

# Non-classical logics

## Lecture 1: Classical logic

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# Part 1: Propositional Logic

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**Literature** (also for first-order logic)

Schöning: Logik für Informatiker, Spektrum

Fitting: First-Order Logic and Automated Theorem Proving, Springer

# 1.1 Syntax

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- propositional variables
- logical symbols
  - $\Rightarrow$  Boolean combinations

# Propositional Variables

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Let  $\Pi$  be a set of propositional variables.

We use letters  $P, Q, R, S$ , to denote propositional variables.

# Propositional Formulas

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$F_{\Pi}$  is the set of propositional formulas over  $\Pi$  defined as follows:

$F, G, H$	$::=$	$\perp$	(falsum)
		$\top$	(verum)
		$P, \quad P \in \Pi$	(atomic formula)
		$\neg F$	(negation)
		$(F \wedge G)$	(conjunction)
		$(F \vee G)$	(disjunction)
		$(F \rightarrow G)$	(implication)
		$(F \leftrightarrow G)$	(equivalence)

# Notational Conventions

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- We omit brackets according to the following rules:
  - $\neg >_p \wedge >_p \vee >_p \rightarrow >_p \leftrightarrow$  (binding precedences)
  - $\vee$  and  $\wedge$  are associative and commutative

## 1.2 Semantics

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In **classical logic** (dating back to Aristoteles) there are “only” two truth values “true” and “false” which we shall denote, respectively, by 1 and 0.

There are **multi-valued logics** having more than two truth values.

# Valuations

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A propositional variable has no intrinsic meaning. The meaning of a propositional variable has to be defined by a valuation.

A  $\Pi$ -valuation is a map

$$\mathcal{A} : \Pi \rightarrow \{0, 1\}.$$

where  $\{0, 1\}$  is the set of truth values.



# Truth Value of a Formula in $\mathcal{A}$

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Given a  $\Pi$ -valuation  $\mathcal{A}$ , the function  $\mathcal{A}^* : \Sigma\text{-formulas} \rightarrow \{0, 1\}$  is defined inductively over the structure of  $F$  as follows:

$$\mathcal{A}^*(\perp) = 0$$

$$\mathcal{A}^*(\top) = 1$$

$$\mathcal{A}^*(P) = \mathcal{A}(P)$$

$$\mathcal{A}^*(\neg F) = 1 - \mathcal{A}^*(F)$$

$$\mathcal{A}^*(F \rho G) = B_\rho(\mathcal{A}^*(F), \mathcal{A}^*(G))$$

with  $B_\rho$  the Boolean function associated with  $\rho$

For simplicity, we write  $\mathcal{A}$  instead of  $\mathcal{A}^*$ .

# Truth Value of a Formula in $\mathcal{A}$

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**Example:** Let's evaluate the formula

$$(P \rightarrow Q) \wedge (P \wedge Q \rightarrow R) \rightarrow (P \rightarrow R)$$

w.r.t. the valuation  $\mathcal{A}$  with

$$\mathcal{A}(P) = 1, \mathcal{A}(Q) = 0, \mathcal{A}(R) = 1$$

(On the blackboard)

## 1.3 Models, Validity, and Satisfiability

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$F$  is **valid** in  $\mathcal{A}$  ( $\mathcal{A}$  is a **model** of  $F$ ;  $F$  holds under  $\mathcal{A}$ ):

$$\mathcal{A} \models F :\Leftrightarrow \mathcal{A}(F) = 1$$

$F$  is **valid** (or is a **tautology**):

$$\models F :\Leftrightarrow \mathcal{A} \models F \text{ for all } \Pi\text{-valuations } \mathcal{A}$$

$F$  is called **satisfiable** iff there exists an  $\mathcal{A}$  such that  $\mathcal{A} \models F$ .

Otherwise  $F$  is called **unsatisfiable** (or **contradictory**).

## 1.3 Models, Validity, and Satisfiability

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### Examples:

$F \rightarrow F$  and  $F \vee \neg F$  are **valid** for all formulae  $F$ .

Obviously, every **valid** formula is also **satisfiable**

$F \wedge \neg F$  is **unsatisfiable**

The formula  $P$  is **satisfiable**, but not **valid**

# Entailment and Equivalence

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$F$  entails (implies)  $G$  (or  $G$  is a consequence of  $F$ ), written  $F \models G$ , if for all  $\Pi$ -valuations  $\mathcal{A}$ , whenever  $\mathcal{A} \models F$  then  $\mathcal{A} \models G$ .

$F$  and  $G$  are called equivalent if for all  $\Pi$ -valuations  $\mathcal{A}$  we have  $\mathcal{A} \models F \Leftrightarrow \mathcal{A} \models G$ .

## Proposition 1.1:

$F$  entails  $G$  iff  $(F \rightarrow G)$  is valid

## Proposition 1.2:

$F$  and  $G$  are equivalent iff  $(F \leftrightarrow G)$  is valid.

# Entailment and Equivalence

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Extension to sets of formulas  $N$  in the “natural way”, e.g.,  $N \models F$  if for all  $\Pi$ -valuations  $\mathcal{A}$ : if  $\mathcal{A} \models G$  for all  $G \in N$ , then  $\mathcal{A} \models F$ .

**Definition** A set  $N$  of formulae is satisfiable if there exists a  $\Pi$ -valuation  $\mathcal{A}$  which makes true all formulae in  $N$ .

If there is no  $\Pi$ -valuation  $\mathcal{A}$  which makes true all formulae in  $N$  we say that  $N$  is unsatisfiable

**Remark:**  $N$  unsatisfiable iff  $N \models \perp$ .

# Validity vs. Unsatisfiability

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Validity and unsatisfiability are just two sides of the same medal as explained by the following proposition.

## Proposition 1.3:

$$F \text{ valid} \iff \neg F \text{ unsatisfiable}$$

$$N \models F \iff N \cup \neg F \text{ unsatisfiable}$$

Hence in order to design a theorem prover (validity/entailment checker) it is sufficient to design a checker for unsatisfiability.

# Checking Unsatisfiability

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Every formula  $F$  contains only finitely many propositional variables. Obviously,  $\mathcal{A}(F)$  depends only on the values of those finitely many variables in  $F$  under  $\mathcal{A}$ .

If  $F$  contains  $n$  distinct propositional variables, then it is sufficient to check  $2^n$  valuations to see whether  $F$  is satisfiable or not.  
 $\Rightarrow$  truth table.

So the satisfiability problem is clearly decidable  
(but, by Cook's Theorem, NP-complete).

Nevertheless, in practice, there are (much) better methods than truth tables to check the satisfiability of a formula. (later more)



# Some Important Equivalences

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The following equivalences are valid for all formulas  $F, G, H$ :

$$(F \wedge F) \leftrightarrow F$$

$$(F \vee F) \leftrightarrow F$$

(Idempotency)

$$(F \wedge G) \leftrightarrow (G \wedge F)$$

$$(F \vee G) \leftrightarrow (G \vee F)$$

(Commutativity)

$$(F \wedge (G \wedge H)) \leftrightarrow ((F \wedge G) \wedge H)$$

$$(F \vee (G \vee H)) \leftrightarrow ((F \vee G) \vee H)$$

(Associativity)

$$(F \wedge (G \vee H)) \leftrightarrow ((F \wedge G) \vee (F \wedge H))$$

$$(F \vee (G \wedge H)) \leftrightarrow ((F \vee G) \wedge (F \vee H))$$

(Distributivity)

# Some Important Equivalences

---

The following equivalences are valid for all formulas  $F, G, H$ :

$$(F \wedge (F \vee G)) \leftrightarrow F$$

$$(F \vee (F \wedge G)) \leftrightarrow F$$

(Absorption)

$$(\neg\neg F) \leftrightarrow F$$

(Double Negation)

$$\neg(F \wedge G) \leftrightarrow (\neg F \vee \neg G)$$

$$\neg(F \vee G) \leftrightarrow (\neg F \wedge \neg G)$$

(De Morgan's Laws)

$$(F \wedge G) \leftrightarrow F, \text{ if } G \text{ is a tautology}$$

$$(F \vee G) \leftrightarrow \top, \text{ if } G \text{ is a tautology}$$

(Tautology Laws)

$$(F \wedge G) \leftrightarrow \perp, \text{ if } G \text{ is unsatisfiable}$$

$$(F \vee G) \leftrightarrow F, \text{ if } G \text{ is unsatisfiable}$$

(Tautology Laws)

## 1.4 Normal Forms

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We define **conjunctions** of formulas as follows:

$$\bigwedge_{i=1}^0 F_i = \top.$$

$$\bigwedge_{i=1}^1 F_i = F_1.$$

$$\bigwedge_{i=1}^{n+1} F_i = \bigwedge_{i=1}^n F_i \wedge F_{n+1}.$$

and analogously **disjunctions**:

$$\bigvee_{i=1}^0 F_i = \perp.$$

$$\bigvee_{i=1}^1 F_i = F_1.$$

$$\bigvee_{i=1}^{n+1} F_i = \bigvee_{i=1}^n F_i \vee F_{n+1}.$$

# Literals and Clauses

---

A **literal** is either a propositional variable  $P$  or a negated propositional variable  $\neg P$ .

A **clause** is a (possibly empty) disjunction of literals.

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A **clause** is a (possibly empty) disjunction of literals.

## Example of clauses:

$\perp$

the empty clause

$P$

positive unit clause

$\neg P$

negative unit clause

$P \vee Q \vee R$

positive clause

$P \vee \neg Q \vee \neg R$

clause

$P \vee P \vee \neg Q \vee \neg R \vee R$

allow repetitions/complementary literals

# CNF and DNF

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A formula is in **conjunctive normal form (CNF, clause normal form)**, if it is a conjunction of disjunctions of literals (or in other words, a conjunction of clauses).

A formula is in **disjunctive normal form (DNF)**, if it is a disjunction of conjunctions of literals.

Warning: definitions in the literature differ:

- are complementary literals permitted?

- are duplicated literals permitted?

- are empty disjunctions/conjunctions permitted?

# Conversion to CNF/DNF

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## Proposition 1.4:

For every formula there is an equivalent formula in CNF (and also an equivalent formula in DNF).

## Proof:

We consider the case of CNF.

Apply the following rules as long as possible (modulo associativity and commutativity of  $\wedge$  and  $\vee$ ):

**Step 1:** Eliminate equivalences:

$$(F \leftrightarrow G) \Rightarrow_K (F \rightarrow G) \wedge (G \rightarrow F)$$

# Conversion to CNF/DNF

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**Step 2:** Eliminate implications:

$$(F \rightarrow G) \Rightarrow_K (\neg F \vee G)$$

**Step 3:** Push negations downward:

$$\neg(F \vee G) \Rightarrow_K (\neg F \wedge \neg G)$$

$$\neg(F \wedge G) \Rightarrow_K (\neg F \vee \neg G)$$

**Step 4:** Eliminate multiple negations:

$$\neg\neg F \Rightarrow_K F$$

The formula obtained from a formula  $F$  after applying steps 1-4 is called the **negation normal form (NNF)** of  $F$



# Conversion to CNF/DNF

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**Step 5:** Push disjunctions downward:

$$(F \wedge G) \vee H \Rightarrow_K (F \vee H) \wedge (G \vee H)$$

**Step 6:** Eliminate  $\top$  and  $\perp$ :

$$(F \wedge \top) \Rightarrow_K F$$

$$(F \wedge \perp) \Rightarrow_K \perp$$

$$(F \vee \top) \Rightarrow_K \top$$

$$(F \vee \perp) \Rightarrow_K F$$

$$\neg \perp \Rightarrow_K \top$$

$$\neg \top \Rightarrow_K \perp$$

# Conversion to CNF/DNF

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Proving termination is easy for most of the steps; only step 3 and step 5 are a bit more complicated.

The resulting formula is equivalent to the original one and in CNF.

The conversion of a formula to DNF works in the same way, except that disjunctions have to be pushed downward in step 5.

# Complexity

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Conversion to CNF (or DNF) may produce a formula whose size is **exponential** in the size of the original one.

# Satisfiability-preserving Transformations

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The goal

“find a formula  $G$  in CNF such that  $\models F \leftrightarrow G$ ”

is unpractical.

But if we relax the requirement to

“find a formula  $G$  in CNF such that  $F \models \perp$  iff  $G \models \perp$ ”

we can get an efficient transformation.

# Satisfiability-preserving Transformations

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## Idea:

A formula  $F[F']$  is satisfiable iff  $F[P] \wedge (P \leftrightarrow F')$  is satisfiable  
(where  $P$  new propositional variable that works as abbreviation for  $F'$ ).

We can use this rule recursively for all subformulas in the original formula  
(this introduces a linear number of new propositional variables).

Conversion of the resulting formula to CNF increases the size only by an additional factor (each formula  $P \leftrightarrow F'$  gives rise to at most one application of the distributivity law).

# Optimized Transformations

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A further improvement is possible by taking the **polarity** of the subformula  $F$  into account.

Assume that  $F$  contains neither  $\rightarrow$  nor  $\leftrightarrow$ . A subformula  $F'$  of  $F$  has **positive polarity** in  $F$ , if it occurs below an even number of negation signs; it has **negative polarity** in  $F$ , if it occurs below an odd number of negation signs.

# Optimized Transformations

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## Proposition 1.5:

Let  $F[F']$  be a formula containing neither  $\rightarrow$  nor  $\leftrightarrow$ ; let  $P$  be a propositional variable not occurring in  $F[F']$ .

If  $F'$  has positive polarity in  $F$ , then  $F[F']$  is satisfiable if and only if  $F[P] \wedge (P \rightarrow F')$  is satisfiable.

If  $F'$  has negative polarity in  $F$ , then  $F[F']$  is satisfiable if and only if  $F[P] \wedge (F' \rightarrow P)$  is satisfiable.

Proof:

Exercise.

This satisfiability-preserving transformation to clause form is also called **structure-preserving transformation to clause form**.

# Optimized Transformations

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**Example:** Let  $F = (Q_1 \wedge Q_2) \vee (R_1 \wedge R_2)$ .

The following are equivalent:

- $F \models \perp$
- $P_F \wedge (P_F \leftrightarrow (P_{Q_1 \wedge Q_2} \vee P_{R_1 \wedge R_2})) \wedge (P_{Q_1 \wedge Q_2} \leftrightarrow (Q_1 \wedge Q_2)) \wedge (P_{R_1 \wedge R_2} \leftrightarrow (R_1 \wedge R_2)) \models \perp$
- $P_F \wedge (P_F \rightarrow (P_{Q_1 \wedge Q_2} \vee P_{R_1 \wedge R_2})) \wedge (P_{Q_1 \wedge Q_2} \rightarrow (Q_1 \wedge Q_2)) \wedge (P_{R_1 \wedge R_2} \rightarrow (R_1 \wedge R_2)) \models \perp$
- $P_F \wedge (\neg P_F \vee P_{Q_1 \wedge Q_2} \vee P_{R_1 \wedge R_2}) \wedge (\neg P_{Q_1 \wedge Q_2} \vee Q_1) \wedge (\neg P_{Q_1 \wedge Q_2} \vee Q_2) \wedge (\neg P_{R_1 \wedge R_2} \vee R_1) \wedge (\neg P_{R_1 \wedge R_2} \vee R_2) \models \perp$



# Decision Procedures for Satisfiability

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- Simple Decision Procedures  
truth table method

- The Resolution Procedure

- Tableaux

...

## 1.5 The Propositional Resolution Calculus

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Resolution inference rule:

$$\frac{C \vee A \quad \neg A \vee D}{C \vee D}$$

Terminology:  $C \vee D$ : **resolvent**;  $A$ : **resolved atom**

(Positive) factorisation inference rule:

$$\frac{C \vee A \vee A}{C \vee A}$$

# The Resolution Calculus *Res*

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These are **schematic inference rules**; for each substitution of the **schematic variables**  $C$ ,  $D$ , and  $A$ , respectively, by propositional clauses and atoms we obtain an inference rule.

As “ $\vee$ ” is considered associative and commutative, we assume that  $A$  and  $\neg A$  can occur anywhere in their respective clauses.

# Sample Refutation

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1.  $\neg P \vee \neg P \vee Q$  (given)
2.  $P \vee Q$  (given)
3.  $\neg R \vee \neg Q$  (given)
4.  $R$  (given)
5.  $\neg P \vee Q \vee Q$  (Res. 2. into 1.)
6.  $\neg P \vee Q$  (Fact. 5.)
7.  $Q \vee Q$  (Res. 2. into 6.)
8.  $Q$  (Fact. 7.)
9.  $\neg R$  (Res. 8. into 3.)
10.  $\perp$  (Res. 4. into 9.)

# Resolution with Implicit Factorization *RIF*

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$$\frac{C \vee A \vee \dots \vee A \quad \neg A \vee D}{C \vee D}$$

1.  $\neg P \vee \neg P \vee Q$  (given)
2.  $P \vee Q$  (given)
3.  $\neg R \vee \neg Q$  (given)
4.  $R$  (given)
5.  $\neg P \vee Q \vee Q$  (Res. 2. into 1.)
6.  $Q \vee Q \vee Q$  (Res. 2. into 5.)
7.  $\neg R$  (Res. 6. into 3.)
8.  $\perp$  (Res. 4. into 7.)

# Soundness and Completeness

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**Theorem 1.6.** Propositional resolution is sound.

for both the resolution rule and the positive factorization rule  
the conclusion of the inference is entailed by the premises.

If  $N$  is satisfiable, we cannot deduce  $\perp$  from  $N$  using the inference rules of the propositional resolution calculus.

If we can deduce  $\perp$  from  $N$  using the inference rules of the propositional resolution calculus then  $N$  is unsatisfiable

**Theorem 1.7.** Propositional resolution is refutationally complete.

If  $N \models \perp$  we can deduce  $\perp$  starting from  $N$  and using the inference rules of the propositional resolution calculus.

# Notation

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$N \vdash_{Res} \perp$ : we can deduce  $\perp$  starting from  $N$  and using the inference rules of the propositional resolution calculus.

# Completeness of Resolution

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How to show refutational completeness of propositional resolution:

- We have to show:  $N \models \perp \Rightarrow N \vdash_{Res} \perp$ ,  
or equivalently: If  $N \not\vdash_{Res} \perp$ , then  $N$  has a model.
- **Idea:** Suppose that we have computed sufficiently many inferences (and not derived  $\perp$ ).

Now order the clauses in  $N$  according to some appropriate ordering, inspect the clauses in ascending order, and construct a series of valuations.

- The limit valuation can be shown to be a model of  $N$ .



# Clause Orderings

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1. We assume that  $\succ$  is any fixed ordering on propositional variables that is *total* and well-founded.
2. Extend  $\succ$  to an **ordering  $\succ_L$  on literals**:

$$\begin{array}{ccc} [\neg]P & \succ_L & [\neg]Q \quad , \text{ if } P \succ Q \\ \neg P & \succ_L & P \end{array}$$

3. Extend  $\succ_L$  to an **ordering  $\succ_C$  on clauses**:  
 $\succ_C = (\succ_L)_{\text{mul}}$ , the multi-set extension of  $\succ_L$ .

*Notation:*  $\succ$  also for  $\succ_L$  and  $\succ_C$ .

(well-founded)

# Multi-Set Orderings

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Let  $(M, \succ)$  be a partial ordering. The **multi-set extension** of  $\succ$  to multi-sets over  $M$  is defined by

$$S_1 \succ_{\text{mul}} S_2 :\Leftrightarrow S_1 \neq S_2$$

$$\text{and } \forall m \in M : [S_2(m) > S_1(m)]$$

$$\Rightarrow \exists m' \in M : (m' \succ m \text{ and } S_1(m') > S_2(m'))]$$

## Theorem 1.11:

- a)  $\succ_{\text{mul}}$  is a partial ordering.
- b)  $\succ$  well-founded  $\Rightarrow \succ_{\text{mul}}$  well-founded
- c)  $\succ$  total  $\Rightarrow \succ_{\text{mul}}$  total

Proof:

see Baader and Nipkow, page 22–24.

## Example

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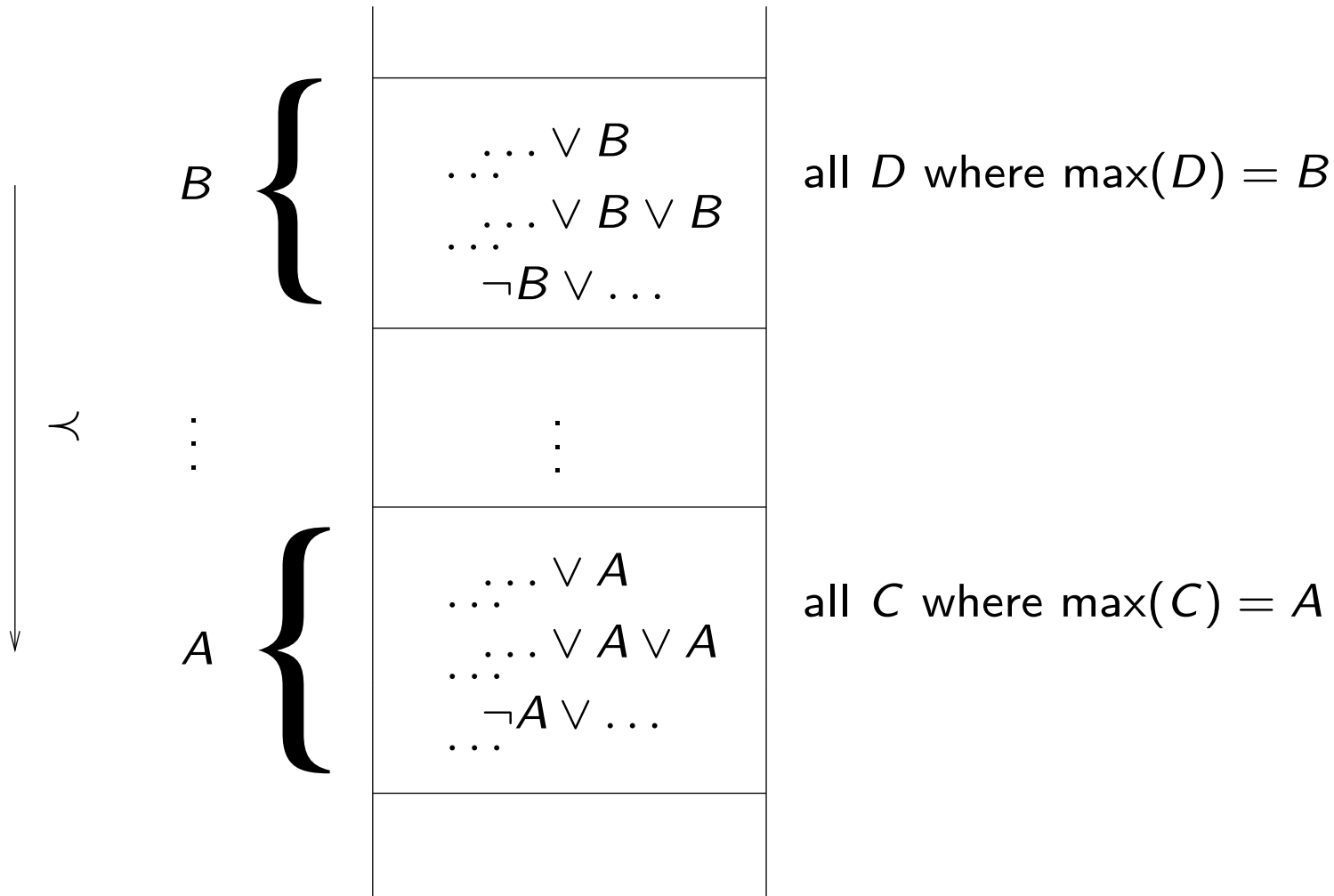
Suppose  $P_5 \succ P_4 \succ P_3 \succ P_2 \succ P_1 \succ P_0$ . Then:

$$\begin{aligned} & P_0 \vee P_1 \\ \prec & P_1 \vee P_2 \\ \prec & \neg P_1 \vee P_2 \\ \prec & \neg P_1 \vee P_4 \vee P_3 \\ \prec & \neg P_1 \vee \neg P_4 \vee P_3 \\ \prec & \neg P_5 \vee P_5 \end{aligned}$$

# Stratified Structure of Clause Sets

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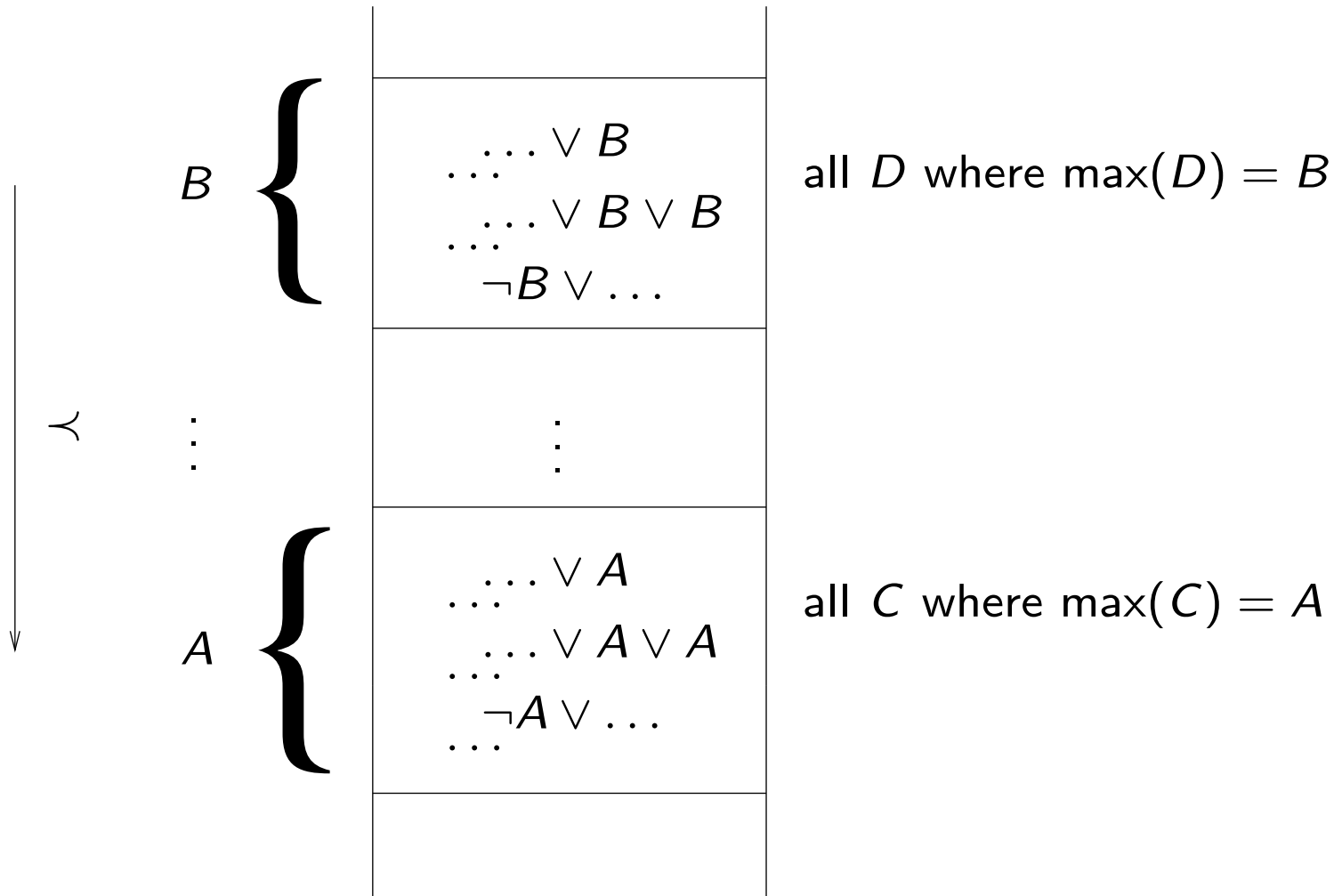
Let  $A \succ B$ . Clause sets are then stratified in this form:



# Stratified Structure of Clause Sets

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# Closure of Clause Sets under $Res$

---

$$Res(N) = \{C \mid C \text{ is concl. of a rule in } Res \text{ w/ premises in } N\}$$

$$Res^0(N) = N$$

$$Res^{n+1}(N) = Res(Res^n(N)) \cup Res^n(N), \text{ for } n \geq 0$$

$$Res^*(N) = \bigcup_{n \geq 0} Res^n(N)$$

$N$  is called **saturated** (wrt. resolution), if  $Res(N) \subseteq N$ .

## Proposition 1.12

- (i)  $Res^*(N)$  is saturated.
- (ii)  $Res$  is refutationally complete, iff for each set  $N$  of ground clauses:

$$N \models \perp \Leftrightarrow \perp \in Res^*(N)$$

# Construction of Interpretations

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Given: set  $N$  of clauses, atom ordering  $\succ$ .

Wanted: Valuation  $\mathcal{A}$  such that

- “many” clauses from  $N$  are valid in  $\mathcal{A}$ ;
- $\mathcal{A} \models N$ , if  $N$  is saturated and  $\perp \notin N$ .

Construction according to  $\succ$ , starting with the minimal clause.

# Main Ideas of the Construction

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- Clauses are considered in the order given by  $\prec$ . We construct a model for  $N$  incrementally.
- When considering  $C$ , one already has a partial interpretation  $I_C$  (initially  $I_C = \emptyset$ ) available.

In what follows, instead of referring to **partial valuations**  $\mathcal{A}_C$  we will refer to **partial interpretations**  $I_C$  (the set of atoms which are true in the valuation  $\mathcal{A}_C$ ).

- If  $C$  is true in the partial interpretation  $I_C$ , nothing is done. ( $\Delta_C = \emptyset$ ).
- If  $C$  is false, one would like to change  $I_C$  such that  $C$  becomes true.



# Example

---

Let  $P_5 \succ P_4 \succ P_3 \succ P_2 \succ P_1 \succ P_0$  (max. literals in red)

	clauses $C$	$I_C = \mathcal{A}_C^{-1}(1)$	$\Delta_C$	Remarks
1	$\neg P_0$			
2	$P_0 \vee P_1$			
3	$P_1 \vee P_2$			
4	$\neg P_1 \vee P_2$			
5	$\neg P_1 \vee P_4 \vee P_3 \vee P_0$			
6	$\neg P_1 \vee \neg P_4 \vee P_3$			
7	$\neg P_1 \vee P_5$			

# Example

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	clauses $C$	$I_C = \mathcal{A}_C^{-1}(1)$	$\Delta_C$	Remarks
1	$\neg P_0$	$\emptyset$	$\emptyset$	true in $\mathcal{A}_C$
2	$P_0 \vee P_1$			
3	$P_1 \vee P_2$			
4	$\neg P_1 \vee P_2$			
5	$\neg P_1 \vee P_4 \vee P_3 \vee P_0$			
6	$\neg P_1 \vee \neg P_4 \vee P_3$			
7	$\neg P_1 \vee P_5$			

# Example

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	clauses $C$	$I_C = \mathcal{A}_C^{-1}(1)$	$\Delta_C$	Remarks
1	$\neg P_0$	$\emptyset$	$\emptyset$	true in $\mathcal{A}_C$
2	$P_0 \vee P_1$	$\emptyset$	$\{P_1\}$	$P_1$ maximal
3	$P_1 \vee P_2$			
4	$\neg P_1 \vee P_2$			
5	$\neg P_1 \vee P_4 \vee P_3 \vee P_0$			
6	$\neg P_1 \vee \neg P_4 \vee P_3$			
7	$\neg P_1 \vee P_5$			

# Example

Let  $P_5 \succ P_4 \succ P_3 \succ P_2 \succ P_1 \succ P_0$  (max. literals in red)

	clauses $C$	$I_C = \mathcal{A}_C^{-1}(1)$	$\Delta_C$	Remarks
1	$\neg P_0$	$\emptyset$	$\emptyset$	true in $\mathcal{A}_C$
2	$P_0 \vee P_1$	$\emptyset$	$\{P_1\}$	$P_1$ maximal
3	$P_1 \vee P_2$	$\{P_1\}$	$\emptyset$	true in $\mathcal{A}_C$
4	$\neg P_1 \vee P_2$			
5	$\neg P_1 \vee P_4 \vee P_3 \vee P_0$			
6	$\neg P_1 \vee \neg P_4 \vee P_3$			
7	$\neg P_1 \vee P_5$			

# Example

Let  $P_5 \succ P_4 \succ P_3 \succ P_2 \succ P_1 \succ P_0$  (max. literals in red)

	clauses $C$	$I_C = \mathcal{A}_C^{-1}(1)$	$\Delta_C$	Remarks
1	$\neg P_0$	$\emptyset$	$\emptyset$	true in $\mathcal{A}_C$
2	$P_0 \vee P_1$	$\emptyset$	$\{P_1\}$	$P_1$ maximal
3	$P_1 \vee P_2$	$\{P_1\}$	$\emptyset$	true in $\mathcal{A}_C$
4	$\neg P_1 \vee P_2$	$\{P_1\}$	$\{P_2\}$	$P_2$ maximal
5	$\neg P_1 \vee P_4 \vee P_3 \vee P_0$			
6	$\neg P_1 \vee \neg P_4 \vee P_3$			
7	$\neg P_1 \vee P_5$			

# Example

Let  $P_5 \succ P_4 \succ P_3 \succ P_2 \succ P_1 \succ P_0$  (max. literals in red)

	clauses $C$	$I_C = \mathcal{A}_C^{-1}(1)$	$\Delta_C$	Remarks
1	$\neg P_0$	$\emptyset$	$\emptyset$	true in $\mathcal{A}_C$
2	$P_0 \vee P_1$	$\emptyset$	$\{P_1\}$	$P_1$ maximal
3	$P_1 \vee P_2$	$\{P_1\}$	$\emptyset$	true in $\mathcal{A}_C$
4	$\neg P_1 \vee P_2$	$\{P_1\}$	$\{P_2\}$	$P_2$ maximal
5	$\neg P_1 \vee P_4 \vee P_3 \vee P_0$	$\{P_1, P_2\}$	$\{P_4\}$	$P_4$ maximal
6	$\neg P_1 \vee \neg P_4 \vee P_3$			
7	$\neg P_1 \vee P_5$			

# Example

Let  $P_5 \succ P_4 \succ P_3 \succ P_2 \succ P_1 \succ P_0$  (max. literals in red)

	clauses $C$	$I_C = \mathcal{A}_C^{-1}(1)$	$\Delta_C$	Remarks
1	$\neg P_0$	$\emptyset$	$\emptyset$	true in $\mathcal{A}_C$
2	$P_0 \vee P_1$	$\emptyset$	$\{P_1\}$	$P_1$ maximal
3	$P_1 \vee P_2$	$\{P_1\}$	$\emptyset$	true in $\mathcal{A}_C$
4	$\neg P_1 \vee P_2$	$\{P_1\}$	$\{P_2\}$	$P_2$ maximal
5	$\neg P_1 \vee P_4 \vee P_3 \vee P_0$	$\{P_1, P_2\}$	$\{P_4\}$	$P_4$ maximal
6	$\neg P_1 \vee \neg P_4 \vee P_3$	$\{P_1, P_2, P_4\}$	$\emptyset$	$P_3$ not maximal; <i>min. counter-ex.</i>
7	$\neg P_1 \vee P_5$	$\{P_1, P_2, P_4\}$	$\{P_5\}$	

$I = \{P_1, P_2, P_4, P_5\} = \mathcal{A}^{-1}(1)$ :  $\mathcal{A}$  is not a model of the clause set

$\Rightarrow$  there exists a counterexample.

# Main Ideas of the Construction

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- Clauses are considered in the order given by  $\prec$ .
- When considering  $C$ , one already has a partial interpretation  $I_C$  (initially  $I_C = \emptyset$ ) available.
- If  $C$  is true in the partial interpretation  $I_C$ , nothing is done. ( $\Delta_C = \emptyset$ ).
- If  $C$  is false, one would like to change  $I_C$  such that  $C$  becomes true.



# Main Ideas of the Construction

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- Changes should, however, be *monotone*. One never deletes anything from  $I_C$  and the truth value of clauses smaller than  $C$  should be maintained the way it was in  $I_C$ .
- Hence, one chooses  $\Delta_C = \{A\}$  if, and only if,  $C$  is false in  $I_C$ , if  $A$  occurs positively in  $C$  (*adding  $A$  will make  $C$  become true*) and if this occurrence in  $C$  is strictly maximal in the ordering on literals (*changing the truth value of  $A$  has no effect on smaller clauses*).

# Resolution Reduces Counterexamples

$$\frac{\neg P_1 \vee P_4 \vee P_3 \vee P_0 \quad \neg P_1 \vee \neg P_4 \vee P_3}{\neg P_1 \vee \neg P_1 \vee P_3 \vee P_3 \vee P_0}$$

Construction of  $I$  for the extended clause set:

	clauses $C$	$I_C$	$\Delta_C$	Remarks
1	$\neg P_0$	$\emptyset$	$\emptyset$	$P_3$ occurs twice <i>minimal counter-ex.</i>
2	$P_0 \vee P_1$	$\emptyset$	$\{P_1\}$	
3	$P_1 \vee P_2$	$\{P_1\}$	$\emptyset$	
4	$\neg P_1 \vee P_2$	$\{P_1\}$	$\{P_2\}$	
8	$\neg P_1 \vee \neg P_1 \vee P_3 \vee P_3 \vee P_0$	$\{P_1, P_2\}$	$\emptyset$	
5	$\neg P_1 \vee P_4 \vee P_3 \vee P_0$	$\{P_1, P_2\}$	$\{P_4\}$	
6	$\neg P_1 \vee \neg P_4 \vee P_3$	$\{P_1, P_2, P_4\}$	$\emptyset$	
7	$\neg P_1 \vee P_5$	$\{P_1, P_2, P_4\}$	$\{P_5\}$	

The same  $I$ , but smaller counterexample, hence some progress was made.

# Factorization Reduces Counterexamples

$$\frac{\neg P_1 \vee \neg P_1 \vee P_3 \vee P_3 \vee P_0}{\neg P_1 \vee \neg P_1 \vee P_3 \vee P_0}$$

Construction of  $I$  for the extended clause set:

	clauses $C$	$I_C$	$\Delta_C$	Remarks
1	$\neg P_0$	$\emptyset$	$\emptyset$	true in $\mathcal{A}_C$
2	$P_0 \vee P_1$	$\emptyset$	$\{P_1\}$	
3	$P_1 \vee P_2$	$\{P_1\}$	$\emptyset$	
4	$\neg P_1 \vee P_2$	$\{P_1\}$	$\{P_2\}$	
9	$\neg P_1 \vee \neg P_1 \vee P_3 \vee P_0$	$\{P_1, P_2\}$	$\{P_3\}$	
8	$\neg P_1 \vee \neg P_1 \vee P_3 \vee P_3 \vee P_0$	$\{P_1, P_2, P_3\}$	$\emptyset$	
5	$\neg P_1 \vee P_4 \vee P_3 \vee P_0$	$\{P_1, P_2, P_3\}$	$\emptyset$	
6	$\neg P_1 \vee \neg P_4 \vee P_3$	$\{P_1, P_2, P_3\}$	$\emptyset$	
7	$\neg P_3 \vee P_5$	$\{P_1, P_2, P_3\}$	$\{P_5\}$	true in $\mathcal{A}_C$

The resulting  $I = \{P_1, P_2, P_3, P_5\}$  is a model of the clause set.