Advanced Topics in Theoretical Computer Science

Part 2: Register machines (3)

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Contents

- Recapitulation: Turing machines and Turing computability
- Register machines (LOOP, WHILE, GOTO)
- Recursive functions
- The Church-Turing Thesis
- Computability and (Un-)decidability
- Complexity
- ullet Other computation models: e.g. Büchi Automata, λ -calculus

2. Register Machines

- Register machines (Random access machines)
- LOOP Programs
- WHILE Programs
- GOTO Programs
- Relationships between LOOP, WHILE, GOTO
- Relationships between register machines and Turing machines

Until now

• Register machines (definition; state; input/output; semantics)

Computed function

Computable functions (LOOP, WHILE, GOTO, TM)

• LOOP Programs (syntax, semantics)

Every LOOP program terminates for every input

All LOOP computable functions are total

Additional instructions

WHILE Programs (syntax, semantics)

WHILE programs do not always terminate

WHILE computable functions can be undefined for some inputs

• GOTO Programs (syntax, semantics)

GOTO programs do not always terminate

LOOP Programs: Syntax

Definition

- Atomic programs: For each register x_i :
 - $x_i := x_i + 1$
 - $x_i := x_i 1$

are LOOP instructions and also LOOP programs.

- If P_1 , P_2 are LOOP programs then
 - $-P_1$; P_2 is a LOOP program
- If *P* is a LOOP program then
 - loop x_i do P end is a LOOP program (and a LOOP instruction)

LOOP Programs: Semantics

Definition (Semantics of LOOP programs)

Let P be a LOOP program. $\Delta(P)$ is inductively defined as follows:

- (1) On atomic programs: $\Delta(x_i := x_i \pm 1)(s_1, s_2)$ iff:
 - $s_2(x_i) = s_1(x_i) \pm 1$
 - $s_2(x_j) = s_1(x_j)$ for all $j \neq i$
- (2) Sequential composition: $\Delta(P_1; P_2)(s_1, s_2)$ iff there exists s' s.t.:
 - $\Delta(P_1)(s_1, s')$
 - $\bullet \quad \Delta(P_2)(s',s_2)$
- (3) Loop programs: $\Delta(\text{loop } x_i \text{ do } P \text{ end})(s_1, s_2)$ iff there exist states s_0', s_1', \ldots, s_n' with:
 - $s_1(x_i) = n$
 - \bullet $s_1=s_0'$
 - $s_2 = s_n'$
 - $\Delta(P)(s'_k, s'_{k+1})$ for $0 \le k < n$

WHILE Programs: Syntax

Definition

- Atomic programs: For each register x_i :
 - $x_i := x_i + 1$
 - $x_i := x_i 1$

are WHILE instructions and also WHILE programs.

- If P_1 , P_2 are WHILE programs then
 - $-P_1$; P_2 is a WHILE program
- If *P* is a WHILE program then
 - while $x_i \neq 0$ do P end is a WHILE program (and a WHILE instruction)

WHILE Programs: Semantics

Definition (Semantics of WHILE programs)

Let P be a WHILE program. $\Delta(P)$ is inductively defined as follows:

- (1) On atomic programs: $\Delta(x_i := x_i \pm 1)(s_1, s_2)$ iff:
 - $s_2(x_i) = s_1(x_i) \pm 1$
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- (2) Sequential composition: $\Delta(P_1; P_2)(s_1, s_2)$ iff there exists s' s.t.:
 - $\bullet \quad \Delta(P_1)(s_1,s')$
 - $\Delta(P_2)(s', s_2)$
- (3) While programs: $\Delta(\text{while } x_i \neq 0 \text{ do } P \text{ end})(s_1, s_2) \text{ iff there exists } n \in \mathbb{N}$ and there exist states s'_0, s'_1, \ldots, s'_n with:
 - $s_1 = s_0'$
 - $s_2 = s'_n$
 - $\Delta(P)(s'_k, s'_{k+1})$ for $0 \le k < n$
 - $s'_k(x_i) \neq 0$ for $0 \leq k < n$
 - $s_n'(x_i) = 0$

GOTO Programs: Syntax

Indices (numbers for the lines in the program) $j \ge 0$

Definition

• Atomic programs:

$$- x_i := x_i + 1$$

$$- x_i := x_i - 1$$

are GOTO instructions for each register x_i .

- If x_i is a register and j is an index then
 - if $x_i = 0$ goto j is a GOTO instruction.
- If I_1, \ldots, I_k are GOTO instructions and j_1, \ldots, j_k are indices then
 - $-j_1:I_1;\ldots;j_k:I_k$ is a GOTO program

GOTO Programs: Semantics

Let P be a GOTO program of the form:

$$P = j_1 : I_1; \ j_2 : I_2; \ \ldots; \ j_k : I_k$$

Let j_{k+1} be an index which does not occur in P (program end).

Definition $\Delta(P)(s_1, s_2)$ holds iff for every $n \geq 0$ there exist states s'_0, \ldots, s'_n and indices z_0, \ldots, z_n s.t.:

- $s_0' = s_1, s_n' = s_2; z_0 = j_1, z_n = j_{k+1}.$
- For $0 \le l \le n$, if $j_s : l_s$ is the line in P with $j_s = z_l$:

if
$$I_s=x_i:=x_i\pm 1$$
 then: $s'_{i+1}(x_i)=s'_i(x_i)\pm 1$ $s'_{i+1}(x_j)=s'_i(x_j)$ for $j\neq i$ $z_{i+1}=j_{s+1}$

if
$$I_s=$$
 if $x_i=0$ goto j_{goto} then: $s'_{i+1}=s'_i$
$$z_{i+1}=\left\{\begin{array}{ll} j_{goto} & \text{if } x_i=0\\ j_{s+1} & \text{otherwise} \end{array}\right.$$

Register Machines

Definition

A register machine is a machine consisting of the following elements:

- A finite (but unbounded) number of registers $x_1, x_2, x_3, \ldots, x_n$; each register contains a natural number.
- A LOOP-, WHILE- or GOTO-program.

Register Machines: Computable function

Definition. A function *f* is

- LOOP computable if there exists a register machine with a LOOP program, which computes *f*
- WHILE computable if there exists a register machine with a WHILE program, which computes *f*
- GOTO computable if there exists a register machine with a GOTO program, which computes *f*
- TM computableif there exists a Turing machine which computes f

Computable functions

```
Set of all LOOP computable functions
    LOOP
WHILE
                  Set of all total WHILE computable functions
WHILEpart
                  Set of all WHILE computable functions
                  (including the partial ones)
                  Set of all total GOTO computable functions
GOTO
                  Set of all GOTO computable functions
GOTOpart
                  (including the partial ones)
   TM
                  Set of all total TM computable functions
   TMpart
                  Set of all TM computable functions
                  (including the partial ones)
```

Relationships between LOOP, WHILE, GOTO

Theorem. LOOP ⊆ WHILE (every LOOP computable function is WHILE computable)

Theorem.

- (1) WHILE = GOTO(2) WHILE^{part} = GOTO^{part}

Theorem.

- (1) WHILE = GOTO
- (2) $WHILE^{part} = GOTO^{part}$

Proof:

To show:

I. WHILE ⊆ GOTO and WHILE^{part} ⊆ GOTO^{part}

II. GOTO \subseteq WHILE and GOTO^{part} \subseteq WHILE^{part}

Theorem.

- (1) WHILE = GOTO
- (2) WHILE $^{part} = GOTO^{part}$

Proof:

I. WHILE \subseteq GOTO and WHILE^{part} \subseteq GOTO^{part}

It is sufficient to prove that while $x_i \neq 0$ do P end can be simulated with GOTO instructions.

We can assume without loss of generality that P does not contain any while (we can replace the occurrences of "while" from inside out).

```
Proof (ctd.)  \text{while } x_i \neq 0 \text{ do } P \text{ end}  is replaced by:  j_1: \text{ if } x_i = 0 \text{ goto } j_3;   P';   j_2: \text{ if } x_n = 0 \text{ goto } j_1;  ** Since x_n = 0 unconditional jump **  j_3: x_n := x_n - 1
```

where:

- \bullet x_n is a new register, which was not used before.
- P' is obtained from P by assigning to all instructions without an index an arbitrary new index.

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```

where:

- x_n is a new register, which was not used before.
- P' is obtained from P by assigning to all instructions without an index an arbitrary new index.

Remark: Totality is preserved by this transformation. Semantics is the same.

Proof (ctd.)

Using the fact that while $x_i \neq 0$ do P end can be simulated by a GOTO program we can show (by structural induction) that every WHILE program can be simulated by a GOTO program.

Relationships between LOOP, WHILE, GOTO

Theorem. WHILE = GOTO; WHILE $^{part} = GOTO^{part}$

Proof: I. WHILE \subseteq GOTO; WHILE^{part} \subseteq GOTO^{part} (WHILE programs expressible as GOTO programs). Proof by structural induction.

Induction basis: We show that the property is true for all atomic WHILE programs, i.e. for programs of the form $x_i := x_i \pm 1$ (expressible as $j : x_i := x_i \pm 1$).

Let P be a non-atomic WHILE program.

Induction hypothesis: We assume that the property holds for all "subprograms" of P. **Induction step:** We show that then it also holds for P. Proof depends on form of P.

- Case 1: $P = P_1$; P_2 . By the induction hypothesis, there exist GOTO programs P_1' , P_2' with $\Delta(P_i) = \Delta(P_i')$. We can assume w.l.o.g. that the indices used for labelling the instructions are disjoint. Let $P' = P_1'$; P_2' (a GOTO program). We can show that $\Delta(P')(s_1, s_2)$ iff $\Delta(P)(s_1, s_2)$ as before.
- Case 2: $P = \text{while } x_i \neq 0 \text{ do } P_1 \text{ end}$. By the induction hypothesis, there exists a GOTO program P_1' such that $\Delta(P_1) = \Delta(P_1')$. Let P' be the following GOTO program: $j_1 : \text{if } x_i = 0 \text{ goto } j_3; \ P'; \ j_2 : \text{if } x_n = 0 \text{ goto } j_1; \ j_3 : x_n := x_n 1$ It can be checked that $\Delta(P')(s_1, s_2)$ iff $\Delta(P)(s_1, s_2)$.

Theorem.

- (1) WHILE = GOTO
- (2) WHILE $^{part} = GOTO^{part}$

Proof:

II. GOTO \subseteq WHILE and GOTO^{part} \subseteq WHILE^{part}

It is sufficient to prove that every GOTO program can be simulated with WHILE instructions.

```
Proof (ctd.)
j_1: I_1; j_2: I_2; ...; j_k: I_k
```

is replaced by the following while program:

```
x_{\mathrm{index}} := j_1;
while x_{\mathrm{index}} \neq 0 do

if x_{\mathrm{index}} = j_1 then l_1' end;

if x_{\mathrm{index}} = j_2 then l_2' end;

...

if x_{\mathrm{index}} = j_k then l_k' end;
end
```

```
Proof (ctd.)
j_1: I_1; j_2: I_2; ...; j_k: I_k
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is replaced by the following while program:

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x_{\mathrm{index}} := j_1;
while x_{\mathrm{index}} \neq 0 do

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...

if x_{\mathrm{index}} = j_k then l_k' end;
end
```

```
For 1 \le i < k:
If I_i is x_i := x_i \pm 1:
I_i' \text{ is } x_i := x_i \pm 1; x_{\text{index}} := j_{i+1}
If I_i is if x_i = 0 goto j_{\text{goto}}:
I_i' \text{ is if } x_i = 0 \text{ then } x_{\text{index}} := j_{\text{goto}}
\text{else } x_{\text{index}} := j_{i+1} \text{ end}
In addition, j_{k+1} = 0
```

Consequences of the proof:

Corollary 1

The instructions defined in the context of LOOP programs:

$$x_i := c$$
 $x_i := x_j$ $x_i := x_j * x_k$ $x_i = x_j * x_k$, if $x_i = 0$ then P_i else P_j if $x_i \le x_j$ then P_i else P_j

can also be used in GOTO programs.

Consequences of the proof:

Corollary 2

Every WHILE computable function can be computed by a WHILE+IF program with one while loop only.

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Every WHILE computable function can be computed by a WHILE+IF program with one while loop only.

Proof: We showed that:

- (i) every WHILE program can be simulated by a GOTO program
- (ii) every GOTO program can be simulated by a WHILE program with only one loop, containing also some if instructions (WHILE-IF program).

Let P be a WHILE program. P can be simulated by a GOTO program P'. P' can be simulated by a WHILE-IF program with one WHILE loop only.

Consequence of the proof:

Every WHILE computable function can be computed by a WHILE+IF program with one while loop only.

Other consequences

• GOTO programming is not more powerful than WHILE programming

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Every WHILE computable function can be computed by a WHILE+IF program with one while loop only.

Other consequences

• GOTO programming is not more powerful than WHILE programming "Spaghetti-Code" (GOTO) ist not more powerful than "structured code" (WHILE)

Register Machines: Overview

- Register machines (Random access machines)
- LOOP programs
- WHILE programs
- GOTO programs
- Relationships between LOOP, WHILE, GOTO
- Relationships between register machines and Turing machines

Relationships

Already shown:

$$\mathsf{LOOP} \subseteq \mathsf{WHILE} = \mathsf{GOTO} \subsetneq \mathsf{WHILE}^\mathsf{part} = \mathsf{GOTO}^\mathsf{part}$$

Relationships

Already shown:

$$\mathsf{LOOP} \subseteq \mathsf{WHILE} = \mathsf{GOTO} \subsetneq \mathsf{WHILE}^\mathsf{part} = \mathsf{GOTO}^\mathsf{part}$$

To be proved:

- LOOP ≠ WHILE
- WHILE = TM and WHILE part = TM part

$\mathsf{GOTO}\subseteq\mathsf{TM}$

 $\textbf{Theorem} \quad \mathsf{GOTO} \subseteq \mathsf{TM} \text{ and } \mathsf{GOTO}^{\mathsf{part}} \subseteq \mathsf{TM}^{\mathsf{part}}$

$GOTO \subseteq TM$

Theorem. $GOTO \subseteq TM$ and $GOTO^{part} \subseteq TM^{part}$

Proof (idea)

It is sufficient to prove that for every GOTO program

$$P = j_1 : I_1; j_2 : I_2; ...; j_k : I_k$$

we can construct an equivalent Turing machine.

$GOTO \subset TM$

Proof (continued)

Let r be the number of registers used in P.

We construct a Turing machine M with r half tapes over the alphabet $\Sigma = \{\#, |\}.$

- Tape i contains as many |'s as the value of x_i is.
- There is a state s_n of M for every instruction $j_n : I_n$.
- When M is in state s_n , it does what corresponds to instruction I_n :
 - Increment or decrement the register
 - Evaluate jump condition
 - Change its state to the corresponding next state.

$GOTO \subset TM$

Proof (continued)

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- When M is in state s_n , it does what corresponds to instruction I_n :
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 - Evaluate jump condition
 - Change its state to the corresponding next state.

It is clear that we can construct a TM which does everything above.

Proof (continued)

- Tape i contains as many |'s as the value of x_i is.
- There is a state s_n of M for every program $P_n = j_n : I_n$.
- When M is in state s_n , it does what corresponds to instruction I_n :
 - Increment or decrement the register
 - Evaluate jump condition
 - Change its state to the corresponding next state.

I _n	M_n
$x_i := x_i + 1$	$>$ $ ^{(i)}R^{(i)}$
$x_i := x_i - 1$	$> L^{(i)} \stackrel{\#^{(i)}}{\rightarrow} R^{(i)}$
	$\downarrow^{ (i)}$
	$\#^{(i)}$

Proof (continued)

- Tape i contains as many |'s as the value of x_i is.
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	# ⁽ⁱ⁾

P_n	M_n
$P_{n_1}; P_{n_2}$	$> M_{n_1}M_{n_2}$
if $x_i = 0$ goto j	$> L^{(i)} \stackrel{\#^{(i)}}{\rightarrow} R^{(i)} \rightarrow M_j$
	$\downarrow^{ (i)}$
	$R^{(i)} o M_{n+1}$

Proof (continued)

In "Theoretische Informatik I" it was proved:

For every *TM* with several tapes there exists an equivalent standard *TM* with only one tape.

Proof (continued)

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Therefore there exists a Standard TM which simulates program P

Proof (continued)

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For every *TM* with several tapes there exists an equivalent standard *TM* with only one tape.

Therefore there exists a standard TM which simulates program P

Remark: We will prove later that

 $TM \subseteq GOTO$ and therefore TM = GOTO = WHILE.

$\textbf{LOOP} \neq \textbf{TM}$

In what follows we consider only LOOP programs which have only one input.

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If there exists a total TM-computable function $f: \mathbb{N} \to \mathbb{N}$ which is not LOOP computable then we showed that LOOP \neq TM

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If there exists a total TM-computable function $f: \mathbb{N} \to \mathbb{N}$ which is not LOOP computable then we showed that LOOP \neq TM

Idea of the proof:

For every unary LOOP-computable function $f : \mathbb{N} \to \mathbb{N}$ there exists a LOOP program P_f which computes it.

We show that:

- The set of all unary LOOP programs is recursively enumerable
- There exists a Turing machine M_{LOOP} such that if P_1, P_2, P_3, \ldots is an enumeration of all (unary) LOOP programs then if P_i computes from input m output o then M_{LOOP} computes from input (i, m) the output o.
- We construct a TM-computable function which is not LOOP computable using a "diagonalisation" argument.

$\textbf{LOOP} \neq \textbf{TM}$

Lemma. The set of all LOOP programs is recursively enumerable.

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Proof (Idea) Regard any LOOP program as a word over the alphabet:

$$\Sigma_{LOOP} = \{;, x, :=, +, -, 1, loop, do, end\}$$

 x_i is encoded as x^i .

We can easily construct a grammar which generates all LOOP programs.

Proposition (TI 1): The recursively enumerable languages are exactly the languages generated by arbitrary grammars (i.e. languages of type 0).

Remark: The same holds also for WHILE programs, GOTO programs and Turing machines

Lemma.

There exists a Turing machine M_{LOOP} which simulates all LOOP programs

More precisely:

Let P_1, P_2, P_3, \ldots be an enumeration of all LOOP programs.

If P_i computes from input m output o then M_{LOOP} computes from input (i, m) the output o.

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Proof: similar to the proof that there exists an universal TM, which simulates all Turing machines.

Remark: The same holds also for WHILE programs, GOTO programs and Turing machines

Theorem: LOOP \neq TM

Proof: Let $\Psi : \mathbb{N} \to \mathbb{N}$ be defined by:

 $\Psi(i) = P_i(i) + 1$ Output of the *i*-th LOOP program P_i on input *i* to which 1 is added.

 Ψ is clearly total. We will show that the following hold:

Claim 1: $\Psi \in TM$

Claim 2: Ψ ∉ LOOP

Claim 1: $\Psi \in TM$

Proof: We have shown that:

- the set of all LOOP programs is r.e., i.e. there is a Turing machine M_0 which enumerates P_1, \ldots, P_n, \ldots (as Gödel numbers)
- there exists a Turing machine M_{LOOP} which simulates all LOOP programs

In order to construct a Turing machine which computes Ψ we proceed as follows:

- We use M_0 to compute from i the LOOP program P_i
- We use M_{LOOP} to compute $P_i(i)$
- We add 1 to the result.

Claim 2: Ψ ∉ LOOP

Proof: We assume, in order to derive a contradiction, that $\Psi \in LOOP$, i.e. there exists a LOOP program P_{i_0} which computes Ψ .

Then:

- The output of P_{i_0} on input i_0 is $P_{i_0}(i_0)$.
- $\bullet \ \ \Psi(i_0) = P_{i_0}(i_0) + 1 \neq P_{i_0}(i_0)$

Contradiction!

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Remark: This does not hold for WHILE programs, GOTO programs and Turing machines.

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Remark: This does not hold for WHILE programs, GOTO programs and Turing machines.

Why?

Claim 2: Ψ ∉ LOOP

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Then:

- The output of P_{i_0} on input i_0 is $P_{i_0}(i_0)$.
- $\Psi(i_0) = P_{i_0}(i_0) + 1 \neq P_{i_0}(i_0)$

Contradiction!

Remark: This does not hold for WHILE programs, GOTO programs and Turing machines.

The proof relies on the fact that Ψ is total (otherwise $P_{i_0}(i_0) + 1$ could be undefined).

Summary

We showed that:

- $\bullet \ \ \mathsf{LOOP} \subseteq \mathsf{WHILE} = \mathsf{GOTO} \subseteq \mathsf{TM}$
- $\bullet \ \ \mathsf{WHILE} = \mathsf{GOTO} \subsetneq \mathsf{WHILE}^\mathsf{part} = \mathsf{GOTO}^\mathsf{part} \subseteq \mathsf{TM}^\mathsf{part}$
- LOOP \neq TM

Summary

We showed that:

- $\bullet \ \ \mathsf{LOOP} \subseteq \mathsf{WHILE} = \mathsf{GOTO} \subseteq \mathsf{TM}$
- $\bullet \ \ \mathsf{WHILE} = \mathsf{GOTO} \subsetneq \mathsf{WHILE}^\mathsf{part} = \mathsf{GOTO}^\mathsf{part} \subseteq \mathsf{TM}^\mathsf{part}$
- LOOP \neq TM

Still to show:

- ullet TM \subseteq WHILE
- $\bullet \ \mathsf{TM}^{\mathsf{part}} \subseteq \mathsf{WHILE}^{\mathsf{part}}$

Summary

We showed that:

- LOOP \subsetneq WHILE = GOTO \subseteq TM
- $\bullet \ \ \mathsf{WHILE} = \mathsf{GOTO} \subsetneq \mathsf{WHILE}^\mathsf{part} = \mathsf{GOTO}^\mathsf{part} \subseteq \mathsf{TM}^\mathsf{part}$
- LOOP \neq TM

Still to show:

- \bullet TM \subseteq WHILE
- \bullet TM^{part} \subseteq WHILE^{part}

For proving this, another model of computation will be used: recursive functions