

# Formal Specification and Verification

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Viorica Sofronie-Stokkermans

e-mail: [sofronie@uni-koblenz.de](mailto:sofronie@uni-koblenz.de)

# Mathematical foundations

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Formal logic:

- **Syntax:** a formal language (formula expressing facts)
- **Semantics:** to define the meaning of the language, that is which facts are valid)
- **Deductive system:** made of axioms and inference rules to formally derive theorems, that is facts that are provable

# Last time

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## Propositional classical logic

- Syntax
- Semantics
  - Models, Validity, and Satisfiability
  - Entailment and Equivalence
- Checking Unsatisfiability
  - Truth tables
  - "Rewriting" using equivalences
  - Proof systems: clausal/non-clausal
    - non-clausal: Hilbert calculus

# Today

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## Propositional classical logic

**Proof systems:** clausal/non-clausal

- non-clausal: Hilbert calculus

  - sequent calculus

- clausal: Resolution; DPLL (translation to CNF needed)

- Binary Decision Diagrams

On Thursday, 10.05

# Last time

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Inference systems  $\Gamma$  (proof calculi) are sets of tuples

$$(F_1, \dots, F_n, F_{n+1}), \quad n \geq 0,$$

called inferences or inference rules, and written

$$\frac{\overbrace{F_1 \dots F_n}^{\text{premises}}}{\underbrace{F_{n+1}}_{\text{conclusion}}} .$$

**Clausal inference system:** premises and conclusions are clauses. One also considers inference systems over other data structures.

# Proofs

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A **proof** in  $\Gamma$  of a formula  $F$  from a set of formulas  $N$  (called **assumptions**) is a sequence  $F_1, \dots, F_k$  of formulas where

(i)  $F_k = F$ ,

(ii) for all  $1 \leq i \leq k$ :  $F_i \in N$ , or else there exists an inference  $(F_{i_1}, \dots, F_{i_{n_i}}, F_i)$  in  $\Gamma$ , such that  $0 \leq i_j < i$ , for  $1 \leq j \leq n_i$ .

# Proofs

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**Provability**  $\vdash_{\Gamma}$  of  $F$  from  $N$  in  $\Gamma$ :

$N \vdash_{\Gamma} F \iff$  there exists a proof  $\Gamma$  of  $F$  from  $N$ .

$\Gamma$  is called **sound**  $\iff$

$$\frac{F_1 \dots F_n}{F} \in \Gamma \Rightarrow F_1, \dots, F_n \models F$$

$\Gamma$  is called **complete**  $\iff$

$$N \models F \Rightarrow N \vdash_{\Gamma} F$$

$\Gamma$  is called **refutationally complete**  $\iff$

$$N \models \perp \Rightarrow N \vdash_{\Gamma} \perp$$

# A deductive system for Propositional logic

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## Variant of the system of Hilbert-Ackermann

(Signature:  $\vee, \neg$ ;  $x \rightarrow y \equiv_{\text{Def}} \neg x \vee y$ )

**Axiom Schemata** (to be instantiated for all possible formulae)

$$(1) (p \vee p) \rightarrow p$$

$$(2) p \rightarrow (q \vee p)$$

$$(3) (p \vee q) \rightarrow (q \vee p)$$

$$(4) (p \rightarrow q) \rightarrow (r \vee p \rightarrow r \vee q)$$

## Inference rules

Modus Ponens: 
$$\frac{p, \quad p \rightarrow q}{q}$$



# Example of proof

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**Prove**  $\phi \vee \neg\phi$

1.  $((\phi \vee \phi) \rightarrow \phi) \rightarrow (\neg\phi \vee (\phi \vee \phi) \rightarrow \neg\phi \vee \phi)$  [Instance of (4)]
2.  $\phi \vee \phi \rightarrow \phi$  [Instance of (1)]
3.  $\neg\phi \vee (\phi \vee \phi) \rightarrow (\neg\phi \vee \phi)$  [1., 2., and MP]
- 3'.  $= (\phi \rightarrow (\phi \vee \phi)) \rightarrow (\neg\phi \vee \phi)$  [3 and definition of  $\rightarrow$ ]
4.  $\phi \rightarrow \phi \vee \phi$  [Instance of (2)]
5.  $\neg\phi \vee \phi$  [3., 4. and MP]
6.  $(\neg\phi \vee \phi) \rightarrow (\phi \vee \neg\phi)$  [Instance of (3)]
7.  $\phi \vee \neg\phi$  [5., 6. and MP]

# Soundness and completeness

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**Theorem.** The Hilbert deductive system is sound.

**Theorem.** The Hilbert deductive system is complete.

# Sequent calculus for propositional logic

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Sequent Calculus based on notion of sequent

$$\underbrace{\psi_1, \dots, \psi_m}_{\text{Antecedent}} \Rightarrow \underbrace{\phi_1, \dots, \phi_n}_{\text{Succedent}}$$

Has same semantics as

$$\models \psi_1 \wedge \dots \wedge \psi_m \rightarrow (\phi_1 \vee \dots \vee \phi_n)$$

$$\{\psi_1, \dots, \psi_m\} \models \phi_1 \vee \dots \vee \phi_n$$

# Notation for Sequents

---

$$\underbrace{\psi_1, \dots, \psi_m}_{\text{Antecedent}} \Rightarrow \underbrace{\phi_1, \dots, \phi_n}_{\text{Succedent}}$$

Consider antecedent/succedent as sets of formulae (may be empty)

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## Conventions:

- empty antecedent = empty conjunction =  $\top$
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## Conventions:

- empty antecedent = empty conjunction =  $\top$
- empty succedent = empty disjunction =  $\perp$

## Alternative notation:

$$\psi_1, \dots, \psi_m \vdash \phi_1, \dots, \phi_n$$

Not used here because of the risk of potential confusion with the provability relation

# Notation for Sequents

---

$$\underbrace{\psi_1, \dots, \psi_m}_{\text{Antecedent}} \Rightarrow \underbrace{\phi_1, \dots, \phi_n}_{\text{Succedent}}$$

Consider antecedent/succedent as sets of formulas, may be empty

## Schema Variables:

$\phi, \psi, \dots$  match formulas,  $\Gamma, \Delta, \dots$  match sets of formulas

Characterize infinitely many sequents with a single schematic sequent:

**Example:**  $\Gamma \Rightarrow \Delta, \phi \wedge \psi$

Matches any sequent with occurrence of conjunction in succedent

We call  $\phi \wedge \psi$  **main formula** and  $\Gamma, \Delta$  **side formulae** of sequent.

# Sequent Calculus Rules of Propositional Logic

---

Write syntactic transformation schema for sequents that reflects semantics of connectives as closely as possible

$$\text{Rule Name } \frac{\overbrace{\Gamma_1 \Rightarrow \Delta_1 \dots \Gamma_n \Rightarrow \Delta_n}^{\text{premises}}}{\underbrace{\Gamma \Rightarrow \Delta}_{\text{conclusion}}} .$$



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**Example:**

$$\text{andRight } \frac{\Gamma \Rightarrow \phi, \Delta \quad \Gamma \Rightarrow \psi, \Delta}{\Gamma \Rightarrow \phi \wedge \psi, \Delta} .$$

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**Example:**

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**Informal meaning:**

In order to prove that  $\Gamma$  entails  $(\phi \wedge \psi) \vee \Delta$  we need to prove that:

$\Gamma$  entails  $\phi \vee \Delta$  and

$\Gamma$  entails  $\psi \vee \Delta$

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Sound rule (essential):  $\models (\Gamma_1 \rightarrow \Delta_1 \wedge \dots \wedge \Gamma_n \rightarrow \Delta_n) \rightarrow (\Gamma \rightarrow \Delta)$

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**Example:**

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**Sound rule (essential):** If  $\models (\Gamma_1 \rightarrow \Delta_1)$  and ... and  $\models (\Gamma_n \rightarrow \Delta_n)$  then  $\models (\Gamma \rightarrow \Delta)$

**Complete rule (desirable):** If  $\models (\Gamma \rightarrow \Delta)$  then  $\models (\Gamma_1 \rightarrow \Delta_1), \dots, \models (\Gamma_n \rightarrow \Delta_n)$

# Rules of Propositional Sequent Calculus

---

main	left side (antecedent)	right side (succedent)
not	$\frac{\Gamma \Rightarrow \phi, \Delta}{\Gamma, \neg \phi \Rightarrow \Delta}$	$\frac{\Gamma, \phi \Rightarrow \Delta}{\Gamma \Rightarrow \neg \phi, \Delta}$

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or	$\frac{\Gamma, \phi \Rightarrow \Delta \quad \Gamma, \psi \Rightarrow \Delta}{\Gamma, \phi \vee \psi \Rightarrow \Delta}$	$\frac{\Gamma \Rightarrow \phi, \psi, \Delta}{\Gamma \Rightarrow \phi \vee \psi, \Delta}$

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imp	$\frac{\Gamma \Rightarrow \phi, \Delta \quad \Gamma, \psi \Rightarrow \Delta}{\Gamma, \phi \rightarrow \psi \Rightarrow \Delta}$	$\frac{\Gamma, \phi \Rightarrow \psi, \Delta}{\Gamma \Rightarrow \phi \rightarrow \psi, \Delta}$



# Rules of Propositional Sequent Calculus

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or	$\frac{\Gamma, \phi \Rightarrow \Delta \quad \Gamma, \psi \Rightarrow \Delta}{\Gamma, \phi \vee \psi \Rightarrow \Delta}$	$\frac{\Gamma \Rightarrow \phi, \psi, \Delta}{\Gamma \Rightarrow \phi \vee \psi, \Delta}$
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close  $\overline{\Gamma, \phi \Rightarrow \phi, \Delta}$     true  $\overline{\Gamma \Rightarrow \text{true}, \Delta}$     false  $\overline{\Gamma, \text{false} \Rightarrow \Delta}$

# Justification of Rules

---

Compute rules by applying semantic definitions

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$$\text{orRight} \quad \frac{\Gamma \Rightarrow \phi, \psi, \Delta}{\Gamma \Rightarrow \phi \vee \psi, \Delta}$$

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Follows directly from semantics of sequents

$$\text{andRight} \quad \frac{\Gamma \Rightarrow \phi, \Delta \quad \Gamma \Rightarrow \psi, \Delta}{\Gamma \Rightarrow \phi \wedge \psi, \Delta}$$

$$\models \Gamma \rightarrow (\phi \wedge \psi) \vee \Delta \text{ iff } (\models \Gamma \rightarrow \phi \vee \Delta \text{ and } \models \Gamma \rightarrow \psi \vee \Delta)$$

# Sequent Calculus Proofs

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Goal to prove:  $\mathcal{G} = (\psi_1, \dots, \psi_m \Rightarrow \phi_1, \dots, \phi_n)$

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Goal to prove:  $\mathcal{G} = (\psi_1, \dots, \psi_m \Rightarrow \phi_1, \dots, \phi_n)$

- find rule  $R$  whose conclusion matches  $\mathcal{G}$
- instantiate  $R$  such that conclusion identical to  $\mathcal{G}$
- recursively find proofs for resulting premisses  $\mathcal{G}_1, \dots, \mathcal{G}_r$
- tree structure with goal as root
- close proof branch when rule without premisses encountered

# A Simple Proof

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$$\Rightarrow (p \wedge (p \rightarrow q)) \rightarrow q$$

# A Simple Proof

---

$$\frac{p \wedge (p \rightarrow q) \Rightarrow q}{\Rightarrow (p \wedge (p \rightarrow q)) \rightarrow q} \quad (\text{imp}), \text{ right}$$



# A Simple Proof

---

$$\frac{\frac{p, (p \rightarrow q) \Rightarrow q}{p \wedge (p \rightarrow q) \Rightarrow q}}{\Rightarrow (p \wedge (p \rightarrow q)) \rightarrow q}$$

(and), left  
(imp), right

# A Simple Proof

---

$$\begin{array}{l} p \Rightarrow q, p \quad p, q \Rightarrow q \\ \hline p, (p \rightarrow q) \Rightarrow q \\ \hline p \wedge (p \rightarrow q) \Rightarrow q \\ \hline \Rightarrow (p \wedge (p \rightarrow q)) \rightarrow q \end{array} \quad \begin{array}{l} (\text{imp}), \text{ left} \\ (\text{and}), \text{ left} \\ (\text{imp}), \text{ right} \end{array}$$

# A Simple Proof

---

$\frac{\quad}{p \Rightarrow q, p}$	$\frac{\quad}{p, q \Rightarrow q}$	close, close
$\frac{\quad}{p, (p \rightarrow q) \Rightarrow q}$		(imp), left
$\frac{\quad}{p \wedge (p \rightarrow q) \Rightarrow q}$		(and), left
$\frac{\quad}{\Rightarrow (p \wedge (p \rightarrow q)) \rightarrow q}$		(imp), right

# A Simple Proof

---

$$\begin{array}{c} \frac{\text{close } *}{p \Rightarrow q, p} \quad \frac{\text{close } *}{p, q \Rightarrow q} \\ \hline p, (p \rightarrow q) \Rightarrow q \\ \hline p \wedge (p \rightarrow q) \Rightarrow q \\ \hline \Rightarrow (p \wedge (p \rightarrow q)) \rightarrow q \end{array}$$

A proof is closed iff all its branches are closed

# Soundness, Completeness, Termination

---

**Soundness and completeness** can be proved for every rule:

**Sound:** If  $\models (\Gamma_1 \rightarrow \Delta_1)$  and  $\dots$  and  $\models (\Gamma_n \rightarrow \Delta_n)$  then  $\models (\Gamma \rightarrow \Delta)$

**Complete:** If  $\models (\Gamma \rightarrow \Delta)$  then  $\models (\Gamma_1 \rightarrow \Delta_1), \dots, \models (\Gamma_n \rightarrow \Delta_n)$

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**Complete:** If  $\models (\Gamma \rightarrow \Delta)$  then  $\models (\Gamma_1 \rightarrow \Delta_1), \dots, \models (\Gamma_n \rightarrow \Delta_n)$

**Consequence:** The following are equivalent:

(1)  $\Gamma \models \Delta$

(2) there exists a proof in the sequent calculus for  $\Gamma \Rightarrow \Delta$ .

# The Propositional Resolution Calculus

---

Resolution inference rule:

$$\frac{C \vee A \quad \neg A \vee D}{C \vee D}$$

Terminology:  $C \vee D$ : **resolvent**;  $A$ : **resolved atom**

(Positive) factorisation inference rule:

$$\frac{C \vee A \vee A}{C \vee A}$$

# The Resolution Calculus *Res*

---

These are **schematic inference rules**; for each substitution of the **schematic variables**  $C$ ,  $D$ , and  $A$ , respectively, by propositional clauses and atoms we obtain an inference rule.

As “ $\vee$ ” is considered associative and commutative, we assume that  $A$  and  $\neg A$  can occur anywhere in their respective clauses.



# Sample Refutation

---

1.  $\neg P \vee \neg P \vee Q$  (given)
2.  $P \vee Q$  (given)
3.  $\neg R \vee \neg Q$  (given)
4.  $R$  (given)
5.  $\neg P \vee Q \vee Q$  (Res. 2. into 1.)
6.  $\neg P \vee Q$  (Fact. 5.)
7.  $Q \vee Q$  (Res. 2. into 6.)
8.  $Q$  (Fact. 7.)
9.  $\neg R$  (Res. 8. into 3.)
10.  $\perp$  (Res. 4. into 9.)

# Resolution with Implicit Factorization *RIF*

---

$$\frac{C \vee A \vee \dots \vee A \quad \neg A \vee D}{C \vee D}$$

1.  $\neg P \vee \neg P \vee Q$  (given)
2.  $P \vee Q$  (given)
3.  $\neg R \vee \neg Q$  (given)
4.  $R$  (given)
5.  $\neg P \vee Q \vee Q$  (Res. 2. into 1.)
6.  $Q \vee Q \vee Q$  (Res. 2. into 5.)
7.  $\neg R$  (Res. 6. into 3.)
8.  $\perp$  (Res. 4. into 7.)

# Soundness and Completeness

---

**Theorem 1.6.** Propositional resolution is sound.

for both the resolution rule and the positive factorization rule  
the conclusion of the inference is entailed by the premises.

**Theorem 1.7.** Propositional resolution is refutationally complete.

If  $N \models \perp$  we can deduce  $\perp$  starting from  $N$  and using  
the inference rules of the propositional resolution calculus.

# The DPLL Procedure

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## Goal:

Given a propositional formula in CNF (or alternatively, a finite set  $N$  of clauses), check whether it is satisfiable (and optionally: output *one* solution, if it is satisfiable).

# Satisfiability of Clause Sets

---

$\mathcal{A} \models N$  if and only if  $\mathcal{A} \models C$  for all clauses  $C$  in  $N$ .

$\mathcal{A} \models C$  if and only if  $\mathcal{A} \models L$  for some literal  $L \in C$ .

# Partial Valuations

---

Since we will construct satisfying valuations incrementally, we consider **partial valuations** (that is, partial mappings  $\mathcal{A} : \Pi \rightarrow \{0, 1\}$ ).

We start with an **empty valuation** and try to extend it step by step to all variables occurring in  $N$ .

If  $\mathcal{A}$  is a partial valuation, then literals and clauses can be **true, false, or undefined** under  $\mathcal{A}$ .

A clause is true under  $\mathcal{A}$  if one of its literals is true; it is false (or **“conflicting”**) if all its literals are false; otherwise it is undefined (or **“unresolved”**).

# Unit Clauses

---

## Observation:

Let  $\mathcal{A}$  be a partial valuation. If the set  $N$  contains a clause  $C$ , such that all literals but one in  $C$  are false under  $\mathcal{A}$ , then the following properties are equivalent:

- there is a valuation that is a model of  $N$  and extends  $\mathcal{A}$ .
- there is a valuation that is a model of  $N$  and extends  $\mathcal{A}$  and makes the remaining literal  $L$  of  $C$  true.

$C$  is called a **unit clause**;  $L$  is called a **unit literal**.

# Pure Literals

---

## One more observation:

Let  $\mathcal{A}$  be a partial valuation and  $P$  a variable that is undefined under  $\mathcal{A}$ . If  $P$  occurs only positively (or only negatively) in the unresolved clauses in  $N$ , then the following properties are equivalent:

- there is a valuation that is a model of  $N$  and extends  $\mathcal{A}$ .
- there is a valuation that is a model of  $N$  and extends  $\mathcal{A}$  and assigns true (false) to  $P$ .

$P$  is called a **pure literal**.



# The Davis-Putnam-Logemann-Loveland Proc.

---

```
boolean DPLL(clause set  $N$ , partial valuation  $\mathcal{A}$ ) {
  if (all clauses in  $N$  are true under  $\mathcal{A}$ ) return true;
  elsif (some clause in  $N$  is false under  $\mathcal{A}$ ) return false;
  elsif ( $N$  contains unit clause  $P$ ) return DPLL( $N$ ,  $\mathcal{A} \cup \{P \mapsto 1\}$ );
  elsif ( $N$  contains unit clause  $\neg P$ ) return DPLL( $N$ ,  $\mathcal{A} \cup \{P \mapsto 0\}$ );
  elsif ( $N$  contains pure literal  $P$ ) return DPLL( $N$ ,  $\mathcal{A} \cup \{P \mapsto 1\}$ );
  elsif ( $N$  contains pure literal  $\neg P$ ) return DPLL( $N$ ,  $\mathcal{A} \cup \{P \mapsto 0\}$ );
  else {
    let  $P$  be some undefined variable in  $N$ ;
    if (DPLL( $N$ ,  $\mathcal{A} \cup \{P \mapsto 0\}$ )) return true;
    else return DPLL( $N$ ,  $\mathcal{A} \cup \{P \mapsto 1\}$ );
  }
}
```

# The Davis-Putnam-Logemann-Loveland Proc.

---

Initially, DPLL is called with the clause set  $N$  and with an empty partial valuation  $\mathcal{A}$ .

# The Davis-Putnam-Logemann-Loveland Proc.

---

In practice, there are several changes to the procedure:

The pure literal check is often omitted (it is too expensive).

The branching variable is not chosen randomly.

The algorithm is implemented iteratively;

the backtrack stack is managed explicitly

(it may be possible and useful to backtrack more than one level).

# DPLL Iteratively

---

An iterative (and generalized) version:

```
status = preprocess();
if (status != UNKNOWN) return status;
while(1) {
    decide_next_branch();
    while(1) {
        status = deduce();
        if (status == CONFLICT) {
            blevel = analyze_conflict();
            if (blevel == 0) return UNSATISFIABLE;
            else backtrack(blevel); }
        else if (status == SATISFIABLE) return SATISFIABLE;
        else break;
    }
}
```

# DPLL Iteratively

---

`preprocess()`

preprocess the input (as far as it is possible without branching);  
return CONFLICT or SATISFIABLE or UNKNOWN.

`decide_next_branch()`

choose the right undefined variable to branch;  
decide whether to set it to 0 or 1;  
increase the backtrack level.

# DPLL Iteratively

---

deduce()

make further assignments to variables (e.g., using the unit clause rule) until a satisfying assignment is found, or until a conflict is found, or until branching becomes necessary;  
return CONFLICT or SATISFIABLE or UNKNOWN.

# DPLL Iteratively

---

`analyze_conflict()`

check where to backtrack.

`backtrack(blevel)`

backtrack to `blevel`;

flip the branching variable on that level;

undo the variable assignments in between.

# Branching Heuristics

---

Choosing the right undefined variable to branch is important for efficiency, but the branching heuristics may be expensive itself.

State of the art: use branching heuristics that need not be recomputed too frequently.

In general: choose variables that occur frequently.



# The Deduction Algorithm

---

For applying the unit rule, we need to know the number of literals in a clause that are not false.

Maintaining this number is expensive, however.

# The Deduction Algorithm

---

Better approach: “Two watched literals”:

In each clause, select two (currently undefined) “watched” literals.

For each variable  $P$ , keep a list of all clauses in which  $P$  is watched and a list of all clauses in which  $\neg P$  is watched.

If an undefined variable is set to 0 (or to 1), check all clauses in which  $P$  (or  $\neg P$ ) is watched and watch another literal (that is true or undefined) in this clause if possible.

Watched literal information need not be restored upon backtracking.

# Conflict Analysis and Learning

---

**Goal:** Reuse information that is obtained in one branch in further branches.

**Method:** **Learning:**

If a conflicting clause is found, use the resolution rule to derive a new clause and add it to the current set of clauses.

**Problem:** This may produce a large number of new clauses; therefore it may become necessary to delete some of them afterwards to save space.

# Backjumping

---

Related technique:

non-chronological backtracking (“backjumping”):

If a conflict is independent of some earlier branch, try to skip that over that backtrack level.

# Restart

---

Runtimes of DPLL-style procedures depend extremely on the choice of branching variables.

If no solution is found within a certain time limit, it can be useful to **restart** from scratch with another choice of branchings (but learned clauses may be kept).

# A succinct formulation

---

State:  $M||F$ ,

where:

- $M$  partial assignment (sequence of literals),  
    some literals are annotated ( $L^d$ : decision literal)
- $F$  clause set.

# A succinct formulation

---

## UnitPropagation

$M \parallel F, C \vee L \Rightarrow M, L \parallel F, C \vee L$

if  $M \models \neg C$ , and  $L$  undef. in  $M$

## Decide

$M \parallel F \Rightarrow M, L^d \parallel F$

if  $L$  or  $\neg L$  occurs in  $F$ ,  $L$  undef. in  $M$

## Fail

$M \parallel F, C \Rightarrow \text{Fail}$

if  $M \models \neg C$ ,  $M$  contains no decision literals

## Backjump

$M, L^d, N \parallel F \Rightarrow M, L' \parallel F$

if  $\left\{ \begin{array}{l} \text{there is some clause } C \vee L' \text{ s.t.:} \\ F \models C \vee L', M \models \neg C, \\ L' \text{ undefined in } M \\ L' \text{ or } \neg L' \text{ occurs in } F. \end{array} \right.$

# Example

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Assignment:	Clause set:	
$\emptyset$	$\ \neg P_1 \vee P_2, \neg P_3 \vee P_4, \neg P_5 \vee \neg P_6, P_6 \vee \neg P_5 \vee \neg P_2$	$\Rightarrow$ (Decide)
$P_1^d$	$\ \neg P_1 \vee P_2, \neg P_3 \vee P_4, \neg P_5 \vee \neg P_6, P_6 \vee \neg P_5 \vee \neg P_2$	$\Rightarrow$ (UnitProp)
$P_1^d P_2$	$\ \neg P_1 \vee P_2, \neg P_3 \vee P_4, \neg P_5 \vee \neg P_6, P_6 \vee \neg P_5 \vee \neg P_2$	$\Rightarrow$ (Decide)
$P_1^d P_2 P_3^d$	$\ \neg P_1 \vee P_2, \neg P_3 \vee P_4, \neg P_5 \vee \neg P_6, P_6 \vee \neg P_5 \vee \neg P_2$	$\Rightarrow$ (UnitProp)
$P_1^d P_2 P_3^d P_4$	$\ \neg P_1 \vee P_2, \neg P_3 \vee P_4, \neg P_5 \vee \neg P_6, P_6 \vee \neg P_5 \vee \neg P_2$	$\Rightarrow$ (Decide)
$P_1^d P_2 P_3^d P_4 P_5^d$	$\ \neg P_1 \vee P_2, \neg P_3 \vee P_4, \neg P_5 \vee \neg P_6, P_6 \vee \neg P_5 \vee \neg P_2$	$\Rightarrow$ (UnitProp)
$P_1^d P_2 P_3^d P_4 P_5^d \neg P_6$	$\ \neg P_1 \vee P_2, \neg P_3 \vee P_4, \neg P_5 \vee \neg P_6, P_6 \vee \neg P_5 \vee \neg P_2$	$\Rightarrow$ (Backtrack)
$P_1^d P_2 P_3^d P_4 \neg P_5$	$\ \neg P_1 \vee P_2, \neg P_3 \vee P_4, \neg P_5 \vee \neg P_6, P_6 \vee \neg P_5 \vee \neg P_2$	...



# DPLL with learning

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The DPLL system with learning consists of the four transition rules of the Basic DPLL system, plus the following two additional rules:

**Learn**

$M||F \Rightarrow M||F, C$  if all atoms of  $C$  occur in  $F$  and  $F \models C$

**Forget**

$M||F, C \Rightarrow M||F$  if  $F \models C$

In these two rules, the clause  $C$  is said to be learned and forgotten, respectively.

## Further Information

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The ideas described so far have been implemented in the SAT checker **Chaff**.

Further information:

Lintao Zhang and Sharad Malik:

The Quest for Efficient Boolean Satisfiability Solvers,  
Proc. CADE-18, LNAI 2392, pp. 295–312, Springer, 2002.

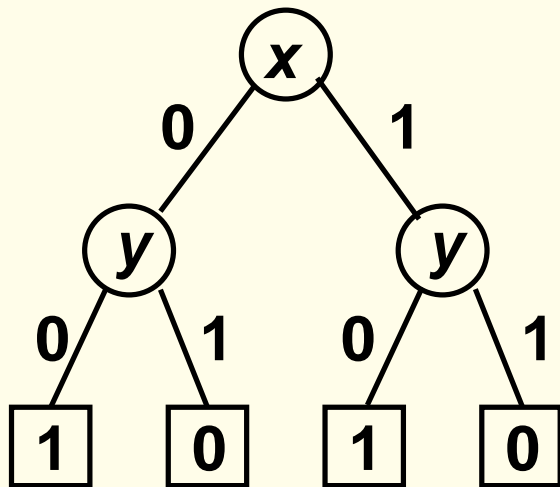
# Binary Decision Diagrams

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Formulae  $\leftrightarrow$  Boolean functions

$F$  ( $n$  Prop.Var)  $\mapsto f_F : \{0, 1\}^n \rightarrow \{0, 1\}$

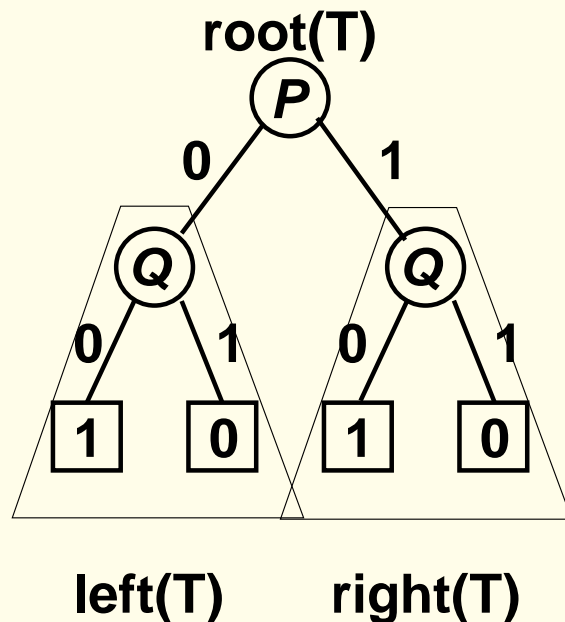
Binary decision trees:



# Binary Decision Diagrams

With every function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  we can associate a decision tree

With every decision tree  $T$  we can associate a Boolean function:



Sei  $\mathcal{A} : \{P_1, \dots, P_n\} \rightarrow \{0, 1\}$ , mit  $\mathcal{A}(P_i) = a_i$

$P$  marks the root of  $T$ :

if  $\mathcal{A}(P) = 0$ :  $f_T(\bar{a}) := f_{\text{left}(T)}(\bar{a})$

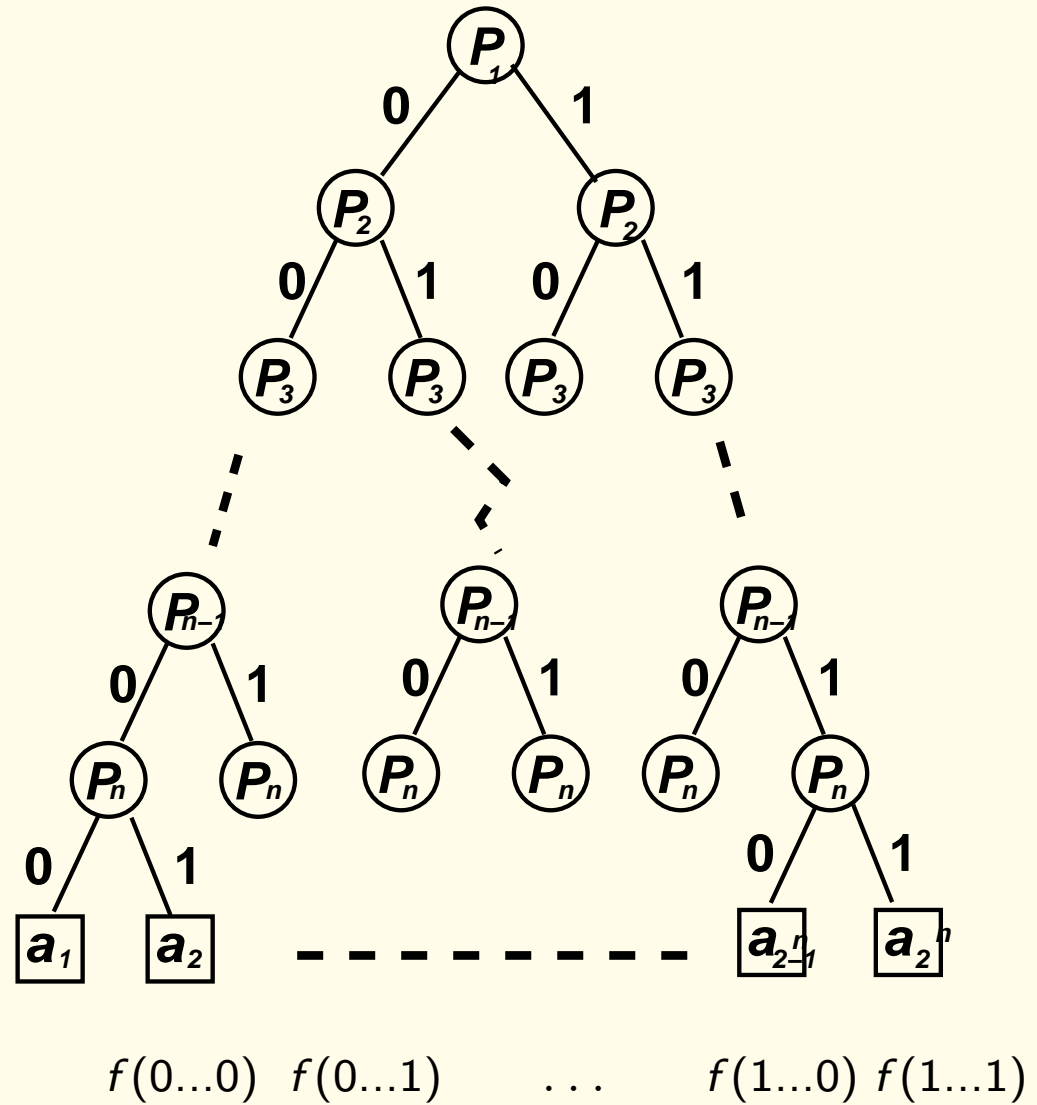
is  $\mathcal{A}(P) = 1$ :  $f_T(\bar{a}) := f_{\text{right}(T)}(\bar{a})$

$0$  marks the root of  $T$ :  $f_T(\bar{a}) := 0$

$1$  markiert die Wurzel von  $T$ :  $f_T(\bar{a}) := 1$

# Binary Decision Trees

$$f : \{0, 1\}^n \rightarrow \{0, 1\} \quad \mapsto$$



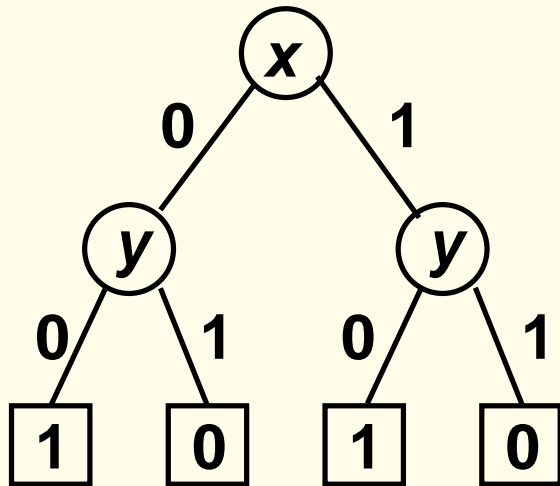
# Binary Decision Diagrams

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Formulae  $\leftrightarrow$  Boolean functions

$F$  ( $n$  Prop.Var)  $\mapsto f_F : \{0, 1\}^n \rightarrow \{0, 1\}$

Binary decision trees:



- exactly as inefficient as truth tables ( $2^{n+1} - 1$  nodes if  $n$  prop.vars.)
- optimization possible: remove redundancies

# Binary Decision Diagrams

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Optimization: remove redundancies

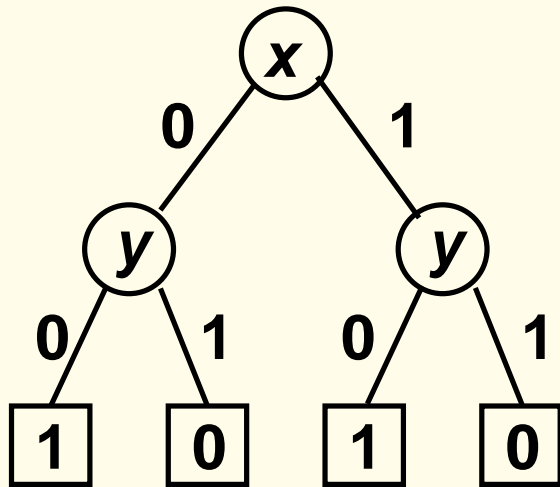
1. remove duplicate leaves
2. remove unnecessary tests
3. remove duplicate nodes

# Binary Decision Diagrams

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1. remove duplicate leaves

Only one copy of 0 and 1 necessary:



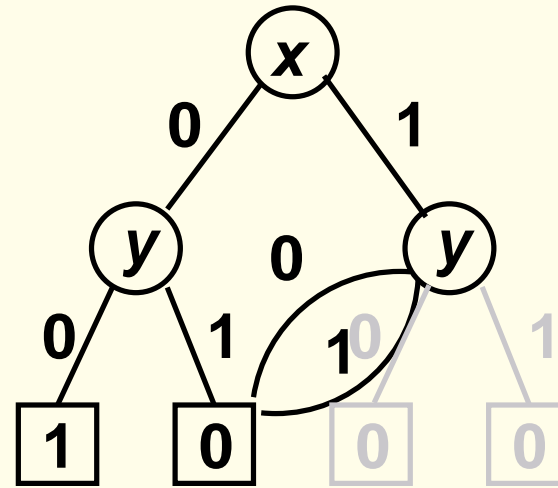
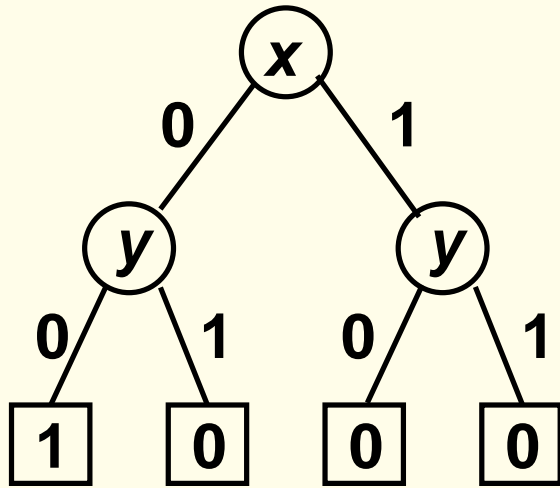


# Binary Decision Diagrams

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1. remove duplicate leaves

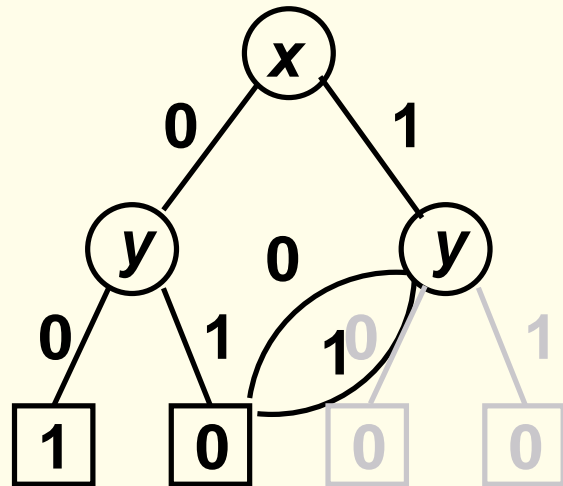
Only one copy of 0 and 1 necessary:



# Binary Decision Diagrams

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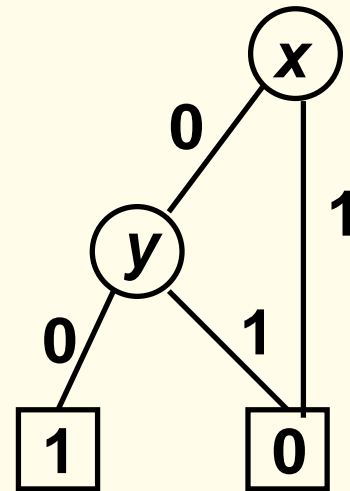
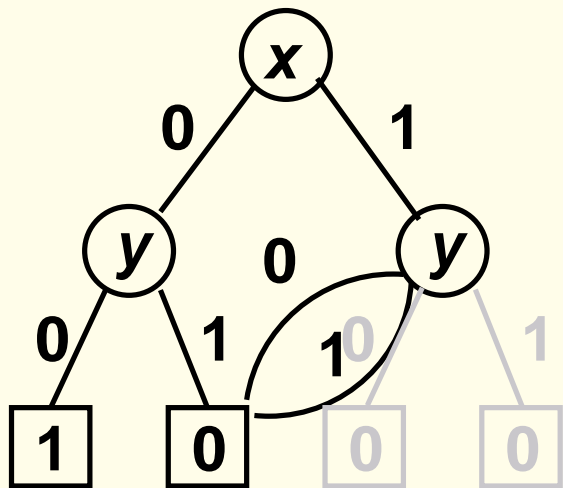
2. remove unnecessary tests



# Binary Decision Diagrams

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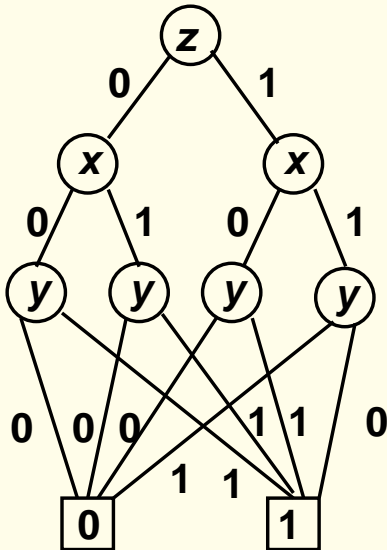
2. remove unnecessary tests



# Binary Decision Diagrams

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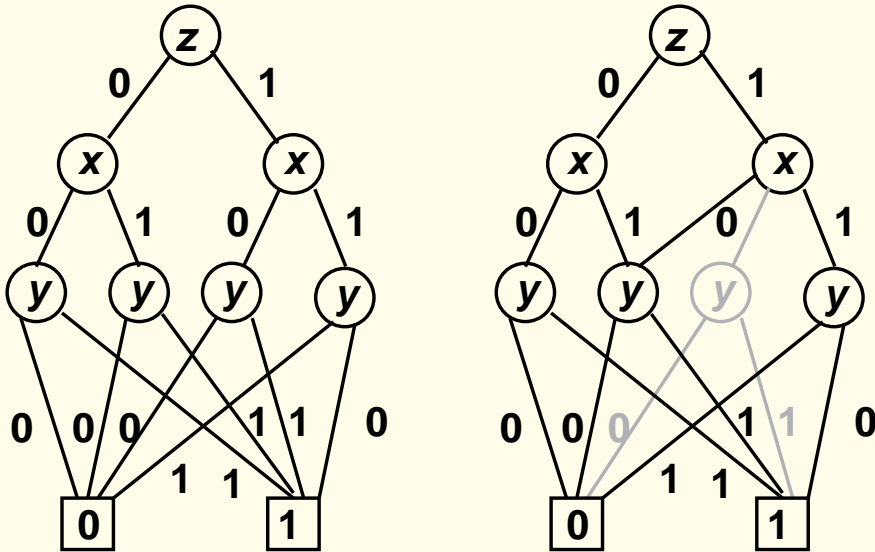
3. remove duplicate non-terminal nodes:



# Binary Decision Diagrams

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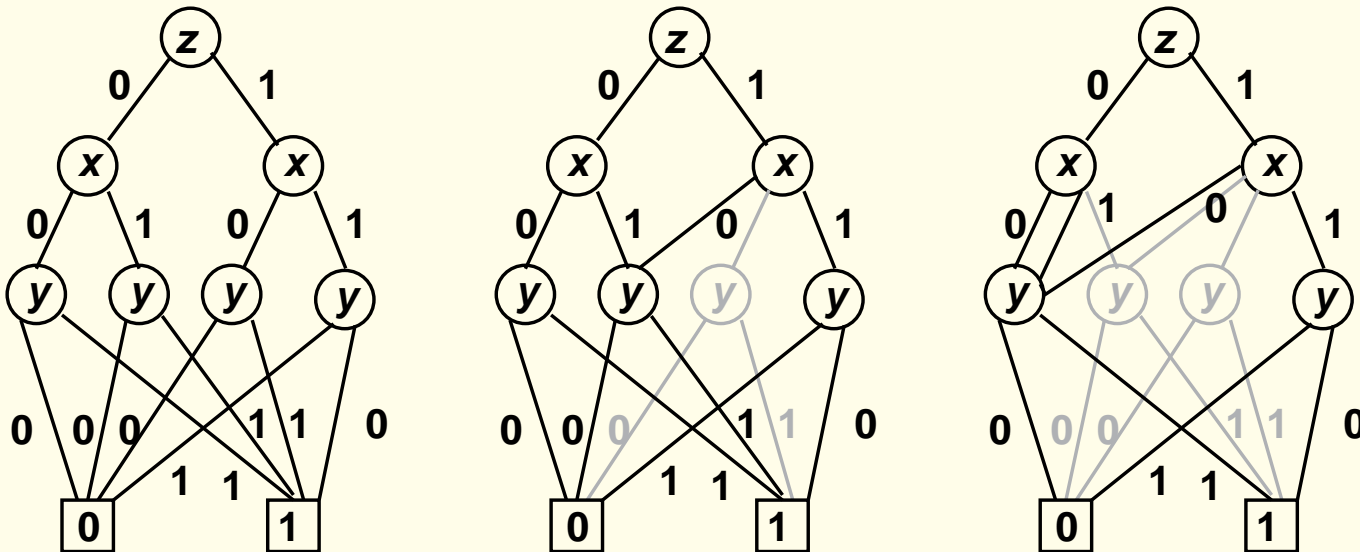
3. remove duplicate non-terminal nodes:



# Binary Decision Diagrams

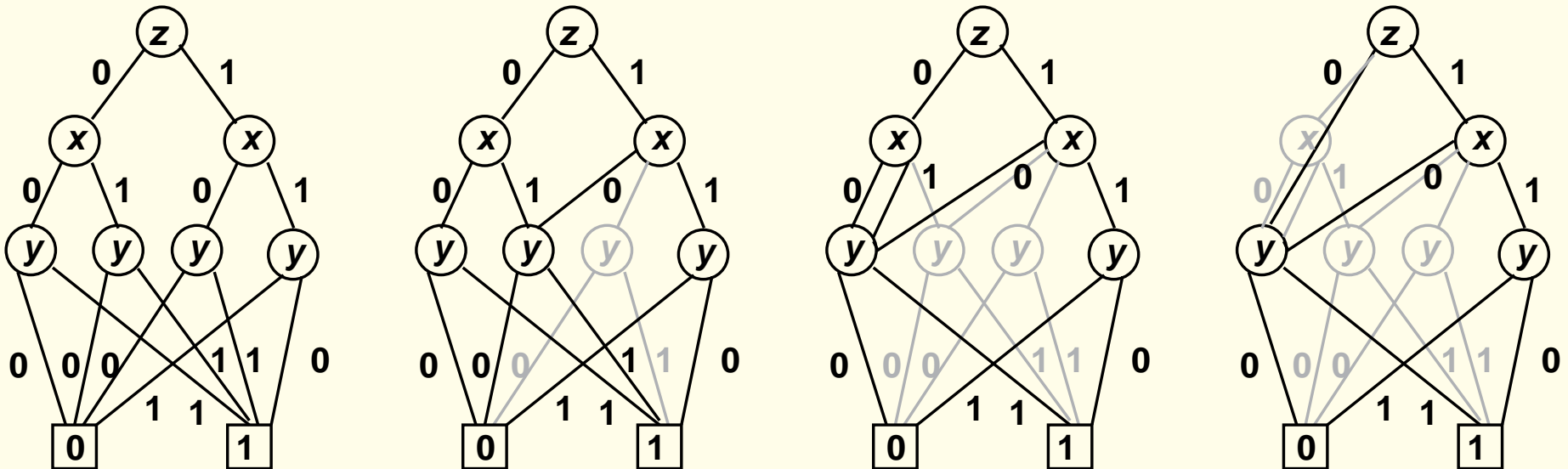
---

3. remove duplicate non-terminal nodes:



# Binary Decision Diagrams

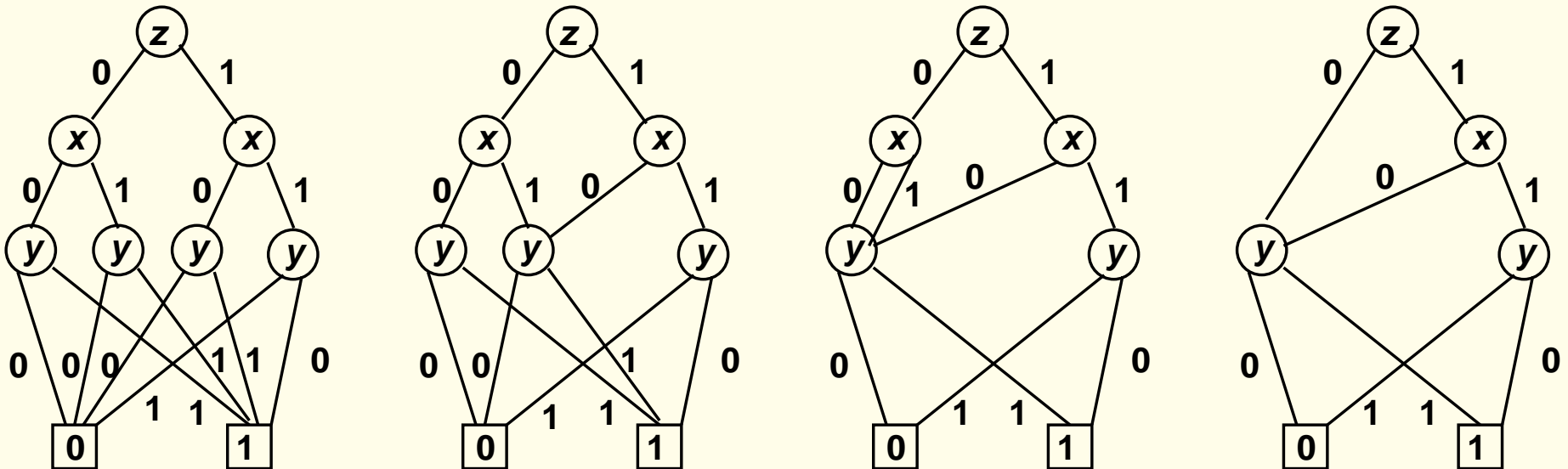
3. remove duplicate non-terminal nodes:



# Binary Decision Diagrams

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3. remove duplicate non-terminal nodes:





# Operations with BDDs

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$f \mapsto B_f$  (BDD associated with  $f$ )

$g \mapsto B_g$  (BDD associated with  $g$ )

BDD for  $f \wedge g$ : replace all 1-leaves in  $B_f$  with  $B_g$

BDD for  $f \vee g$ : replace all 0-leaves in  $B_f$  with  $B_g$

BDD for  $\neg f$ : replace all 1-leaves in  $B_f$  with 0-leaves and all 0-leaves with 1 leaves.

# Binary Decision Diagrams

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Binary decision diagram (BDD): finite directed acyclic graph with:

- a unique initial node
- terminal nodes marked with 0 or 1
- non-terminal nodes marked with propositional variables
- in each non-terminal node: two vertices (marked 0/1)

Reduced BDD: Optimizations 1-3 cannot be applied.

# Binary Decision Diagrams

---

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- terminal nodes marked with 0 or 1
- non-terminal nodes marked with propositional variables
- in each non-terminal node: two vertices (marked 0/1)

Reduced BDD: Optimizations 1-3 cannot be applied.

**Problem:** Variables may occur several times on a path.

**Solution:** Ordered BDDs.