $u, v$ )** combines equivalence classes of  $u, v$

finds repr.  $t_u, t_v$  of equiv.cl. of  $u, v$ ; sets **FIND( $u$ )** to  $t_v$

# Computing the congruence closure of a DAG

MERGE( $u, v$ )

**Input:**  $G = (V, E)$  DAG + labelling  
 $R$  relation on  $V$  closed under congruence  
 $u, v \in V$   
**Output:** the congruence closure of  $R \cup \{(u, v)\}$

g

**if** FIND( $u$ ) = FIND( $v$ ) [same canonical representative] **then** Return

**if** FIND( $u$ )  $\neq$  FIND( $v$ ) **then** [merge  $u, v$ ; recursively-predecessors]

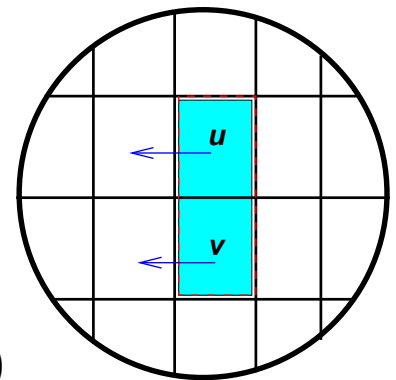
$P_u :=$  set of all predecessors of vertices  $w$  with FIND( $w$ ) = FIND( $u$ )

$P_v :=$  set of all predecessors of vertices  $w$  with FIND( $w$ ) = FIND( $v$ )

**Call** UNION( $u, v$ ) [merge congruence classes]

**For all**  $(x, y) \in P_u \times P_v$  **do:** [merge congruent predecessors]

**if** FIND( $x$ )  $\neq$  FIND( $y$ ) **and** CONGRUENT( $x, y$ ) **then** MERGE( $x, y$ )



CONGRUENT( $x, y$ )

**if**  $\lambda(x) \neq \lambda(y)$  **then** Return FALSE

**For**  $1 \leq i \leq \delta(x)$  **if** FIND( $x[i]$ )  $\neq$  FIND( $y[i]$ ) **then** Return FALSE

Return TRUE.



# Correctness

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## Proof:

### (1) Returned equivalence relation is not too coarse

If  $x, y$  merged then  $(x, y) \in (R \cup \{(u, v)\})^c$   
(UNION only on initial pair and on congruent pairs)

### (2) Returned equivalence relation is not too fine

If  $x, y$  vertices s.t.  $(x, y) \in (R \cup \{(u, v)\})^c$  then they are merged by the algorithm.  
Induction on length of derivation of  $(x, y)$  from  $(R \cup \{(u, v)\})^c$

- (1)  $(x, y) \in R$  OK (they are merged)
- (2)  $(x, y) \notin R$ . The only non-trivial case is the following:  
 $\lambda(x) = \lambda(y)$ ,  $x, y$  have  $n$  successors  $x_i, y_i$  where  
 $(x_i, y_i) \in (R \cup \{(u, v)\})^c$  for all  $1 \leq i \leq n$ .

Induction hypothesis:  $(x_i, y_i)$  are merged at some point  
(become equal during some call of UNION( $a, b$ ), made in some MERGE( $a, b$ ))  
Successor of  $x$  equivalent to  $a$  (or  $b$ ) before this call of UNION; same for  $y$ .

$\Rightarrow$  MERGE must merge  $x$  and  $y$

# Computing the Congruence Closure

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Let  $G = (V, E)$  graph and  $R \subseteq V \times V$

$CC(G, R)$  computes the  $R^c$ :

(1)  $R_0 := \emptyset; i := 1$

(2) while  $R$  contains "fresh" elements do:

    pick "fresh" element  $(u, v) \in R$

$R_i := \text{MERGE}(u, v)$  for  $G$  and  $R_{i-1}; i := i + 1.$

**Complexity:**  $O(n^2)$

Downey-Sethi-Tarjan congruence closure algorithm:

    more sophisticated version of **MERGE** (complexity  $O(n \cdot \log n)$ )

**Reference:** G. Nelson and D.C. Oppen. Fast decision procedures based on congruence closure. Journal of the ACM, 27(2):356-364, 1980.

# Decision procedure for the QF theory of equality

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Signature:  $\Sigma$  (function symbols)

**Problem:** Test satisfiability of the formula

$$F = s_1 \approx t_1 \wedge \cdots \wedge s_n \approx t_n \quad \wedge \quad s'_1 \not\approx t'_1 \wedge \cdots \wedge s'_m \not\approx t'_m$$

**Solution:** Let  $S_F$  be the set of all subterms occurring in  $F$

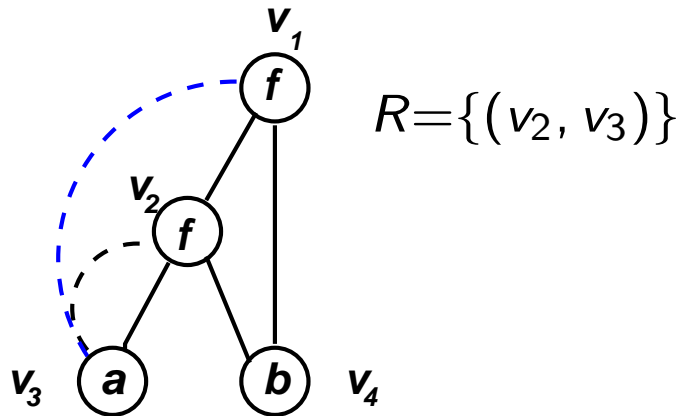
1. Construct the DAG for  $S_F$ ;  $R_0 = Id$
2. [Build  $R_n$  the congruence closure of  $\{(v(s_1), v(t_1)), \dots, (v(s_n), v(t_n))\}$ ]  
For  $i \in \{1, \dots, n\}$  do  $R_i := \text{MERGE}(v_{s_i}, v_{t_i})$  w.r.t.  $R_{i-1}$
3. If  $\text{FIND}(v_{s'_j}) = \text{FIND}(v_{t'_j})$  for some  $j \in \{1, \dots, m\}$  then return **unsatisfiable**
4. else [if  $\text{FIND}(v_{s'_j}) \neq \text{FIND}(v_{t'_j})$  for all  $j \in \{1, \dots, m\}$ ] then return **satisfiable**

# Example

$$f(a, b) \approx a \rightarrow f(f(a, b), b) \approx a$$

**Test:** unsatisfiability of

$$f(a, b) \approx a \wedge f(f(a, b), b) \not\approx a$$



**Task:**

- Compute  $R^c$
- Decide whether  $(v_1, v_3) \in R^c$

**Solution:**

1. Construct DAG in the figure;  $R_0 = Id$ .
2. Compute  $R_1 := \text{MERGE}((v_2, v_3))$

[Test representatives]

$$\text{FIND}(v_2) = v_2 \neq v_3 = \text{FIND}(v_3)$$

$$P_{v_2} := \{v_1\}; P_{v_3} := \{v_2\}$$

[Merge congruence classes]

UNION( $v_2, v_3$ ): sets FIND( $v_2$ ) to  $v_3$ .

[Compute and recursively merge predecessors]

$$\text{Test: FIND}(v_1) = v_1 \neq v_3 = \text{FIND}(v_2)$$

$$\text{CONGR}(v_1, v_2)$$

MERGE( $v_1, v_2$ ): (different representatives)

calls UNION( $v_1, v_2$ ) which

sets FIND( $v_1$ ) to  $v_3$ .

3. Test whether  $\text{FIND}(v_1) = \text{FIND}(v_3)$ . Yes.

Return **unsatisfiable**.

## 3.4. Decision procedures for numeric domains

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- Peano arithmetic
- Theory of real numbers
  
- Linear arithmetic
  - over  $\mathbb{N}/\mathbb{Z}$
  - over  $\mathbb{R}/\mathbb{Q}$

### Decision procedures

- Light-weight fragments of linear arithmetic: Difference logic
- Full fragment ( $LI(\mathbb{R})$  or  $LI(\mathbb{Q})$ )

# Peano arithmetic

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<b>Peano axioms:</b>	$\forall x \neg(x + 1 \approx 0)$	(zero)
	$\forall x \forall y (x + 1 \approx y + 1 \rightarrow x \approx y)$	(successor)
	$F[0] \wedge (\forall x (F[x] \rightarrow F[x + 1])) \rightarrow \forall x F[x]$	(induction)
	$\forall x (x + 0 \approx x)$	(plus zero)
	$\forall x, y (x + (y + 1) \approx (x + y) + 1)$	(plus successor)
	$\forall x, y (x * 0 \approx 0)$	(times 0)
	$\forall x, y (x * (y + 1) \approx x * y + x)$	(times successor)

$3 * y + 5 > 2 * y$  expressed as  $\exists z (z \neq 0 \wedge 3 * y + 5 \approx 2 * y + z)$

**Intended interpretation:**  $(\mathbb{N}, \{0, 1, +, *\}, \{<\})$  (also with  $\approx$ )

(does not capture true arithmetic by Goedel's incompleteness theorem)

**Undecidable**

# Theory of integers

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•  $\text{Th}((\mathbb{Z}, \{0, 1, +, *\}, \{<\}))$

**Undecidable**

# Theory of real numbers

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Theory of real closed fields (real closed fields: fields with same properties as real numbers)

**Axioms:**

- the ordered field axioms;
- axiom asserting that every positive number has a square root; and
- an axiom scheme asserting that all polynomials of odd order have at least one real root.

Tarski (1951) proved that the theory of real closed fields, including the binary predicate symbols " $=$ ", " $\neq$ ", and " $<$ ", and the operations of addition and multiplication, admits elimination of quantifiers, which implies that it is a complete and decidable theory.



# Linear arithmetic

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## Syntax

- Signature  $\Sigma = (\{0/0, s/1, +/2\}, \{</2\})$
- Terms, atomic formulae – as usual

**Example:**  $3 * x_1 + 2 * x_2 \leq 5 * x_3$  abbreviation for

$$(x_1 + x_1 + x_1) + (x_2 + x_2) \leq (x_3 + x_3 + x_3 + x_3 + x_3)$$

# Linear arithmetic

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There are several ways to define linear arithmetic.

We need at least the following signature:  $\Sigma = (\{0/0, 1/0, +/2\}, \{</2\})$   
and the predefined binary predicate  $\approx$ .

# Linear arithmetic

---

There are several ways to define linear arithmetic.

We need at least the following signature:  $\Sigma = (\{0/0, 1/0, +/2\}, \{</2\})$  and the predefined binary predicate  $\approx$ .

## Linear arithmetic over $\mathbb{N}/\mathbb{Z}$

$\text{Th}(\mathbb{Z}_+)$       $\mathbb{Z}_+ = (\mathbb{Z}, 0, s, +, <)$  the standard interpretation of integers.

## Axiomatization

## Linear arithmetic over $\mathbb{Q}/\mathbb{R}$

$\text{Th}(\mathbb{R})$       $\mathbb{R} = (\mathbb{R}, \{0, 1, +\}, \{<\})$  the standard interpretation of reals;

$\text{Th}(\mathbb{Q})$       $\mathbb{Q} = (\mathbb{Q}, \{0, 1, +\}, \{<\})$  the standard interpretation of rationals.

## Axiomatization

# Outline

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We first present an efficient method for checking the satisfiability of formulae in a very simple fragment of linear arithmetic.

We will then give more details about possibilities of checking the satisfiability of arbitrary formulae in linear arithmetic.

# Simple fragments of linear arithmetic

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- Difference logic

check satisfiability of conjunctions of constraints of the form

$$x - y \leq c$$

- UTVPI (unit, two variables per inequality)

check satisfiability of conjunctions of constraints of the form

$$ax + by \leq c, \text{ where } a, b \in \{-1, 0, 1\}$$

# Application: Program Verification

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```
i := 1;           [** where 1 <= n < m **]
while i < n
do
  i := i + 1;
  [** part of a program in which i is used as an index in an array
      which was declared to be of size s > m (and i is not changed)
  **]
  ...
od
```

**Task:** Check whether  $i \leq s$  always during the execution of this program.

# Application: Program Verification

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**Task:** Check whether  $i \leq s$  always during the execution of this program.

**Solution:** Show that this is true at the beginning and remains true after every update of  $i$ .

# Application: Program Verification

---

```
i := 1;           [** where 1 <= n < m **]
while i < n
do
  i := i + 1;
  [** part of a program in which i is used as an index in an array
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      **]
  ...
od
```

**Task:** Check whether  $i \leq s$  always during the execution of this program.

**Solution:** Show that  $i \leq s$  is an invariant of the program:

1) It holds at the first line:  $i = 1 \rightarrow i \leq s$

2) It is preserved under the updates in the while loop:

$\forall n, m, s, i, i' \ (1 \leq n < m < s \wedge i < n \wedge i \leq s \wedge i' \approx i + 1 \rightarrow i' \leq s)$



# Positive difference logic

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## Syntax

The syntax of formulae in **positive** difference logic is defined as follows:

- Atomic formulae (also called difference constraints) are of the form:

$$x - y \leq c$$

where  $x, y$  are variables and  $c$  is a numerical constant.

- The set of formulae is:

$$\begin{array}{l} F, G, H ::= A \quad (\text{atomic formula}) \\ \quad \quad | (F \wedge G) \quad (\text{conjunction}) \end{array}$$

## Semantics:

Versions of difference logic exist, where the standard interpretation is  $\mathbb{Q}$  or resp.  $\mathbb{Z}$ .

# Positive difference logic

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## A decision procedure for positive difference logic ( $\leq$ only)

Let  $S$  be a set (i.e. conjunction) of atoms in (positive) difference logic.  $G(S) = (V, E, w)$ , the **inequality graph** of  $S$ , is a weighted graph with:

- $V = X(S)$ , the set of variables occurring in  $S$
- $e = (x, y) \in E$  with  $w(e) = c$  iff  $x - y \leq c \in S$

### Theorem 3.4.1.

Let  $S$  be a conjunction of difference constraints, and  $G(S)$  the inequality graph of  $S$ . Then  $S$  is satisfiable iff there is no negative cycle in  $G(S)$ .

Searching for negative cycles in a graph can be done with the Bellman-Ford algorithm for finding the single-source shortest paths in a directed weighted graph in time  $O(|V| \cdot |E|)$ . (Side-effect of the algorithm exploited - if there exists a negative cycle in the graph then the algorithm finds it and aborts.)

# Positive difference logic

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Example - blackboard

## Theorem 3.4.1.

Let  $S$  be a conjunction of difference constraints, and  $G(S)$  the inequality graph of  $S$ . Then  $S$  is satisfiable iff there is no negative cycle in  $G(S)$ .

Proof: next time.