### **Formal Specification and Verification**

Classical logic (6) 24.11.2016

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# Until now

- Propositional logic
- First-order logic

Syntax

Semantics

Algorithmic Problems/Undecidability

Logical Theories (definition, examples)

### Syntactic view

first-order theory: given by a set  $\mathcal{F}$  of (closed) first-order  $\Sigma$ -formulae. the models of  $\mathcal{F}$ :  $\mathsf{Mod}(\mathcal{F}) = \{\mathcal{A} \in \Sigma\text{-}\mathsf{alg} \mid \mathcal{A} \models G, \text{ for all } G \text{ in } \mathcal{F}\}$ 

### Semantic view

given a class  ${\mathcal M}$  of  $\Sigma\text{-algebras}$ 

the first-order theory of  $\mathcal{M}$ : Th $(\mathcal{M}) = \{G \in F_{\Sigma}(X) \text{ closed } | \mathcal{M} \models G\}$ 

### Theories

 ${\cal F}$  set of (closed) first-order formulae

 $Mod(\mathcal{F}) = \{A \in \Sigma\text{-}alg \mid \mathcal{A} \models G, \text{ for all } G \text{ in } \mathcal{F}\}$ 

 ${\mathcal M}$  class of  $\Sigma\text{-algebras}$ 

 $\mathsf{Th}(\mathcal{M}) = \{ G \in F_{\Sigma}(X) \text{ closed } | \mathcal{M} \models G \}$ 

 $\begin{aligned} \mathsf{Th}(\mathsf{Mod}(\mathcal{F})) \text{ the set of formulae true in all models of } \mathcal{F} \\ \text{ represents exactly the set of consequences of } \mathcal{F} \end{aligned}$ 

### Theories

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Th(Mod( $\mathcal{F}$ )) the set of formulae true in all models of  $\mathcal{F}$ represents exactly the set of consequences of  $\mathcal{F}$ 

Note:  $\mathcal{F} \subseteq \mathsf{Th}(\mathsf{Mod}(\mathcal{F}))$ (typically strict) $\mathcal{M} \subseteq \mathsf{Mod}(\mathsf{Th}(\mathcal{M}))$ (typically strict)

## **Examples**

### 1. Groups

Let  $\Sigma = (\{e/0, */2, i/1\}, \emptyset)$ 

Let  $\mathcal{F}$  consist of all (universally quantified) group axioms:

$$\begin{array}{lll} \forall x, y, z & x * (y * z) \approx (x * y) * z \\ \forall x & x * i(x) \approx e & \wedge & i(x) * x \approx e \\ \forall x & x * e \approx x & \wedge & e * x \approx x \end{array}$$

Every group  $\mathcal{G} = (G, e_G, *_G, i_G)$  is a model of  $\mathcal{F}$ 

 $\mathsf{Mod}(\mathcal{F})$  is the class of all groups  $\mathcal{F}\subset\mathsf{Th}(\mathsf{Mod}(\mathcal{F}))$ 

## **Examples**

### 2. Linear (positive)integer arithmetic

Let  $\Sigma = (\{0/0, s/1, +/2\}, \{\leq /2\})$ Let  $\mathbb{Z}_+ = (\mathbb{Z}, 0, s, +, \leq)$  the standard interpretation of integers.  $\{\mathbb{Z}_+\} \subset Mod(Th(\mathbb{Z}_+))$ 

### 3. Uninterpreted function symbols

Let  $\Sigma = (\Omega, \Pi)$  be arbitrary

Let  $\mathcal{M} = \Sigma$ -alg be the class of all  $\Sigma$ -structures

The theory of uninterpreted function symbols is  $Th(\Sigma-alg)$  the family of all first-order formulae which are true in all  $\Sigma$ -algebras.

## **Examples**

### 4. Lists

Let 
$$\Sigma = (\{\operatorname{car}/1, \operatorname{cdr}/1, \operatorname{cons}/2\}, \emptyset)$$

Let  ${\mathcal F}$  be the following set of list axioms:

$$car(cons(x, y)) \approx x$$
  
 $cdr(cons(x, y)) \approx y$   
 $cons(car(x), cdr(x)) \approx x$ 

 $\mathsf{Mod}(\mathcal{F})$  class of all models of  $\mathcal{F}$ 

 $\mathsf{Th}_{\mathsf{Lists}} = \mathsf{Th}(\mathsf{Mod}(\mathcal{F}))$  theory of lists (axiomatized by  $\mathcal{F}$ )

For first-order logic without equality:

Assume that  $\Omega$  contains at least one constant symbol.

A Herbrand interpretation (over  $\Sigma$ ) is a  $\Sigma$ -algebra  $\mathcal{A}$  such that

•  $U_{\mathcal{A}} = \mathsf{T}_{\Sigma}$  (= the set of ground terms over  $\Sigma$ )

• 
$$f_{\mathcal{A}}:(s_1,\ldots,s_n)\mapsto f(s_1,\ldots,s_n), f/n\in\Omega$$

In other words, values are fixed to be ground terms and functions are fixed to be the term constructors. Only predicate symbols  $p/m \in \Pi$  may be freely interpreted as relations  $p_{\mathcal{A}} \subseteq \mathsf{T}_{\Sigma}^{m}$ .

### **Proposition 2.12**

Every set of ground atoms I uniquely determines a Herbrand interpretation  $\mathcal{A}$  via

$$(s_1,\ldots,s_n)\in p_\mathcal{A}$$
 : $\Leftrightarrow$   $p(s_1,\ldots,s_n)\in I$ 

Thus we shall identify Herbrand interpretations (over  $\Sigma$ ) with sets of  $\Sigma$ -ground atoms.

### **Herbrand Interpretations**

$$\begin{array}{l} \textit{Example: } \Sigma_{\textit{Pres}} = \left(\{0/0, s/1, +/2\}, \ \{$$

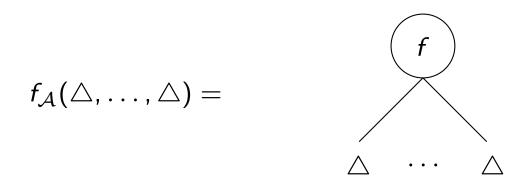
First-order logic with equality.

We assume that  $\Pi = \emptyset$ .

#### **Term algebras**

A term algebra (over  $\Sigma)$  is a  $\Sigma\text{-algebra}\ \mathcal{A}$  such that

- $U_{\mathcal{A}} = \mathsf{T}_{\Sigma}$  (= the set of ground terms over  $\Sigma$ )
- $f_{\mathcal{A}}:(s_1,\ldots,s_n)\mapsto f(s_1,\ldots,s_n), f/n\in\Omega$



In other words, *values are fixed* to be ground terms and *functions are fixed* to be the term constructors.

### **Free algebras**

Let  ${\mathcal K}$  be the class of  $\Sigma\text{-algebras}$  which satisfy a set of axioms which are either equalities

$$\forall x: t(x) pprox s(x)$$

or implications:

$$\forall x: t_1(x) \approx s_1(x) \wedge \cdots \wedge t_n(x) \approx s_n(x) \rightarrow t(x) \approx s(x)$$

We can construct the "most general" model in  $\mathcal{K}$ :

- Construct the term algebra  $T_{\Sigma}(X)$  (resp.  $T_{\Sigma}$ )
- Identify all terms t, t' such that K ⊨ t ≈ t'
  (all terms which become equal as a consequence of the axioms).
   ~ congruence relation

Construct the algebra of equivalence classes:  $T_{\Sigma}(X)/\sim$  (resp.  $T_{\Sigma}/\sim$ )

•  $T_{\Sigma}(X)/\sim$  is the free algebra in  $\mathcal{K}$  freely generated by X.  $T_{\Sigma}/\sim$  is the free algebra in  $\mathcal{K}$ .

### Universal property of the free algebras

For every  $\mathcal{A} \in \mathcal{K}$  and every  $\beta : X \to \mathcal{A}$  there exists a unique extension  $\beta'$  of  $\beta$  which is an algebra homomorphism:

 $\beta': T_{\Sigma}(X)/ \sim \rightarrow \mathcal{A}$ 

 $T_{\Sigma}(X)$  is the free algebra freely generated by X for the class of all algebras of type  $\Sigma$ .

Let X be a set of symbols and  $X^*$  be the class of all finite strings of elements in X, including the empty string.

We construct the monoid  $(X^*, \cdot, 1)$  by defining  $\cdot$  to be concatenation, and 1 is the empty string.

 $(X^*, \cdot, 1)$  is the free monoid freely generated by X.

- Specification for program/system
- Specification for properties of program/system

#### Verification tasks:

Check that the specification of the program/system has the required properties.

• Specification languages for describing programs/processes/systems

- Specification languages for describing programs/processes/systems
  - Model based specification
  - Axiom-based specification
  - Declarative specifications

#### • Specification languages for describing programs/processes/systems

Model based specification

transition systems, abstract state machines, specifications based on set theory Axiom-based specification

Declarative specifications

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logic based languages (Prolog)

functional languages,  $\lambda$ -calculus (Scheme, Haskell, OCaml, ...)

rewriting systems (very close to algebraic specification): ELAN, SPIKE, ...

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#### • Specification languages for properties of programs/processes/systems

Temporal logic

# **Algebraic specification**

- appropriate for specifying the interface of a module or class
- enables verification of implementation w.r.t. specification
- for every ADT operation: argument and result types (sorts)
- semantic equations over operations (axioms) e.g. for every combination of "defined function" (e.g. top, pop) and constructor with the corresponding sort (e.g. push, empty)
- problem: consistency?, completeness?

fmod NATSTACK is
 sorts Stack .
 protecting NAT .
 op empty : -> Stack .
 op push : Nat Stack -> Stack .
 op pop : Stack -> Stack .
 op top : Stack -> Nat .
 op length : Stack -> Nat .

var S S2 : Stack . var X Y : Element . eq pop(push(X,S)) = S . eq top(push(X,S)) = X . eq length(empty) = 0 . eq length(push(X,S)) = 1 + length(S) .

endfm

### **Example: Algebraic specification**

reduce pop(push(X,S)) == S.

reduce top(pop(push(X,push(Y,S)))) == Y.

reduce S == push(X,S2) implies push(top(S),pop(S)) == S.

 $\label{eq:reduce} \mathsf{reduce} \; \mathsf{S} == \mathsf{push}(\mathsf{X},\mathsf{S2}) \; \mathsf{implies} \; \mathsf{length}(\mathsf{pop}(\mathsf{S})) + 1 == \mathsf{length}(\mathsf{S}) \; .$ 

- the equations can be used as term rewriting rules
- this allows proving properties of the specification

Signatures: as in FOL  $(S, \Omega, \Pi)$ 

Example:

$$\begin{array}{ll} \textit{STACK} = ( & \{\textit{Stack},\textit{Nat}\}, \\ & \{\texttt{empty}: \epsilon \rightarrow \textit{Stack}, \\ & \texttt{push}:\textit{Nat} \times \textit{Stack} \rightarrow \textit{Stack}, \\ & \texttt{pop}:\textit{Stack} \rightarrow \textit{Stack}, \\ & \texttt{top}:\textit{Stack} \rightarrow \textit{Stack}, \\ & \texttt{top}:\textit{Stack} \rightarrow \textit{Nat}, \\ & \texttt{length}:\textit{Stack} \rightarrow \textit{Nat}, \\ & \texttt{0}: \epsilon \rightarrow \textit{Nat}, \texttt{1}: \epsilon \rightarrow \textit{Nat} \\ & \} \end{array}$$

# **Semantics of Algebraic Specifications**

### $\Sigma$ -algebras

### **Observations**

- different  $\Sigma$ -algebras are not necessarily "equivalent"
- we seek the most "abstract"  $\Sigma$ -algebra, since it anticipates as little implementation decisions as possible

# **Semantics of Algebraic Specifications**

### $\Sigma$ -algebras

### Observations

- $\bullet$  different  $\Sigma\text{-algebras}$  are not necessarily "equivalent"
- we seek the most "abstract"  $\Sigma$ -algebra, since it anticipates as little implementation decisions as possible

No equations: Term algebras

Equations/Horn clauses: free algebras

$$egin{aligned} &\mathcal{T}_{\Sigma}/\sim, ext{ where} \ &t\sim t' ext{ iff} \ &\mathcal{A}x\models tpprox t' ext{ iff} \ & ext{For every }\mathcal{A}\in ext{Mod}(\mathcal{A}x), \ \mathcal{A}\models tpprox t' \end{aligned}$$

# **Algebraic Specification**

"A gentle introduction to CASL"

M. Bidoit and P. Mosses

http://www.lsv.ens-cachan.fr/~bidoit/GENTLE.pdf

(cf. also the slides of the lecture available online)

A subset of the slides was discussed today.