# **Advanced Topics in Theoretical Computer Science**

Part 3: Recursive Functions (1)

22.11.2017

Viorica Sofronie-Stokkermans

Universität Koblenz-Landau

e-mail: sofronie@uni-koblenz.de

### **Contents**

- Recapitulation: Turing machines and Turing computability
- Register machines (LOOP, WHILE, GOTO)
- Recursive functions
- The Church-Turing Thesis
- Computability and (Un-)decidability
- Complexity
- ullet Other computation models: e.g. Büchi Automata,  $\lambda$ -calculus

## 3. Recursive functions

- Introduction/Motivation
- Primitive recursive functions

$$\mapsto \mathcal{P}$$

- $\mathcal{P} = LOOP$
- $\bullet$   $\mu$ -recursive functions

$$\mapsto F_{\mu}$$

- $F_{\mu} = \mathsf{WHILE}$
- Summary

## **Recursive functions**

#### **Motivation**

Functions as model of computation (without an underlying machine model)

#### Idea

- Simple ("atomic") functions are computable
- "Combinations" of computable functions are computable

(We consider functions  $f: \mathbb{N}^k \to \mathbb{N}, k \geq 0$ )

### **Recursive functions**

#### **Motivation**

Functions as model of computation (without an underlying machine model)

#### Idea

- Simple ("atomic") functions are computable
- "Combinations" of computable functions are computable

(We consider functions  $f: \mathbb{N}^k \to \mathbb{N}, k \geq 0$ )

#### Questions

- Which are the atomic functions?
- Which combinations are possible?

The following functions are primitive recursive and  $\mu$ -recursive:

The following functions are primitive recursive and  $\mu$ -recursive:

#### The constant null

$$0:\mathbb{N}^0 \to \mathbb{N}$$
 with  $0()=0$ 

The following functions are primitive recursive and  $\mu$ -recursive:

#### The constant null

$$0:\mathbb{N}^0 \to \mathbb{N} \text{ with } 0()=0$$

#### **Successor function**

$$+1:\mathbb{N}^1 o\mathbb{N}$$
 with  $+1(n)=n+1$  for all  $n\in\mathbb{N}$ 

The following functions are primitive recursive and  $\mu$ -recursive:

#### The constant null

$$0:\mathbb{N}^0 \to \mathbb{N} \text{ with } 0()=0$$

#### **Successor function**

$$+1:\mathbb{N}^1 o\mathbb{N}$$
 with  $+1(n)=n+1$  for all  $n\in\mathbb{N}$ 

#### **Projection function**

$$\pi_i^k: \mathbb{N}^k \to \mathbb{N} \text{ with } \pi_i^k(n_1, \ldots, n_k) = n_i$$

## **Recursive functions**

#### **Notation:**

We will write **n** for the tuple  $(n_1, \ldots, n_k)$ ,  $k \geq 0$ .

# **Recursive functions: Composition**

#### **Composition:**

If the functions:  $g: \mathbb{N}^r \to \mathbb{N}$   $r \geq 1$ 

$$h_1: \mathbb{N}^k \to \mathbb{N}, \ldots, h_r: \mathbb{N}^k \to \mathbb{N}$$
  $k \geq 0$ 

are primitive recursive resp.  $\mu$ -recursive, then

$$f: \mathbb{N}^k \to \mathbb{N}$$

defined for every  $\mathbf{n} \in \mathbb{N}^k$  by:

$$f(\mathbf{n}) = g(h_1(\mathbf{n}), \ldots, h_r(\mathbf{n}))$$

is also primitive recursive resp.  $\mu\text{-recursive}.$ 

**Notation without arguments:**  $f = g \circ (h_1, \ldots, h_r)$ 

#### **Until now:**

- Atomic functions (Null, Successor, Projections)
- Composition

#### Next:

• Primitive recursion

**Definition of primitive recursive functions** 

#### **Primitive recursion**

If the functions

$$g: \mathbb{N}^k \to \mathbb{N}$$
  $(k \ge 0)$   
 $h: \mathbb{N}^{k+2} \to \mathbb{N}$ 

are primitive recursive, then the function

$$f: \mathbb{N}^{k+1} o \mathbb{N}$$
 with  $f(\mathbf{n}, 0) = g(\mathbf{n})$   $f(\mathbf{n}, m+1) = h(\mathbf{n}, m, f(\mathbf{n}, m))$ 

is also primitive recursive.

#### **Primitive recursion**

If the functions

$$g: \mathbb{N}^k \to \mathbb{N}$$
  $(k \ge 0)$   
 $h: \mathbb{N}^{k+2} \to \mathbb{N}$ 

are primitive recursive, then the function

$$f: \mathbb{N}^{k+1} o \mathbb{N}$$
 with  $f(\mathbf{n},0) = g(\mathbf{n})$   $f(\mathbf{n},m+1) = h(\mathbf{n},m,f(\mathbf{n},m))$ 

is also primitive recursive.

**Notation without arguments:**  $f = \mathcal{PR}[g, h]$ 

### **Definition (Primitive recursive functions)**

- Atomic functions: The functions
  - Null 0
  - Successor +1
  - Projection  $\pi_i^k$   $(1 \le i \le k)$

are primitive recursive.

- Composition: The functions obtained by composition from primitive recursive functions are primitive recursive.
- Primitive recursion: The functions obtained by primitive recursion from primitive recursive functions are primitive recursive.

### **Definition (Primitive recursive functions)**

- Atomic functions: The functions
  - Null 0
  - Successor +1
  - Projection  $\pi_i^k$   $(1 \le i \le k)$

are primitive recursive.

- Composition: The functions obtained by composition from primitive recursive functions are primitive recursive.
- Primitive recursion: The functions obtained by primitive recursion from primitive recursive functions are primitive recursive.

#### **Definition (Primitive recursive functions)**

- Atomic functions: The functions
  - Null 0
  - Successor +1
  - Projection  $\pi_i^k$   $(1 \le i \le k)$

are primitive recursive.

- Composition: The functions obtained by composition from primitive recursive functions are primitive recursive.
- **Primitive recursion:** The functions obtained by primitive recursion from primitive recursive functions are primitive recursive.

**Notation:** P = The set of all primitive recursive functions

$$f(n) = n + c$$

$$f(n) = n$$

$$f(n,m)=n+m$$

$$f(n,m)=n-1$$

$$f(n, m) = n - m$$

$$f(n, m) = n * m$$

$$f(n) = n + c$$
, for  $c \in \mathbb{N}$ ,  $c > 0$ 

$$f(n) = n + c$$
, for  $c \in \mathbb{N}$ ,  $c > 0$  
$$f(n) = \underbrace{(+1)(...((+1)(n)))}_{c \text{ times}}$$

$$f(n) = n + c$$
, for  $c \in \mathbb{N}$ ,  $c > 0$ 

$$f = \underbrace{(+1) \circ \cdots \circ (+1)}_{c \text{ times}}$$

$$f(n)=n+c$$
, for  $c\in\mathbb{N},c>0$  
$$f=\underbrace{(+1)\circ\cdots\circ(+1)}_{c \text{ times}}$$

$$f: \mathbb{N} \to \mathbb{N}$$
, with  $f(n) = n$ 

$$f(n) = n + c$$
, for  $c \in \mathbb{N}, c > 0$  
$$f = \underbrace{(+1) \circ \cdots \circ (+1)}_{c \text{ times}}$$

$$f=\pi_1^1$$

$$f(n)=n+c$$
, for  $c\in\mathbb{N},c>0$  
$$f=\underbrace{(+1)\circ\cdots\circ(+1)}_{c\ \text{times}}$$

$$f=\pi_1^1$$

$$f(n,m)=n+m$$

$$f(n)=n+c$$
, for  $c\in\mathbb{N},c>0$  
$$f=\underbrace{(+1)\circ\cdots\circ(+1)}_{c\ \text{times}}$$

$$f = \pi_1^1$$

$$f(n, m) = n + m$$
  
 $f(n, 0) = n$   
 $f(n, m + 1) = (+1)(f(n, m))$ 

$$f(n) = n + c$$
, for  $c \in \mathbb{N}, c > 0$  
$$f = \underbrace{(+1) \circ \cdots \circ (+1)}_{c \text{ times}}$$

$$f = \pi_1^1$$

$$f(n,m)=n+m$$

$$f(n,0) = n$$
  $g(n) = n$   $g = \pi_1^1$   $f(n,m+1) = (+1)(f(n,m))$   $h(n,m,k) = +1(k)$   $h = (+1) \circ \pi_3^3$ 

$$f(n) = n + c$$
, for  $c \in \mathbb{N}, c > 0$  
$$f = \underbrace{(+1) \circ \cdots \circ (+1)}_{c \text{ times}}$$

$$f = \pi_1^1$$

$$f(n,m)=n+m$$

$$f(n,0) = n$$
  $g(n) = n$   $g = \pi_1^1$   $f(n,m+1) = (+1)(f(n,m))$   $h(n,m,k) = +1(k)$   $h = (+1) \circ \pi_3^3$ 

$$f=\mathcal{PR}[\pi_1^1$$
 ,  $(+1)\circ\pi_3^3]$ 

$$f(n)=n+c$$
, for  $c\in\mathbb{N},c>0$  
$$f=\underbrace{(+1)\circ\cdots\circ(+1)}_{c\ \text{times}}$$

$$f=\pi_1^1$$

$$f(n,m)=n+m$$

$$f=\mathcal{PR}[\pi_1^1$$
 ,  $(+1)\circ\pi_3^3]$ 

$$f(n)=n-1$$

$$f(n) = n - 1$$

$$f(0) = 0$$

$$f(n+1)=n$$

$$f(n) = n - 1$$
  
 $f(0) = 0$   $g(0) = 0$   $g = 0$   
 $f(n+1) = n$   $h(n,k) = n$   $h = \pi_1^2$   
 $f = \mathcal{PR}[0, \pi_1^2]$ 

$$f(n) = n - 1$$

$$f = \mathcal{PR}[0, \pi_1^2]$$

$$f(n,m)=n-m$$

$$f(n) = n - 1$$
 
$$f = \mathcal{PR}[0, \pi_1^2]$$
 
$$f(n, m) = n - m$$
 
$$f(n, 0) = n$$
 
$$g(n) = n$$
 
$$g = \pi_1^1$$
 
$$f(n, m + 1) = f(n, m) - 1$$
 
$$h(n, m, k) = k - 1$$
 
$$h = (-1) \circ \pi_3^3$$

 $f = \mathcal{PR}[\pi_1^1, (-1) \circ \pi_3^3]$ 

$$f(n) = n - 1$$

$$f = \mathcal{PR}[0, \pi_1^2]$$

$$f(n,m)=n-m$$

$$f=\mathcal{PR}[\pi_1^1,(-1)\circ\pi_3^3]$$

$$f(n,m)=n*m$$

$$f(n) = n - 1$$

$$f = \mathcal{PR}[0, \pi_1^2]$$

$$f(n, m) = n - m$$

$$f = \mathcal{PR}[\pi_1^1, (-1) \circ \pi_3^3]$$

$$f(n, m) = n * m$$

$$f(n, 0) = 0 \qquad g(n) = 0 \qquad g = 0$$

$$f(n, m + 1) = f(n, m) + n \qquad h(n, m, k) = k + n \qquad h = + \circ (\pi_3^3, \pi_1^3)$$

$$f = \mathcal{PR}[0, + \circ (\pi_3^3, \pi_1^3)]$$

$$f(n) = n - 1$$

$$f = \mathcal{PR}[0, \pi_1^2]$$

$$f(n, m) = n - m$$

$$f=\mathcal{PR}[\pi_1^1$$
 ,  $(-1)\circ\pi_3^3]$ 

$$f(n,m)=n*m$$

$$f = \mathcal{PR}[0, + \circ (\pi_3^3, \pi_1^3)]$$

# Re-ordering/Omitting/Repeating Arguments

**Lemma** The set of primitive recursive functions is closed under:

- Re-ordering
- Omitting
- Repeating

of arguments when composing functions.

# Re-ordering/Omitting/Repeating Arguments

Lemma The set of primitive recursive functions is closed under:

- Re-ordering
- Omitting
- Repeating

of arguments when composing functions.

#### Proof: (Idea)

A tuple of arguments  $\mathbf{n'} = (n_{i_1}, \dots, n_{i_k})$  obtained from  $\mathbf{n} = (n_1, \dots, n_k)$  by re-ordering, omitting or repeating components can be represented as:

$$\mathbf{n'} = (\pi_{i_1}^k(\mathbf{n}), \ldots, \pi_{i_k}^k(\mathbf{n}))$$

# **Additional Arguments**

**Lemma.** Assume  $f: \mathbb{N}^k \to \mathbb{N}$  is primitive recursive.

Then, for every  $p \in \mathbb{N}$ , the function  $f' : \mathbb{N}^k \times \mathbb{N}^p \to \mathbb{N}$  defined for every  $\mathbf{n} \in \mathbb{N}^k$  and every  $\mathbf{m} \in \mathbb{N}^p$  by:

$$f'(\mathbf{n}, \mathbf{m}) = f(\mathbf{n})$$

is primitive recursive.

# **Additional Arguments**

**Lemma.** Assume  $f: \mathbb{N}^k \to \mathbb{N}$  is primitive recursive.

Then, for every  $p \in \mathbb{N}$ , the function  $f' : \mathbb{N}^k \times \mathbb{N}^p \to \mathbb{N}$  defined for every  $\mathbf{n} \in \mathbb{N}^k$  and every  $\mathbf{m} \in \mathbb{N}^p$  by:

$$f'(\mathbf{n}, \mathbf{m}) = f(\mathbf{n})$$

is primitive recursive.

#### Proof:

Case 1: k = 0, i.e. f is a constant. Then  $f^1 : \mathbb{N}^k \times \mathbb{N} \to \mathbb{N}$  with  $f^1(\mathbf{n}, m) = f(\mathbf{n})$  for all  $m \in \mathbb{N}$  can be expressed by primitive recursion as follows:

$$f^{1}(0) = f$$
  $f^{1}(n+1) = f^{1}(n) = \pi_{2}^{2}(n, f^{1}(n))$   $f^{1} = \mathcal{PR}[f, \pi_{2}^{2}]$ 

By iterating this construction p times we obtain extensions  $f^2, f^3, \ldots, f^p$  with  $2, 3, \ldots p$  additional arguments. The function f' is  $f^p$ .

Case 2: 
$$k \neq 0$$
. Let  $\mathbf{n} = (n_1, \dots, n_k, m_1, \dots, m_p)$   
Then  $f'(\mathbf{n}) = f(\pi_1^{k+p}(\mathbf{n}), \dots, \pi_k^{k+p}(\mathbf{n})) = f \circ (\pi_1^{k+p}, \dots, \pi_k^{k+p})$ .