# **Advanced Topics in Theoretical Computer Science**

Part 4: Computability and (Un-)Decidability (3)

9.01.2019

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#### Last time

#### Theorem of Rice:

 All problems about programs (TM) which are non-trivial (in a certain sense) are undecidable

Identify undecidable problems outside the world of Turing machines

Validity/Satisfiability in First-Order Logic

#### **Today**

The Post Correspondence Problem

# **Decidability and Undecidability results**

#### Formal languages

• The Post Correspondence Problem and its consequences

**Idea:** We consider strings over a finite alphabet  $\Sigma$ .

For example:

Alphabet  $\Sigma = \{a, b\}$ ; non-empty string over  $\Sigma$ : "aaabba".

Assume that we have n pairs of strings  $(p_1, q_1), \ldots, (p_n, q_n)$ .

#### Post correspondence problem:

Determine whether there is a set of indices  $i_1, \ldots, i_m$  such that

$$p_{i_1}p_{i_2}\ldots p_{i_m}=q_{i_1}q_{i_2}\ldots q_{i_m}.$$

This can contain repeated indices, miss certain indices, ...

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Example: 
$$\Sigma = \{a, b, c\}$$
  
Let  $P = \{(a, ab), (b, ca), (ca, a), (abc, c)\}$ .  
 $p_1 p_2 p_3 p_1 p_4 = a b ca a abc = abcaaabc = ab ca a ab c = q_1 q_2 q_3 q_1 q_4$ 

#### **Definition**

A correspondence system (CS) P is a finite rule set over an alphabet  $\Sigma$ .

$$P = \{(p_1, q_1), \ldots, (p_n, q_n)\}$$
 with  $p_i, q_i \in \Sigma^*$ 

An index sequence  $I=i_1\ldots i_m$  of P is a sequence with  $1\leq i_k\leq n$  for all k. For every index sequence I we denote  $p_I=p_{i_1}\ldots p_{i_m}$  and  $q_I=q_{i_1}\ldots q_{i_m}$ .

A partial solution is an index set I such that

 $p_I$  is a prefix of  $q_I$  or  $q_I$  is an prefix of  $p_I$ .

A solution is an index set I such that  $p_I = q_I$ .

A (partial) solution with given start is a (partial) solution in which the first index  $i_1$  is given.

The Post correspondence problem (PCP) is the question whether a given correspondence system P has a solution.

#### Example:

```
Let P = \{(a, ab), (b, ca), (ca, a), (abc, c)\}.
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• I = 1, 2, 3, 1, 4 is a solution:

$$p_1=p_1p_2p_3p_1p_4=$$
 a b ca a abc = abcaaabc = ab ca a ab c =  $q_1q_2q_3q_1q_4=q_1$ 

• J = 1, 2, 3 is a partial solution:

$$p_J = p_1 p_2 p_3 = abca$$
 is a prefix of  $q_J = q_1 q_2 q_3 = abcaa$ 

• There are no solutions with given start 2, 3 or 4.

#### Plan

We will show that the Post correspondence problem is undecidable.

#### The proof consists of the following steps:

- We identify two types of "rewrite" systems
   Semi-Thue systems (STS) and Post Normal Systems (PNS).
- We show that the TM computable functions are also STS/PNS computable.
- We define  $Trans_G = \{(v, w) \mid v \Rightarrow^* w, v, w \in \Sigma^+\}$  and show that there exist STS/PNS G such that  $Trans_G$  is undecidable.
- We assume (to derive a contradiction) that a version of the Post correspondence problem is decidable and show that then also  $Trans_G$  is decidable (which is clearly impossible).

#### STS and PNS

**Set of rules.** A set of rules over an alphabet  $\Sigma$  is a finite subset  $R \subseteq \Sigma^* \times \Sigma^*$ . We also write  $u \to_R v$  for  $(u, v) \in R$ .

R is  $\varepsilon$ -free if for all  $(u, v) \in R$  we have  $u \neq \varepsilon$  and  $v \neq \varepsilon$ .

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**Semi-Thue System.** In a semi-Thue System, a word w is transformed in a word w' by applying one of the rules (u, v) in R.

**Definition.** A semi-Thue System (STS) is a pair  $G = (\Sigma, R)$  consisting of an alphabet  $\Sigma$  and a set of rules R. G is  $\varepsilon$ -free if R is  $\varepsilon$ -free.

$$w \Rightarrow_G w'$$
 iff  $\exists u \rightarrow_R v, \exists w_1, w_2 \in \Sigma^*(w = w_1 u w_2 \text{ and } w' = w_1 v w_2)$ 

Let *G* be the following semi-Thue system:

$$G = (\{a, b\}, \{ab \rightarrow bba, ba \rightarrow aba\})$$

 $\underline{ab}aba \Rightarrow bba\underline{ab}a \Rightarrow bbabbaa$ 

 $a\underline{ba}ba\Rightarrow aab\underline{ab}a\Rightarrow aabbbaa.$ 

The rule application in not deterministic.

#### STS and PNS

**Definition.** A Post Normal System (PNS) is a pair  $G = (\Sigma, R)$  where  $\Sigma$  is an alphabet and a set of rules R. G is  $\varepsilon$ -free if R is  $\varepsilon$ -free.

It differs from a semi-Thue system in the way  $\Rightarrow_G$  is defined:

$$w \Rightarrow_G w'$$
 iff  $\exists u \rightarrow_R v, \exists w_1 \in \Sigma^* (w = uw_1 \text{ and } w' = w_1 v)$ 

**Definition.** A computation in a STS or a PNS G is a sequence  $w_1, \ldots, w_n$  with  $w_i \Rightarrow_G w_{i+1}$  for all  $i \in \{1, \ldots, n-1\}$ .

The computation does not continue if there exists no  $w_{n+1}$  with  $w_n \Rightarrow_G w_{n+1}$ .

If there exists  $n \geq 1$  with  $w_1 \Rightarrow_G \cdots \Rightarrow_G w_n$  we write:  $w_1 \Rightarrow_G^* w_n$ .

Let *G* be the following Post Normal System:

$$G = (\{a, b\}, \{ab \rightarrow bba, ba \rightarrow aba, a \rightarrow ba\})$$

Then:

ababa $\Rightarrow ababba \Rightarrow babbaba \Rightarrow bbabaaba$ 

 $\underline{a}baba \Rightarrow \underline{ba}baba \Rightarrow \underline{ba}baaba \Rightarrow \underline{ba}abaaba \Rightarrow \underline{a}baabaaba \Rightarrow \dots$  (infinite computation)

**Definition.** A partial function  $f: \Sigma_1^* \to \Sigma_2^*$  is STS computable (PNS-computable) iff there exists a STS (a PNS) G s.t. for all  $w \in \Sigma_1^*$ 

- $\forall u \in \Sigma_2^*$ ,  $[w] \Rightarrow_G^* [u]$  iff f(w) = u
- $\not\exists v \in \Sigma_2^*$ ,  $[w] \Rightarrow_G^* [v)$  iff f(w) undefined.

**Note:**  $[,], \rangle$  are special symbols

 $F_{STS}^{part}$ : the family of all (partial) STS computable functions

 $F_{PNS}^{part}$ : the family of all (partial) PNS computable functions

**Theorem** 
$$TM^{part} \subseteq F_{STS}^{part}$$
;  $TM^{part} \subseteq F_{PNS}^{part}$ .

#### Proof:

Idea: show that we can simulate the way a TM works using a suitable STS. We then show that we can slightly change the STS and obtain a PNS which simulates the TM.

From the proof it can be seen that we can simulate any TM using a  $\varepsilon$ -free STS and  $\varepsilon$ -free PNS.

The full proof is rather long and is not presented here. It can be found on pages 309-311 in the book "Theoretische Informatik" (3. Auflage) by Erk and Priese.

$$Trans_G = \{(v, w) \mid v \Rightarrow_G^* w \land v, w \in \Sigma^+\}$$

#### Theorem.

There exists an  $\varepsilon$ -free STS G such that  $Trans_G$  is undecidable.

There exists an  $\varepsilon$ -free PNS G such that  $Trans_G$  is undecidable.

#### Proof.

We can reduce  $K = \{n \mid M_n \text{ halts on input } n\}$  to  $Trans_G$  for a certain STS (PNS) G.

Let G be an  $\varepsilon$ -free STS or PNS which computes the function of the TM

$$M = M_K M_{\text{delete}}$$

where  $M_K$  is the TM which accepts K and  $M_{\text{delete}}$  deletes the band after  $M_K$  halts (such a TM can easily be constructed because  $M_K = M_{\text{prep}}U_0$ ; the halting configurations of the universal TM  $U_0$  are of the form  $h_U$ ,  $\#|^n\#|^m\#$ ).

Input  $v: M_K$  halts iff  $M_v$  halts on v. If  $M_K$  halts,  $M_{\text{delete}}$  deletes the tape.

#### Proof. (ctd.)

Assume  $Trans_G$  decidable. We show how to use G and the decision procedure for  $Trans_G$  to decide K:

For 
$$v = [\underbrace{|\dots|}_{n \text{ times}}]$$
 and  $w = [\varepsilon]$  we have:

$$(v,w) \in \mathit{Trans}_G$$
 iff  $(v \Rightarrow_G^* w)$  iff  $M = M_K M_{\text{delete}}$  halts for input  $|^n$  with  $\#$  iff  $M_K$  halts for input  $|^n$  iff  $n \in K$ .

**Theorem** For every  $\varepsilon$ -free semi-Thue System G and every pair of words w',  $w'' \in \Sigma^+$  there exists a Post Correspondence System  $P_{G,w',w''}$  such that

 $P_{G,w',w''}$  has a solution with given start iff  $w' \Rightarrow_G^* w''$ .

Proof: Assume that we are given

- G an  $\varepsilon$ -free STS  $G = (\Sigma, R)$  with  $|\Sigma| = m$  and  $R = \{u_1 \to v_1, \ldots, u_n \to v_n\}$  with  $u_i, v_i \in \Sigma^+$
- w',  $w'' \in \Sigma^+$

We construct the correspondence system  $P_{G,w',w''} = \{(p_i, q_i) \mid 1 \leq i \leq k\}$  with k = n + m + 3 over the alphabet  $\Sigma_X = \Sigma \cup X$  with:

- the first *n* rules are the rules in *R*
- the rule n + 1 is (X, Xw'X); the rule n + 2 is (w''XX, X)
- the rules  $n+2+1,\ldots,n+2+m$  are (a,a) for every  $a \in \Sigma$
- the last rule is (X, X)
- the index for the given start is n + 1.

$$G = (\Sigma, R)$$
 with  $\Sigma = \{a, b, c\}$  and  $R = \{ca \rightarrow ab, ab \rightarrow c, ba \rightarrow a\}$ .  
For the word pair  $w' = caaba, w'' = abc$  we have

$$w' = ca\underline{ab}a \Rightarrow_2 ca\underline{ca} \Rightarrow_1 ca\underline{ab} \Rightarrow_2 \underline{ca}c \Rightarrow_1 abc = w''$$

$$P_{G,w',w''} = \{ (ca, ab), (ab, c), (ba, a), (X, XcaabaX), (abcXX, X), (a, a), (b, b), (c, c), (X, X) \}$$

We can see that  $P_{G,w',w''}$  has a solution with start n+1 iff  $w'\Rightarrow_G^*w''$ 

$$p_4$$
  $X$   $= XcaabaX$   $= q_4$ 

$$G = (\Sigma, R)$$
 with  $\Sigma = \{a, b, c\}$  and  $R = \{ca \rightarrow ab, ab \rightarrow c, ba \rightarrow a\}$ .

For the word pair w' = caaba, w'' = abc we have

$$w' = ca\underline{ab}a \Rightarrow_2 ca\underline{ca} \Rightarrow_1 ca\underline{ab} \Rightarrow_2 \underline{ca}c \Rightarrow_1 abc = w''$$

$$P_{G,w',w''} = \{ (ca, ab), (ab, c), (ba, a), (X, XcaabaX), (abcXX, X)$$
  
 $(a, a), (b, b), (c, c), (X, X) \}$ 

We can see that  $P_{G,w',w''}$  has a solution with start n+1 iff  $w'\Rightarrow_G^*w''$ 

$$p_{486} = Xca = XcaabaXca = q_{486}$$

$$G = (\Sigma, R)$$
 with  $\Sigma = \{a, b, c\}$  and  $R = \{ca \rightarrow ab, ab \rightarrow c, ba \rightarrow a\}$ .  
For the word pair  $w' = caaba, w'' = abc$  we have

$$w' = ca\underline{ab}a \Rightarrow_2 ca\underline{ca} \Rightarrow_1 ca\underline{ab} \Rightarrow_2 \underline{ca}c \Rightarrow_1 abc = w''$$

$$P_{G,w',w''} = \{ (ca, ab), (ab, c), (ba, a), (X, XcaabaX), (abcXX, X)$$
  
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We can see that  $P_{G,w',w''}$  has a solution with start n+1 iff  $w'\Rightarrow_G^*w''$ 

$$p_{4862} = Xcaab = XcaabaXcac = q_{4862}$$

$$G=(\Sigma,R)$$
 with  $\Sigma=\{a,b,c\}$  and  $R=\{ca o ab,ab o c,ba o a\}.$  For the word pair  $w'=caaba,w''=abc$  we have 
$$w'=ca\underline{ab}a\Rightarrow_2 ca\underline{ca}\Rightarrow_1 ca\underline{ab}\Rightarrow_2 \underline{ca}c\Rightarrow_1 abc=w''$$
 
$$P_{G,w',w''}=\{\quad (ca,ab),(ab,c),(ba,a),(X,XcaabaX),(abcXX,X),\ (a,a),(b,b),(c,c),(X,X)\}$$
 We can see that  $P_{G,w',w''}$  has a solution with start  $n+1$  iff  $w'\Rightarrow_G^*w''$  
$$p_{486269}=XcaabaX \qquad =XcaabaXcacaX \qquad =q_{486269}$$

$$G=(\Sigma,R)$$
 with  $\Sigma=\{a,b,c\}$  and  $R=\{ca o ab,ab o c,ba o a\}.$  For the word pair  $w'=caaba,w''=abc$  we have 
$$w'=ca\underline{ab}a\Rightarrow_2 ca\underline{ca}\Rightarrow_1 ca\underline{ab}\Rightarrow_2 \underline{cac}\Rightarrow_1 abc=w''$$
 
$$P_{G,w',w''}=\{\quad (ca,ab),(ab,c),(ba,a),(X,XcaabaX),(abcXX,X) \ (a,a),(b,b),(c,c),(X,X)\}$$
 We can see that  $P_{G,w',w''}$  has a solution with start  $n+1$  iff  $w'\Rightarrow_G^*w''$  
$$p_{48626986}=XcaabaXca \qquad =XcaabaXcacaXca \qquad =q_{48626986}$$

$$G=(\Sigma,R)$$
 with  $\Sigma=\{a,b,c\}$  and  $R=\{ca 
ightarrow ab,ab 
ightarrow c,ba 
ightarrow a\}.$  For the word pair  $w'=caaba,w''=abc$  we have 
$$w'=ca\underline{ab}a \Rightarrow_2 ca\underline{ca} \Rightarrow_1 ca\underline{ab} \Rightarrow_2 \underline{cac} \Rightarrow_1 abc = w''$$
 
$$P_{G,w',w''}=\{ \quad (ca,ab),(ab,c),(ba,a),(X,XcaabaX),(abcXX,X) \\ \quad (a,a),(b,b),(c,c),(X,X)\}$$
 We can see that  $P_{G,w',w''}$  has a solution with start  $n+1$  iff  $w'\Rightarrow_G^* w''$  
$$p_{4862698619}=XcaabaXcacaX \qquad = XcaabaXcacaXcaabX = q_{4862698619}$$

$$G = (\Sigma, R)$$
 with  $\Sigma = \{a, b, c\}$  and  $R = \{ca \rightarrow ab, ab \rightarrow c, ba \rightarrow a\}$ .

For the word pair w' = caaba, w'' = abc we have

$$w' = ca\underline{ab}a \Rightarrow_2 ca\underline{ca} \Rightarrow_1 ca\underline{ab} \Rightarrow_2 \underline{ca}c \Rightarrow_1 abc = w''$$

$$P_{G,w',w''} = \{ (ca, ab), (ab, c), (ba, a), (X, XcaabaX), (abcXX, X)$$
  
 $(a, a), (b, b), (c, c), (X, X) \}$ 

We can see that  $P_{G,w',w''}$  has a solution with start n+1 iff  $w'\Rightarrow_G^* w''$ 

$$p_{4862698619} = XcaabaXcacaX = XcaabaXcacaXcaabX = q_{4862698619}$$

The successive application of rules 2, 1, 2, 1 corresponds to the solution  $I = \underline{\underline{4}}$ , 8, 6,  $\underline{\underline{2}}$ , 6, 9, 8, 6,  $\underline{\underline{1}}$ , 9, 8, 6,  $\underline{\underline{2}}$ , 9, 1, 8, 9,  $\underline{\underline{5}}$ 

4,4: begin/end; Underlines: rule applications. Remaining numbers: copy symbols such that rule applications at the desired position. X separates the words in G-derivations.

$$p_{l} = X caaba X caca X caab X cac X abc X X = q_{l}$$

**Theorem** For every  $\varepsilon$ -free semi-Thue System G and every pair of words w',  $w'' \in \Sigma^+$  there exists a Post Correspondence System  $P_{G,w',w''}$  such that

 $P_{G,w',w''}$  has a solution with given start iff  $w' \Rightarrow_G^* w''$ .

Proof: Assume that we are given

- G an  $\varepsilon$ -free STS  $G = (\Sigma, R)$  with  $|\Sigma| = m$  and  $R = \{u_1 \to v_1, \ldots, u_n \to v_n\}$  with  $u_i, v_i \in \Sigma^+$
- w',  $w'' \in \Sigma^+$

We construct the correspondence system  $P_{G,w',w''} = \{(p_i, q_i) \mid 1 \leq i \leq k\}$  with k = n + m + 3 over the alphabet  $\Sigma_X = \Sigma \cup X$  with:

- the first *n* rules are the rules in *R*
- the rule n + 1 is (X, Xw'X); the rule n + 2 is (w''XX, X)
- the rules  $n+2+1,\ldots,n+2+m$  are (a,a) for every  $a \in \Sigma$
- the last rule is (X, X)
- the index for the given start is n + 1.

Proof (ctd.) We show that  $P_{G,w',w''}$  has a solution iff  $w' \Rightarrow_G^* w''$ .

Occurrences of  $X \mapsto \text{In the solution index } n+2 \text{ must occur.}$ 

Assume (n+1)I'(n+2)I'' is a solution in which I' does not contain n+1, nor n+2. By careful analysis of the equality  $p_{(n+1)I'(n+2)I''}=q_{(n+1)I'(n+2)I''}$  we note the following:

- (1) no XX in  $q_{(n+1)I'}$   $\Rightarrow p_{(n+1)I'(n+2)}$  cannot be a strict prefix of  $q_{(n+1)I'(n+2)}$ .
- (2)  $p_{(n+1)I'(n+2)}$  and  $q_{(n+1)I'(n+2)}$  contain the same number of X symbols;  $p_{(n+1)I'}$  contains fewer X symbols than  $q_{(n+1)I'}$   $\Rightarrow q_{(n+1)I'(n+2)}$  cannot be a strict prefix of  $p_{(n+1)I'(n+2)}$ .

From (1) and (2) it follows that  $p_{(n+1)I'(n+2)} = q_{(n+1)I'(n+2)}$ .

Thus, if  $P_{G,w',w''}$  has a solution then it has a solution of the form (n+1)I'(n+2), such that I' does not contain (n+1) or (n+2).

#### Proof (ctd.)

- (3)  $p_{(n+1)l'(n+2)} = Xp_{l'}w''XX = Xw'Xq_{l'}X = q_{(n+1)l'(n+2)}$ , so:
  - I' starts with  $I_1(n+m+3)$  with  $p_{I_1(n+m+3)} = w'X$ .
  - Then  $q_{I_1,n+m+3} = w_2 X$  for some  $w_2 \neq \varepsilon$ .
  - $I_1$  contains only indices in  $\{1, \ldots, n\} \cup \{n+3, \ldots, n+2+m\}$ .
  - Therefore,  $w' \Rightarrow_G^* w_2$ .

From (3), by induction, we can show that

$$I' = I_1, (n+m+3), I_2, (n+m+3), \dots, I_k, (n+m+3),$$

where  $I_j$  contains only indices in  $\{1, \ldots, n\} \cup \{n+3, \ldots, n+2+m\}$ .

Then  $p_{I'} = w'Xw_2X \dots Xw_{I-1}X$  and  $q_{I'} = w_2X \dots Xw_IX$  for words  $w_2, \dots, w_I$  with

$$w' \Rightarrow_G^* w_2 \Rightarrow_G^* \cdots \Rightarrow_G^* w_I$$

#### Proof (ctd.)

Thus, for every solution I = (n+1)I'(n+2) we have:

$$p_1 = Xw'Xw_2...Xw_{l-1}Xw''XX = q_1$$

with  $w' \Rightarrow_G^* w_2 \Rightarrow_G^* \cdots \Rightarrow_G^* w_l = w''$ .

Conversely, one can prove by induction that if

$$w' = w_1 \Rightarrow_G^* w_2 \Rightarrow_G^* \cdots \Rightarrow_G^* w_k = w''$$

is a computation in G then there exists a partial solution I of  $P_{G,w',w''}$  with given start n+1 and

$$p_I = Xw'Xw_2...Xw_{l-1}X$$
  $q_I = Xw'Xw_2...Xw_{l-1}Xw_lX$ 

Then I, (n+2) is a solution if  $w_I = w''$ .

**Theorem.** Assume  $|\Sigma| \ge 2$ . The Post Correspondence Problem is undecidable.

#### Proof:

- 1. We first show that PCP with given start is undecidable.
  - Assume that the PCP with given start is decidable. By the previous result it would follow that  $Trans_G$  is decidable for every  $\varepsilon$ -free STS G. We showed that there exists at least one  $\varepsilon$ -free STS G for which  $Trans_G$  is undecidable. Contradiction. Thus, the PCP with given start is undecidable.
- 2. We prove that PCP is undecidable.

For this, we show that for every PCP  $P = \{(p_i, q_i) \mid 1 \le i \le n\}$  with given start  $j_0$  we can construct a PCP P' such that P has a solution iff P' has a solution.

Construction: New symbols X, Y; two types of encodings of words:

$$w = c_1 \dots c_n \mapsto \overline{w} = Xc_1 Xc_2 \dots Xc_n; \overline{\overline{w}} = c_1 Xc_2 \dots Xc_n X$$
  
 $P' = \{ (\overline{p}_1, \overline{\overline{q_1}}), \dots, (\overline{p}_n, \overline{\overline{q_n}}), (\overline{p}_{j_0}, X\overline{\overline{q_{j_0}}}), (XY, Y) \}$ 

A solution of P' can only start with rule (n+1) (only rule where both sides start with same symbol). P has solution with start  $j_0$  iff P' has a solution.

#### **Overview**

Until now: The Post Correspondence Problem

definition

undecidability

Next time: Applications

Undecidabile problems in formal languages

# Undecidabile problems in formal languages

Theorem It is undecidable whether a context free grammar is ambiguous.

Proof. Assume that the problem is decidable. Construct algorithm for solving the PCP.

Let 
$$T = \{(u_1, v_1), \ldots, (u_n, v_n)\}$$
 a CS over  $\Sigma_1$ ;  $\Sigma' = \Sigma_1 \cup \{a_1, \ldots, a_n\}$ .  $L_{T,1} = \{a_{i_m} \ldots a_{i_1} u_{i_1} \ldots u_{i_m} | m \ge 1, 1 \le i_j \le n\}$  generated by c.f. grammar  $G_{T,1}$ .  $G_{T,1} = (\{S_1\}, \Sigma', R_1, S_1), R_1 = \{S_1 \to a_i S_1 u_i \mid 1 \le i \le n\} \cup \{S_1 \to a_i u_i\}$ 

$$L_{T,2} = \{a_{i_m} \dots a_{i_1} v_{i_1} \dots v_{i_m} | m \ge 1, 1 \le i_j \le n\}$$
 generated by c.f. grammar  $G_{T,2}$ .

$$G_{T,2} = (\{S_2\}, \Sigma', R_2, S_2), R_2 = \{S_2 \rightarrow a_i S_2 v_i \mid 1 \leq i \leq n\} \cup \{S_2 \rightarrow a_i v_i\}$$

 $G_{T,1}$ ,  $G_{T,2}$  are unambigouus. Let  $G_T = (\{S, S_1, S_2\}, \Sigma', R_1 \cup R_2 \cup \{S \rightarrow S_1, S \rightarrow S_2\}, S)$ .

$$T$$
 has a solution iff  $\exists w \in L_{T,1} \cap L_{T,2}$  iff  $\exists w \in L(G)$  with two different derivations iff  $G_T$  ambiguous.

# Undecidable problems in formal languages

Theorem It is undecidable whether the intersection of two

- deterministic context-free languages (DCFL)
- non-ambiguous context-free languages
- context-free languages

is empty.

Proof. Assume that one of the problems is decidable.

```
Let T = \{(u_1, v_1), \ldots, (u_n, v_n)\} a CS over \Sigma; \Sigma' = \Sigma \cup \{a_1, \ldots, a_n\}, c \notin \Sigma'. L_1 = \{wcw^R \mid w \in (\Sigma')^*\}: non-ambiguous, deterministic. L_2 = \{u_{i_1} \ldots u_{i_m} a_{i_m} \ldots a_{i_1} c a_{j_1} \ldots a_{j_l} v_{j_l}^R \ldots v_{j_1}^R \mid m, l \geq 1, i_k, j_p \in \{1, \ldots, n\}\} L_2 non-ambigous, deterministic (see proof in the book by Erk and Priese)
```

```
T has a solution iff \exists k \geq 1 \,\exists i_1, \ldots, i_k \colon u_{i_1} \ldots u_{i_k} = v_{i_1} \ldots v_{i_k} iff \exists k \geq 1 \,\exists i_1, \ldots, i_k \colon u_{i_1} \ldots u_{i_k} \, a_{i_k} \ldots a_{i_1} = (a_{i_1} \ldots a_{i_k} \, v_{i_1}^R \ldots v_{i_k}^R)^R iff \exists x \in L_2 such that x = wcw^R iff \exists x \in L_2 \cap L_1
```

If we can always decide whether  $L_1 \cap L_2 = \emptyset$  then PCP decidable!

# Undecidable problems in formal languages

**Theorem** It is undecidable whether for a context free language  $L \subseteq \Sigma^*$  with  $|\Sigma| > 1$  we have  $L = \Sigma^*$ .

Proof. Assume that is was decidable whether  $L = \Sigma^*$ . We show that then it would be decidable whether  $L_1 \cap L_2 = \emptyset$  for DCFL.

Let  $L_1$ ,  $L_2$  DCFL languages over  $\Sigma$ . Then  $L_1 \cap L_2 = \emptyset$  iff  $\overline{L_1 \cap L_2} = \Sigma^*$  iff  $\overline{L_1} \cup \overline{L_2} = \Sigma^*$ .

Note that DCFL's are closed under complement. Then  $\overline{L_1}$ ,  $\overline{L_2} \in \mathcal{L}_2$ , so  $\overline{L_1} \cup \overline{L_2} \in \mathcal{L}_2$ .

Then we could use the decision procedure to check whether  $\overline{L_1} \cup \overline{L_2} = \Sigma^*$ , i.e. to check whether  $L_1 \cap L_2 = \emptyset$ . This is a contradiction, since we proved that it is undecidable whether the intersection of two DCFLs is empty.

# Undecidable problems in formal languages

**Theorem** The following problems are undecidable for context-free languages  $L_1$ ,  $L_2$  and regular languages R over every alphabet  $\Sigma$  with at least two elements.

- (1)  $L_1 = L_2$
- (2)  $L_2 \subseteq L_1$
- (3)  $L_1 = R$
- (4)  $R \subseteq L_1$

Proof: Let  $L_1$  be an arbitrary context-free language. Choose  $L_2 = \Sigma_2^*$ . Then  $L_2$  is regular and:

- $L_1 = L_2$  iff  $L_1 = \Sigma^*$  (1 and 3)
- $L_2 \subseteq L_1$  iff  $L_1 = \Sigma^*$  (2 and 3)

# Undecidable problems for $\mathcal{L}_2$

decidable	undecidable	
$w \in L(G)$	G ambiguous	
$L(G)=\emptyset$	$D_1 \cap D_2 = \emptyset$	
L(G) finite	$L_1 \cap L_2 = \emptyset$	for non-ambiguous languages $L_1.L_2$
$D_1 = \Sigma^*$	$L_1=\Sigma^*$	if $ \Sigma  \geq 2$
$L_1 \subseteq R$	$L_1=L_2$	if $ \Sigma  \geq 2$
	$L_1\subseteq L_2$	if $ \Sigma  \geq 2$
	$L_1=R$	if $ \Sigma  \geq 2$
	$R\subseteq L_1$	if $ \Sigma  \geq 2$

where  $L_1, L_2$  are context-free languages;  $D_1, D_2$  are DCFL languages R is a regular language; G is a context-free grammar,  $w \in \Sigma^*$ .

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- Register machines (LOOP, WHILE, GOTO)
- Recursive functions
- The Church-Turing Thesis
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- Complexity
- Brief outlook: other computation models, e.g. Büchi Automata

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