

# Advanced Topics in Theoretical Computer Science

## Part 1: Turing Machines and Turing Computability (2)

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# Turing Machines

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## Overview: Turing Machines

- Accept languages of type 0.
- First memory: state (finite)
- Second memory: tape  
unlimited size; access at arbitrary place.
- Have a read/write head which can move left/right over the tape.
- Input word: initially on the tape.  
The machine can read it arbitrarily often.

# Last time

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- Deterministic Turing Machine (DTM)
- Configuration, transition between configurations, computation
  - To halt, to hang
- Representation of Turing machines
  - as in definition
  - diagram (flow-chart) representation

# Last time

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- Definitions:  $TM$ -computable function

- $TM^{\text{part}}$  is the set of all partial  $TM$ -computable functions  $f : \mathbb{N}^k \rightarrow \mathbb{N}$
- $TM$  is the set of all total  $TM$ -computable functions  $f : \mathbb{N}^k \rightarrow \mathbb{N}$

**Remark:** Restrictions when defining  $TM$  and  $TM^{\text{part}}$ :

- Only functions over  $\mathbb{N}$
- Only functions with values in  $\mathbb{N}$  (not in  $\mathbb{N}^m$ )

This is not a real restriction:

Words from other domains can be encoded as natural numbers.

# Last time

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## Types of Turing machines:

- Standard deterministic Turing Machines (Standard DTM)
- Other types of Turing machines:
  - Tape infinite on both sides
  - Several tapes
  - Non-deterministic Turing machines

- For every TM with both sides infinite tape which computes a function  $f$  or accepts a language  $L$ , there exists a standard DTM  $\mathcal{M}'$  which also computes  $f$  (resp. accepts  $L$ ).
- For every  $k$ -DTM which computes a function  $f$  (or accepts a language  $L$ ) there exists a DTM  $\mathcal{M}'$  which computes  $f$  (resp. accepts  $L$ ).

# Last time

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**Universal Turing machines:** TM which simulates other Turing machines

- Universal Turing machine  $\mathcal{U}$  receives as input
  - (i) the rules of an arbitrary TM  $\mathcal{M}$  and
  - (ii) a word  $w$ .
- $\mathcal{U}$  simulates  $\mathcal{M}$ , by always changing the configurations (according to the transition function  $\delta$ ) the way  $\mathcal{M}$  would change them.

**Problem:** Turing machines take words (or numbers) as inputs. Can we encode an arbitrary Turing machine as a number or as a word?

**Solution:** Gödelisation

Method for assigning with every Turing machine a number or a word (Gödel number or Gödel word) such that the Turing machine can be effectively reconstructed from that number (or word).

# Last time

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- Acceptable language
- Recursively enumerable language
- Enumerable language
- Decidable language

relationships between these notions.

# Last time

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A DTM  $\mathcal{M}$  **decides** a language  $L$  if

- for every input word  $w \in L$ ,  $\mathcal{M}$  halts with band contents  $Y$  (yes)
- for every input word  $w \notin L$ ,  $\mathcal{M}$  halts with band contents  $N$  (no)

$L$  is called **decidable** if there exists a DTM which decides  $L$ .

Let  $L$  be a language over  $\Sigma_0$  with  $\#, Y, N \notin \Sigma_0$ .

Let  $\mathcal{M} = (K, \Sigma, \delta, s)$  be a DTM with  $\Sigma_0 \subseteq \Sigma$ .

- $\mathcal{M}$  **enumerates**  $L$  if there exists a state  $q_B \in K$  (the blink state) such that:  $L = \{w \in \Sigma_0^* \mid \exists u \in \Sigma^*; s, \# \vdash_{\mathcal{M}}^* q_B, \#w\#u\}$
- $L$  is called **recursively enumerable** if there exists a DTM  $\mathcal{M}$  which enumerates  $L$ .



# Acceptable/Recursively enumerable/Decidable

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## **Theorem (Acceptable = Recursively enumerable)**

A language is recursively enumerable iff it is acceptable.

## **Proposition**

Every decidable language is acceptable.

## **Proposition**

The complement of any decidable language is decidable.

## **Proposition (Characterisation of decidability)**

A language  $L$  is decidable iff  $L$  and its complement are acceptable.

# Recursively enumerable = Type 0

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Formal languages are of type 0 if they can be generated by arbitrary grammars (no restrictions).

## **Proposition**

The recursively enumerable languages (i.e. the languages acceptable by DTMs) are exactly the languages generated by arbitrary grammars (i.e. languages of type 0).

# Today

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- Undecidable problems
- Ways of proving undecidability

# Undecidability of the halting problem

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$\mathcal{M}$  Turing machine  $\mapsto G(\mathcal{M})$  Gödelisation

$$HALT = \{G(\mathcal{M})w \mid \mathcal{M} \text{ halts on input } w\}$$

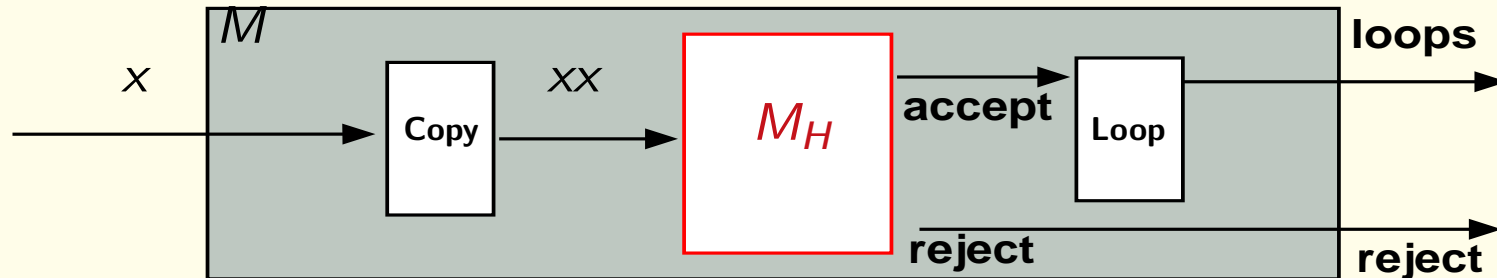
Is  $HALT$  decidable?

# Undecidability of the halting problem

**Proposition:**  $HALT = \{G(\mathcal{M})w \mid \mathcal{M} \text{ halts on input } w\}$  is not decidable.

**Proof:** Assume, in order to derive a contradiction, that there exists a TM  $M_H$  which halts on every input and accepts only inputs in  $HALT$ .

We construct the following TM:



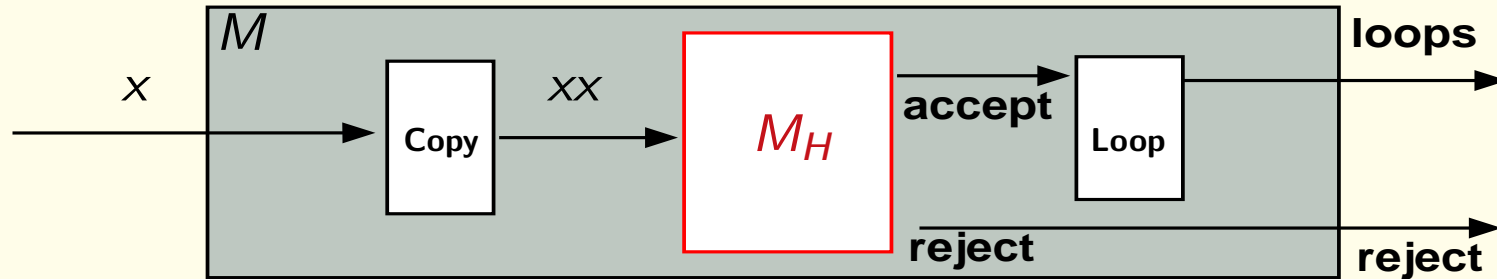
1. Let  $x$  be the input.
2. Copy the input. Let  $xx$  be the result.
3. Decide using  $M_H$  if  $xx \in HALT$
4. If yes: write infinitely many 1s to the right.
5. If no: halt

# Undecidability of the halting problem

**Proposition:**  $HALT = \{G(\mathcal{M})w \mid \mathcal{M} \text{ halts on input } w\}$  is not decidable.

**Proof:** Assume, in order to derive a contradiction, that there exists a TM  $M_H$  which halts on every input and accepts only inputs in  $HALT$ .

What happens when we start  $M$  with input  $G(M)$ ?



**Case 1:**  $M$  started with  $G(M)$  halts: Then  $G(M)G(M) \notin HALT$  **Contradiction!**

**Fall 2:**  $M$  started with  $G(M)$  does not halt: Then  $G(M)G(M) \in HALT$  **Contradiction!**

# Undecidability proofs: Example

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**Theorem.**  $K = \{G(M) \mid M \text{ halts for input } G(M)\}$   
is acceptable but undecidable.

**Proof:** Similar to the undecidability proof for the halting problem.

Exercise

# Undecidability proofs: Example

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**Theorem.**  $K = \{G(M) \mid M \text{ halts for input } G(M)\}$   
is acceptable but undecidable.

**Proof:** Similar to the undecidability proof for the halting problem.

**Reformulation** using numbers instead of words:

Gödelization  $\mapsto$  Gödel numbers

Let  $M_0, M_1, \dots, M_n, \dots$  be an enumeration of all Turing Machines

$M_n$  is the TM with Gödel number  $n$ .

$$K = \{n \mid M_n \text{ halts on input } n\}$$



# Undecidability proofs

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## Proof via reduction

**Given:**  $L_1, L_2$  languages  
 $L_1$  known to be undecidable

**To show:**  $L_2$  undecidable

### Idea:

Assume  $L_2$  decidable. Let  $M_2$  be a TM which decides  $L_2$ . Show that then we can construct a TM which decides  $L_1$ .

For this, we have to find a computable function  $f$  which transforms an instance of  $L_1$  into an instance of  $L_2$

$$\forall w (w \in L_1 \text{ iff } f(w) \in L_2)$$

Let  $M_f$  be the TM which computes  $f$ . Construct  $M_1 = M_f M_2$ . Then  $M_1$  decides  $L_1$ .

# Undecidability proofs: Example

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**Theorem.**  $H_0 = \{n \mid M_n \text{ halts for input } 0\}$  is undecidable.

**Proof:** We show that  $K$  can be reduced to  $H_0$ , i.e. that there exists a TM computable function  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that

$$i \in K \quad \text{iff} \quad f(i) \in H_0.$$

Only main idea here, we will come back to this example later

# Undecidability proofs: Example

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**Theorem.**  $H_0 = \{n \mid M_n \text{ halts for input } 0\}$  is undecidable.

**Proof:** We show that  $K$  can be reduced to  $H_0$ , i.e. that there exists a TM computable function  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that  $i \in K$  iff  $f(i) \in H_0$ .

Want:  $f(i) = j$  iff ( $M_i$  halts for input  $i$  iff  $M_j$  halts for input 0).

For every  $i$  there exists a TM  $A_i$  s.t.:  $s, \underline{\#\#} \vdash_{A_i}^* h, \# \mid^i \underline{\#\#}$ .

Let  $M_K$  be the TM which accepts  $K$ .

We define  $f(i) := j$  where  $j$  is the Gödel number of  $M_j = A_i M_K$ .

$f$  is TM computable. We show that  $f$  has the desired property:

$$\begin{aligned} f(i) = j \in H_0 & \quad \text{iff} \quad M_j = A_i M_K \text{ halts for input } 0 \ (\underline{\#\#}) \\ & \quad \text{iff} \quad M_K \text{ halts for input } i \quad \text{iff} \quad i \in K. \end{aligned}$$